DENTAL HYGIENE
PROGRAM POLICIES AND PROCEDURES MANUAL

Marji Harmer-Beem, R.D.H., M.S.
Associate Professor
UNE Dental Hygiene Interim Program Director

Faculty Contributors

Mary Aube, R.D.H., M.S. Ed
Clinical Assistant Professor

Ruth Collard, R.D.H., B.S.
Clinical Faculty

Lisa Dufour, R.D.H., M.S.
Professor

Eileen Dunfey, R.D.H., M.S.
Clinical Faculty

Marji Harmer-Beem, R.D.H., M.S.
Associate Professor

Laura Krause, D.D.S.
Clinical Associate Professor

Courtney Vannah, I.P.D.H., M.S.
Visiting Assistant Professor

Jane Walsh, R.D.H., J.D.
Clinical Professor
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Westbrook College Campus Dental Hygiene Program Mission

The Dental Hygiene Program endeavors to improve the oral health of individuals and communities by graduating students who place meaningful value on life-long learning, and who have the desire and motivation to achieve beyond their self-imposed limitations. The faculty strives to stimulate student development of intellectual curiosity and professional expertise through collaborative participation in an academic environment that fosters interprofessional education.

The Dental Hygiene Program faculty welcomes the opportunity to educate future oral hygienists in both the traditional and newly evolving skills required for entry into the profession. The Program faculty also looks forward to developing professional qualities and leadership capabilities in each student by providing opportunities for critical thinking and logical decision-making.

1.01 Dental Hygiene Program Goals

The Dental Hygiene Program offers students the opportunity to:

1. Competently provide the public with dental hygiene care based on a sound foundation of scientific knowledge and effective decision-making.

2. Provide individuals and groups with up-to-date dental hygiene care as an essential component of comprehensive, interprofessional health care.

3. Explore the complexities and ethical decision-making as it relates to professional situations.

4. Gain access to dental hygiene licensure in the state of choice by successfully preparing students to complete the national and regional board examinations.

5. Develop a commitment to life-long learning by continuing with educational plans following graduation.

6. Demonstrate the knowledge necessary to assess, plan, implement and evaluate community-based oral health programs.

7. Utilize appropriate communication methods to effectively provide patient care.
1.02 Technical Standards

Introduction

Technical standards are all of the nonacademic functional abilities that are essential for the delivery of effective and safe dental hygiene care. The technical standards listed below identify the skills and behaviors necessary to successfully complete the dental hygiene curriculum and adequately prepare our students for the practice of dental hygiene.

Students who have a documented disability should contact Disability Services (DS) as soon as possible. Registration with DS is required before any accommodation requests will be granted. After registering with DS, the student and DS will collaborate to identify to what extent reasonable accommodations may exist that will enable the student to meet both the academic and technical standards of the program without lowering programmatic expectations. Reasonable accommodations will be directed toward providing an equal educational opportunity for students with disabilities while adhering to the standards of dental hygiene practice expected of all students. As stated in the syllabus of most dental hygiene courses, any student eligible for and needing academic adjustments or accommodations is requested to speak with the professor within the first two weeks of class. Under no circumstances will the Dental Hygiene Program waive any essential course requirements or technical standards for any student with or without a disability.

The Dental Hygiene Program’s technical standards are as follows, which a student must meet with or without reasonable accommodations:

Motor Skills / Manual Dexterity

Students must have full manual dexterity including adequate functioning of arms, wrists, hands and fingers. Appropriate psychomotor skills, manual dexterity and motor movement skills are necessary to render clinical dental hygiene treatment while possessing the physical strength to move oneself into a position that will enable the student to provide appropriate dental hygiene care.

The student must be able to:

Use personal protective devices (tolerate face mask/shield, safety eyewear, surgical gloves and laboratory coat)
Function in an environment where latex is present
Carry out OSHA infection control procedures using cleaners and chemicals
Perform dental hygiene procedures (scaling, polishing, x-rays) and manipulate dental materials
Access a patient from a seated or standing position
Operate switches, knobs, levers in operation of the dental chair and accessory equipment in all clinics and laboratory settings
Exhibit sufficient motor function to elicit information from a patient by palpation, auscultation, percussion, and other diagnostic modalities
Perceive and interpret tactile vibrations appropriately
Manipulate small objects and materials, paying close attention to fine detail
Perform basic life support including CPR
Transfer and position patients with disabilities
**Sensory Skills / Observation Skills**

A functional use of all senses is required. Visual acuity and intellectual ability are necessary to acquire information from documents such as charts, radiographs, small print, handwritten notations and computer images. Appropriate depth perception with vision from a distance of 18” with or without corrective lenses is essential.

A student must be able to:

- Observe demonstrations at a distance and close at hand
- Perform procedures in the classroom, clinic area and laboratory setting
- See fine detail, focus at several distances, discern variations in color, shape and texture in order to differentiate abnormal from normal
- Discern tactile sensations to perceive and interpret information associated with clinic procedures
- Visually assess, bimanually palpate hard and soft anatomic structures
- Develop reasonable skills of percussion and auscultation

**Intellectual Skills / Conceptual and Cognitive Skills**

Consistent, accurate and quick integration of information is required especially in an emergency situation.

The student must:

- Possess the ability to learn, interpret, integrate, analyze and synthesize data
- Possess the intellectual abilities required to carry out reasoning, analysis, problem-solving, critical thinking, self-evaluation and lifelong learning
- Be able to comprehend three dimensional and spatial relationships

**Communication Skills**

A student must:

- Communicate effectively and professionally with patients, colleagues, faculty and guests in verbal, nonverbal, and written form
- Possess sufficient command of the English language in order to retrieve information from lectures, textbooks, and exams
- Be able to obtain an accurate medical/dental history
- Be able to accurately record findings in patients’ records

**Behavioral / Social / Mental / Emotional Skills**

High levels of emotional and mental stability are required on a daily basis.

A student must possess the emotional health and mental stability necessary to:

- Demonstrate respect and caring for patients, peers, staff, and faculty
- Interact with peers, patients, staff and faculty in an emotionally stable, professional, and ethical manner
Demonstrate respect for the diversity of cultures among clinical patients, college personnel and peers
Demonstrate a team approach in carrying out responsibilities in all settings
Endure physically taxing workloads
Function effectively under stress
Adapt to changing environments by displaying flexibility
Display compassion, integrity, respect and concern for others, and strong interpersonal skills
Be tactful and congenial
Be able to accept criticism and respond by appropriate modification of behavior
Be able to interrelate among colleagues, staff, and patients with honesty, integrity, professionalism and nondiscrimination
Exercise good judgment
Promptly complete responsibilities attendant to the diagnosis and care of patients, and the development of mature, sensitive, and effective relationships with patients
Respect the confidentiality of patient privacy.

Other Skills

Students must demonstrate the ability to arrive at their clinical assignments on time and meet the programmatic requirements in a timely, professional and competent manner.

I attest that with proper training I see no reason why I am not capable of performing the technical standards expected of a student in the UNE Dental Hygiene Program as outlined above with or without reasonable accommodations.

________________________________________________________
Student Signature Date
1.03 Competencies

**PROFESSIONALISM AND ETHICS**

1. Apply a professional code of ethics in all endeavors.
2. Adhere to state and federal laws, recommendations and regulations in the provision of dental hygiene care.
3. Provide dental hygiene care to promote patient/client health and wellness using critical thinking and problem solving in the provision of evidence-based practice.
4. Assume responsibility for dental hygiene actions and care based on accepted scientific theories and research as well as the accepted standard of care.
6. Advance the profession through service activities and affiliations with professional organizations.
7. Provide quality assurance mechanisms for health services.
8. Communicate effectively with individuals and groups from diverse populations both in writing and verbally.
9. Provide accurate, consistent and complete documentation for assessment, diagnosis, planning, implementation and evaluation of dental hygiene services.
10. Provide care to all patients using an individualized approach that is humane, empathetic, and caring.
11. Pursue continuing education courses and/or higher education that demonstrate a commitment to lifelong learning.

**COMMUNITY INVOLVEMENT**

1. Provide community oral health services in a variety of settings.
2. Provide screening, referral and education services that facilitate public access to the health care system.
3. Respond to patient or community requests for information about contemporary dental problems.
4. Promote the dental hygiene profession by actively participating in the membership, leadership and/or service in professional organizations.
5. Assess and evaluate community based oral disease prevention strategies that aim to improve the oral health of the public.

**HEALTH PROMOTION AND DISEASE PREVENTION**

1. Evaluate and utilize methods to ensure the health and safety of the patient and the dental hygienist in the delivery of dental hygiene.
2. Evaluate factors that can be used to promote patient adherence to disease prevention and/or health maintenance strategies.
3. Provide educational methods using appropriate communication skills and educational strategies to promote optimal health.
4. Promote preventive health behaviors by personally striving to maintain oral and general health.
5. Identify individual and population risk factors and develop strategies that promote health related quality of life.
**PATIENT CARE**

1. **Assessment** -
   a. perform a comprehensive examination using clinical, radiographic, periodontal, dental charting, and other data collection procedures to assess the patient's needs
   b. Recognize predisposing and etiologic risk factors that require intervention to prevent disease
   c. Obtain, review and update a complete medical and dental history
   d. Recognize health conditions and medications that impact overall patient care
   e. Identify patients at risk for a medical emergency and manage the patient in a manner that prevents an emergency

2. **Diagnosis**
   a. Use assessment findings, etiologic factors and clinical data in determining a dental hygiene diagnosis
   b. Identify patient needs and significant findings that impact the delivery of dental hygiene services
   c. Obtain the proper consultations as indicated

3. **Planning**
   a. Establish a planned sequence of care based on the dental hygiene diagnosis; identified oral conditions; potential problems; etiologic and risk factors; and available treatment modalities
   b. Prioritize the care plan based on the health status and actual and potential problems of the individual to facilitate optimal health
   c. Establish a collaborative relationship with the patient in the planned care to include the etiology, prognosis, and treatment alternatives
   d. Make referrals to other health care professionals
   e. Obtain the patient's informed consent

4. **Implementation**
   a. Utilize accepted infection control procedures
   b. Obtain diagnostic quality radiographs
   c. Apply basic and advanced techniques of dental hygiene instrumentation to remove deposits without trauma to hard and soft tissues
   d. select and administer appropriate chemotherapeutic agents and provide pre and post treatment instructions
   e. provide adjunct dental hygiene services that are legally permitted
   f. Provide oral health education to assist patients in assuming responsibility for their own oral health

5. **Evaluation**
   a. Evaluate the effectiveness of the patient's self-care and the dental hygiene treatment in attaining or maintaining oral health
   b. Determine the clinical outcomes of dental hygiene interventions
   c. Develop a maintenance program that meets the patient's needs
   d. Provide referrals for subsequent treatment based on the evaluation findings

* Patient care and community-centered competencies also appear in sections 3.07 and 3.08.
1.04 UNE Dental Hygiene Program Standards of Care

Assessment

Standard 1 (Collection)

Data on the general and oral health status of the patient are collected systematically, recorded accurately, and updated continuously using methods consistent with medico-legal principles; i.e. communicated informed consent and written approval of the treatment plan.

1. Data on health status are comprehensive and include information on the patients’ general health, oral health, and behavioral patterns.
2. Data are collected from the patient and/or family.
3. Health professionals are consulted as indicated.

Standard 2 (Analysis)

The patient data are analyzed and a dental hygiene diagnosis is formulated.

1. The patient’s general health status is analyzed to assess its relationship to oral health status and dental hygiene care.
2. The patient’s oral health status is analyzed in relation to accepted standards of practice and the degree of deviation is identified.
3. Limitations to achieving optimum oral health are identified.
4. The dental hygiene diagnosis is related to and congruent with the diagnoses of dentists and other health professionals.

Planning

Standard 3

The dental hygiene treatment plan is derived from the dental hygiene diagnosis and includes goals, priorities, dental hygiene procedures and patient action.

1. Goals are set with the patient and/or family to maximize potential and are congruent with other planned dental treatment and oral/general health status.
2. The dental hygiene treatment plan is: a sequence of procedures; consultation and referral of treatment when indicated; the optimal type and amount of treatment included at each appointment; and measures to prevent or control specific patient problems.
3. The dental hygiene treatment plan is based on current scientific information, requirements for a functional healthy oral cavity, oral health goals of the patient, patient’s responsibility for self-care, and the integration of dental hygiene care with other dental care.
4. Priorities are formulated on the basis of the extent and severity of patient needs and are congruent with other planned dental/general health exams.
5. An explanation to the patient and/or significant other includes the rationale, nature and prognosis of the recommended dental hygiene care. Alternate treatment plans, potential results of non-treatment, and potential risks involved in treatment are discussed.
Implementation

Standard 4

The dental hygiene treatment includes preventive and therapeutic procedures to promote and maintain oral health, and procedures to prevent or control disease or patient problems and assist the patient in achieving oral health goals.

1. The patient’s general and oral health data are used throughout dental hygiene care to aid in selecting and modifying procedures.
2. Consideration is given to the effect of specific procedures on contiguous tissues and the patient’s general health and well being throughout dental hygiene care.
3. The patient (and family if appropriate) in addition to relevant health professionals is informed of the progress and results of dental hygiene care and self-care.
4. The patient (and family if appropriate) is provided with information regarding promoting, maintaining or restoring oral health.

Standard 5

Oral health education assists dental hygiene patients in assuming responsibility for their oral health and in attaining oral health goals.

1. An oral health education plan:
   • Is developed on the basis of the dental hygiene diagnosis
   • Is related to the patient’s motivational needs, physical limitations, environment, lifestyle and culture
   • Is comprehensive and an integral part of the dental hygiene treatment plan and reflects a total body wellness philosophy
   • Reinforces the patients’ responsibility for their oral health
   • Involves the patient in establishing goals for self-care, and assessing their attainment.

2. The effectiveness of oral health education is evaluated. Educational methods of self-care goals and techniques are revised as indicated.

Evaluation

Standard 6

The patient’s attainment of oral health goals is evaluated by the dental hygienist and patient. Based on this mutual evaluation, the plan for dental hygiene care is implemented.

1. Patient data related to the attainment of oral health goals is continuously evaluated by the dental hygienist and patient.
2. Dental hygiene care is analyzed for its effectiveness in attaining treatment and educational goals.
3. New priorities and goals are established and additional dental hygiene care and patient self-care are identified and carried out when indicated.
Documentation

Standard 7

Documentation of all assessments, dental hygiene diagnoses, treatments provided, counseling, recommended preventive measures, and consultations with and referrals to other health care professionals, will be included in the patient’s dental record.

Resource: Adapted from the ADHA’s Standards of Applied Dental Hygiene Practice

1.05 Accreditation

The University of New England is accredited by the New England Association of Schools and Colleges, Inc., which accredits schools and colleges in six New England states. The Commission on Dental Accreditation accredits the Dental Hygiene Program.

1.06 Licensure

The licensing process for dental hygienists includes the successful passing of the written Dental Hygiene National Board as well as the successful passing of all required regional or state licensing examinations.

1.07 Baccalaureate Degree in Dental Hygiene

The University of New England offers students the opportunity to pursue a bachelor of science that fully prepares students to take the licensure examinations to become registered dental hygienists.

Bachelor of Science Degree Completion

The Bachelor of Science completion program includes advanced education in dental hygiene skills and prepares students for a broader range of careers in dental hygiene, community health, administration or research.
1.08 Student Expenses

All students enrolled in the Dental Hygiene Program are required to purchase/rent books and other necessary items, such as instruments, as deemed appropriate by the course director. The largest expense for students will occur at the beginning of the fall semester of the junior year. It is at this point students will begin their clinical experiences and will need to purchase the necessary instruments. The cost incurred will be approximately $1,400.

Students sometimes wish to purchase supplies and equipment elsewhere. The Dental Hygiene Program strongly discourages this for a number of reasons. The faculty requires the bookstore to purchase specific, high quality instruments; and the faculty has determined that the items in the bookstore are necessary for skill development as a dental hygiene student.

Additionally, the program has been able to negotiate warranty extensions and generous instrument return policies that are not available to students who purchase outside of this system.

Students must have quality instruments for learning. Students without them will be prohibited from participating in clinical sessions.

Students planning to take board exams in the fourth year should also plan on testing fees of approximately $1,400.

1.09 Student Members of the American Dental Hygiene Association

Students enrolled in clinical dental hygiene courses may become members of the Student Members of the American Dental Hygiene Association (SMADHA). This Association provides the student with the opportunity to be involved in both campuses as well as district activities. Monthly meeting times are posted in advance in the Grace Coleman Dental Hygiene Building.

1.10 American Dental Hygienists’ Association Code of Ethics for Dental Hygienists

1. Preamble

As dental hygienists, we are a community of professionals devoted to the prevention of disease and the promotion and improvement of the public’s health. We are preventive oral health professionals who provide educational, clinical, and therapeutic services to the public. We strive to live meaningful, productive, satisfying lives that simultaneously serve our profession, our society, the world, and us. Our actions, behaviors, and attitudes are consistent with our commitment to public service. We endorse and incorporate the Code into our daily lives.

2. Purpose

The purpose of a professional code of ethics is to achieve high levels of ethical consciousness, decision-making, and practice by the members of the profession. Specific objectives of the Dental Hygiene Code of Ethics are:
- To increase our professional and ethical consciousness and sense of ethical responsibility.
- To lead us to recognize ethical issues and choices and to guide us in making informed ethical decisions.
- To establish a standard for professional judgement and conduct.
- To provide a statement of the ethical behavior the public can expect from us.

3. Basic Beliefs

The following beliefs guide the practice of dental hygiene and provide context for ADHA Code of Ethics:

- The services we provide contribute to the health and well being of society.
- Our education and licensure qualify us to serve the public by preventing and treating oral disease and helping individuals achieve and maintain optimal health.
- Individuals have intrinsic worth, are responsible for their own health, and are entitled to make choices regarding their health.
- Dental hygiene care is an essential component of overall healthcare and we function interdependently with other healthcare providers.
- All people should have access to healthcare, including oral healthcare.
- We are individually responsible for our actions and the quality of care we provide.

4. Fundamental Principles

UNIVERSALITY

The principle of universality assumes that, if one individual judges an action to be right or wrong in a given situation, other people considering the same action in the same situation would make the same judgment.

COMPLEMENTARITY

The principle of complementarity assumes the existence of an obligation to justice and basic human rights. It requires us to act toward others in the same way they would act toward us if roles were reversed. In all relationships, it means considering the values and perspectives of others before making decisions or taking actions affecting them.

ETHICS

Ethics are the general standards of right and wrong that guide behavior within society. As generally accepted actions, they can be judged by determining the extent to which they promote good and minimize harm. Ethics compel us to engage in health promotion/disease prevention activities.

COMMUNITY

This principle expresses our concern for the bond between individuals, the community individuals, the community, and society in general. It leads us to preserve natural resources and inspires us to show concern for the global environment.
RESPONSIBILITY
Responsibility is central to our ethics. We recognize that there are guidelines for making ethical choices and accept responsibility for knowing and applying them. We accept the consequences of our actions or the failure to act and are willing to make ethical choices and publicly affirm them.

5. Core Values

INDIVIDUAL AUTONOMY AND RESPECT FOR HUMAN BEINGS
People have the right to be treated with respect. They have the right to informed consent prior to treatment, and they have the right to full disclosure of all relevant information so that they can make informed choices about their care.

CONFIDENTIALITY
We respect the confidentiality of client information and relationships as a demonstration of the value we place on individual autonomy. We acknowledge our obligation to justify any violation of a confidence.

SOCIETAL TRUST
We value client trust and understand that public trust in our profession is based on our actions and behavior.

NONMALEFICENCE
We accept our fundamental obligation to provide services in a manner that protects all clients and minimizes harm to them and others involved in their treatment.

BENEFICENCE
We have a primary role in promoting the well being of individuals and the public by engaging in health promotion/disease prevention activities.

JUSTICE AND FAIRNESS
We value justice and support the fair and equitable distribution of healthcare resources. We believe all people should have access to high-quality, affordable oral healthcare.

VERACITY
We accept the obligation to tell the truth and assume that other will do the same. We value self-knowledge and seek truth and honesty in all relationships.

A complete copy of the American Dental Hygienists’ Association Code of Ethics will be available in the Clinical Resource Library and in the Abplanalp Library.
1.11 Professionalism

Professionalism is inherent to the practice of dental hygiene. The public has an expectation of what the dental hygienists’ behavior should be, and therefore it is reasonable that the dental hygienist would behave in such a manner and conform to technical and ethical standards of the dental hygiene profession. Professionalism, generally, is defined as exhibiting a courteous, conscientious, and businesslike manner to all patients, peers and faculty. Other characteristics of the professional dental hygienist are being clean, neat, health and prevention orientated, detail conscious, and motivated by service. It is important to realize that professionalism is a mandatory skill that is continually evaluated during your time here as a student (see section 3.28).

1.11.1 Social Media

Social media has the potential to harm our patients, our selves, and our places of work. It is important to understand the HIPAA law and its ramifications. Shared photographs and our words in text messages, tweets etc. have the potential to harm others. Please use discretion and professionalism in all your actions because the public, which we serve, holds our behaviors to a higher standard.

The following are guidelines for UNE Dental Hygiene students/faculty and staff who participate in social media. Social media includes personal blogs and other websites, including Facebook, LinkedIn, MySpace, Twitter, YouTube or others. These guidelines apply whether students are posting to their own sites or commenting on other sites:

1. Follow all applicable UNE Policies. For example, you must not share confidential or proprietary information about UNE and you must maintain patient/client privacy. Among the policies most pertinent to this discussion are those concerning patient/client confidentiality, (HIPPA), mutual respect, UNE Student Handbook, dental hygiene program policy of no photography and video in the clinic, and use of patient/client information and likenesses.

2. Where your connection to UNE is apparent, make it clear that you are speaking for yourself and not on behalf of UNE. In those circumstances, you should include this disclaimer: “The views expressed on this [blog; website] are my own and do not reflect the views of UNE/DH.

3. If you identify your affiliation to UNE/DH, your social media activities should be consistent with UNE/DH and Dental Hygiene’s high standards of professional conduct.

4. If you communicate in the public internet about UNE/DH or UNE related matters, you must disclose your connection with UNE and your role at UNE.

5. Be professional, use good judgment and be accurate and honest in your communications; errors, omissions or unprofessional language or behavior reflect poorly on UNE/DH, and may result in liability for you or UNE.

6. UNE/DH strongly discourages “friending” of patients on social media websites. Students in patient care roles generally should not initiate or accept friend requests except in unusual circumstances such as the situation where an in-person friendship pre-dates the treatment relationship.
7. UNE discourages staff and faculty from initiating “friend” requests with Dental Hygiene students while in the program. Faculty and staff may accept friend requests if initiated by the student, and if the faculty/staff member does not believe it will negatively impact the teaching relationship.

8. Ask UNE/DH leadership for clarification if you have any questions about what is appropriate to include in your social media profile(s).

http://sharing.mayoclinic.org/guidelines/for-mayo-clinic-employees/
1.12 Dental Hygiene Program Full-Time Faculty and Staff

The offices of the faculty and staff of the Dental Hygiene Program can be found in Hersey Hall and the Grace Coleman Building. Students are encouraged to meet with faculty and staff as needed. Office numbers, telephone/voice mail numbers and e-mail addresses are listed below.

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
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<th>PHONE/EMAIL</th>
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<tbody>
<tr>
<td>Mary Aube, R.D.H., M.S., Ed</td>
<td>Clinical Assistant Professor</td>
<td>Coleman 114</td>
<td>207-221-4549 <a href="mailto:Maube4@une.edu">Maube4@une.edu</a></td>
</tr>
<tr>
<td>Ruth Collard, R.D.H., B.S.</td>
<td>Clinical Instructor</td>
<td>Coleman 102</td>
<td>207-221-4317 <a href="mailto:Rcollard@une.edu">Rcollard@une.edu</a></td>
</tr>
<tr>
<td>Eileen Dunfey, R.D.H., M.S.</td>
<td>Clinical Instructor</td>
<td>Coleman 102</td>
<td>207-221-4319 <a href="mailto:Edunfey@une.edu">Edunfey@une.edu</a></td>
</tr>
<tr>
<td>Lisa Dufour, R.D.H., M.S.</td>
<td>Professor</td>
<td>Hersey 321</td>
<td>207-221-4313 <a href="mailto:Ldufour@une.edu">Ldufour@une.edu</a></td>
</tr>
<tr>
<td>Patricia Farrar</td>
<td>Administrative Assistant</td>
<td>Coleman 109</td>
<td>207-221-4277 <a href="mailto:Pfarrar@une.edu">Pfarrar@une.edu</a></td>
</tr>
<tr>
<td>Marji Harmer-Beem, R.D.H., M.S.</td>
<td>Interim Chair, Associate Professor</td>
<td>Hersey 324</td>
<td>207-221-4315 <a href="mailto:Mharmerbeem@une.edu">Mharmerbeem@une.edu</a></td>
</tr>
<tr>
<td>Charlene Sargent</td>
<td>Office Manager</td>
<td>Coleman 109</td>
<td>207-221-4278 <a href="mailto:Csargent2@une.edu">Csargent2@une.edu</a></td>
</tr>
<tr>
<td>Laura Krause, D.D.S.</td>
<td>Clinical Associate Professor</td>
<td>Coleman 112</td>
<td>207-221-4470 <a href="mailto:Lkrause@une.edu">Lkrause@une.edu</a></td>
</tr>
<tr>
<td>Courtney Vannah, I.P.D.H., M.S.</td>
<td>Visiting Clinical Assistant Professor</td>
<td>Coleman 113</td>
<td>207-221-4249 <a href="mailto:Cvannah@une.edu">Cvannah@une.edu</a></td>
</tr>
<tr>
<td>Jane Walsh, R.D.H., J.D.</td>
<td>Clinical Professor</td>
<td>Coleman 103</td>
<td>207-221-4318 <a href="mailto:Jwalsh@une.edu">Jwalsh@une.edu</a></td>
</tr>
</tbody>
</table>
1.13 Dental Hygiene Faculty Advisors

Students enrolled in the Dental Hygiene Program are assigned a dental hygiene faculty member to serve as their academic advisor. Although academic advisors are available to assist students in fulfilling major and graduation requirements, the ultimate responsibility for these matters rests with the students. Students are advised to meet with their advisors at least once per semester. It is the responsibility of the student to initiate the meeting schedule with his/her advisor.

1.14 Tutorial / Learning Assistance

The Student Academic Success Center (SASC) offers a comprehensive tutoring program for the content areas, with emphasis upon the sciences, mathematics, and the health professional curriculum. Every effort will be made to provide appropriate tutorial services. Writing assistance is also available through the Student Academic Success Center.

The SASC is located in the Proctor Center, ext. 4247.

Additional information regarding the Student Academic Success Center can be found in the University of New England Student Handbook.

1.15 Office for Students with Disabilities

The Office for Students with Disabilities (OSD) exists to provide the qualified student with a disability equivalent access to, and equal opportunity in, the educational environment.

The Dental Hygiene Program requires that any student with a documented disability needing academic adjustments or accommodations is requested to speak with the professor during the first two weeks of class. All discussions will remain confidential.

Additional information regarding the Office for Students with Disabilities can be found in the University of New England Student Handbook.

1.16 Student Attendance/Attire

All students are expected to attend all courses for which they have registered. Attendance policies regarding absences are established and announced by the individual instructor for his/her classes. If a student is absent to the extent that his/her work is adversely affected, the instructor will report the student’s absence to the department chair/program director with comments on the status of the student in the course.

Whenever a student is specifically reported in writing by an instructor to the department chair/program director as being excessively absent from class, clinic or lab, the instructor, with the approval of the
department chair/program director, may drop the student from that course with an appropriate grade. (UNE Student Handbook)

Please refer to the UNE Student Handbook for further information regarding the attendance policy.

_**Respect for faculty, staff, students, patients and other health care professionals**_

1. Students are expected to demonstrate respectful behavior at all times toward the faculty, staff, students and patients at the University of New England and other institutions on or affiliated with the University of New England.
2. The student body, faculty and staff represent a diverse group. Respect for and understanding of individuals from diverse backgrounds is a part of a university education. Prejudices against individuals because of race, ethnic or cultural background, gender, disability or other personal characteristics will not be tolerated from UNE Dental Hygiene students.
3. Students are expected to display mature judgment and abide by the reasonable decisions communicated by faculty and staff. Profane language or disrespectful behavior by students is unacceptable. Faculty and staff members work to provide a quality educational program for dental hygiene students. Misunderstandings, changes in curricula or mistakes in administrative aspects of the program will occur from time to time. Appropriate mechanisms exist to communicate student concerns about the operation of the school through dental hygiene faculty members, administrators, student government members and student representatives on school committees.
4. The dignity and respect of all health care practitioners and caregivers must be acknowledged, promoted and upheld.

**University of New England Dental Hygiene Program Dress Code**

The Dental Hygiene Program recognizes that appropriate personal appearance creates a favorable impression on the College and the dental hygiene profession in general. The dress code is based on the theory that learning to use socially acceptable manners and selecting attire appropriate to specific occasions and activities are critical factors in the total educational process. Understanding and employing these behaviors improves the quality of one's life, but also contributes to optimum morale, as well as embellishes the overall campus image. They also play a major role in instilling a sense of integrity and an appreciation for values and ethics. The continuous demonstration of appropriate manners and dress insures that the dental hygiene students meet the very minimum standards of quality achievement in the social, physical, moral and educational aspects of their lives - essential areas of development necessary for propelling students toward successful careers. As well, faculty, visitors, patients, families, health care sites and accrediting agencies justifiably expect strict standards to be maintained. This dress code applies at all times when the student is on the UNE Campuses and to any situation where patient care activities occur or the occurrence of direct patient or healthcare professional contact can be reasonably assumed. These instances would include but not be limited to all off campus program sponsored experiences. In the absence of a stated policy for an individual course or setting, the following dress code will apply:

_A. General Personal Care_

1. Maintain good personal hygiene, which includes regular bathing, use of deodorants and regular dental hygiene.
2. Hair should be neat and clean. Hair longer than shoulder length should be secured away from the face if close contact with patients is anticipated. Beards and mustaches must be clean and well groomed.
3. Perfume or cologne is not recommended, as many people are allergic or sensitive to them.
4. Cosmetics should be used in moderation.
5. Fingernails must be clean, neatly trimmed, and short in length.
6. Tattoos must be covered.
7. Jewelry in pierced noses, lips, tongues and other exposed body areas, other than ears is not permitted.

B. Appropriate Attire
1. Clean, business casual styled clothing
2. An undershirt should be worn if undergarments are visible through clothing.
3. Knee-length or longer skirts for women when worn

C. Inappropriate Attire
1. Hats, caps, bandanas, hoods or head scarves (except if considered part of religious or cultural dress)
2. Sweatpants, sweatshirts, pajamas, spandex, exercise attire or jeans with holes, rips, fading or excessive wear or frayed hems.
3. Tank, mesh, halter or tube tops, spaghetti straps, showing of midriff or breast cleavage.
4. Flip-flops, slippers or bare feet
5. Shorts or skorts
6. Shirts with inappropriate or vulgar lettering or messages

The Dental Hygiene Program and its preceptors reserve the right to require students who are in violation of the dress code to remove the inappropriate item(s) or leave the learning or patient care environment. All administrative, faculty and support staff members will be expected to monitor student’s behavior applicable to this dress code and report such disregard or violations to Office of the Director.
University of New England College of Dental Medicine Dress Code – 2015

Because the professional appearance and demeanor of all members of the UNE College of Dental Medicine oral health care team contributes to and influences the perception of quality patient care, faculty, students, and staff are required to maintain proper dress, personal hygiene, and a well-groomed professional appearance at all times in the Oral Health Center by following the UNE CDM dress code (below).

All students, faculty, and staff are required to wear UNE identification badges in a visible location. During patient care, the ID badge can be secured under the disposable clinic gown for infection control reasons.

The dress code below serves as a guideline for these standards. When there is a doubt about a particular aspect of the dress code, individuals are urged to make choices that most closely align with the College’s mission, values, and vision statements. These guidelines are in effect from 7:30 AM until 5:30 PM, Monday through Friday. Faculty, staff, and students attending or working for weekend events or programs are expected to follow this code.

If a conflict arises between this policy and the College’s Infection Control Policies, Infection Control Policies will prevail.

Standard Attire: Campus

Students, faculty, and staff should be appropriately dressed at all times. All clothing should be clean, neat, and in good repair.

Appropriate attire does include:
- A visible official school identification badge, worn above the waistline at all times
- Pants/slacks
- Dress shirt with collar and tie (for males)
- Polo shirt with collar
- Sweaters/jackets
- Blouses/tops with modest necklines. Sheer fabrics must be layered.
- Skirts or dresses long enough to allow for modesty and comfortable movement
- Dress shoes, clogs, or boots. Shoes must be a solid material and closed-toe to provide protection against accidental puncture or injury. Socks, hose, or stockings must cover exposed skin and ankle.
- Hats are to be worn outside only
- Body piercing jewelry and all visible body piercings must be removed other than the maximum of 2 holes/earlobes. No bars, dermal anchors, or gauges.

Appropriate attire does not include:
- Caps or any type of hat worn inside
- Jeans, leggings, cargo pants, or shorts
- Sweatshirts, sweatpants, or hoodies
- Midriff tops
- T-shirts
• Tank tops, halter tops, exposed bra straps
• Athletic shoes
• Open-toes shoes, sling-backs, sandals, flip-flops, Crocs

**Oral Health Center Attire**

Standard attire as described above will be worn in ALL clinic sessions. Additional requirements are:
• Men must wear collared shirt and tie.
• Students will wear clean, ironed white coats when greeting the patient, while chair-side for patient interviews, and when planned patient contact does not involve a potential for splatter.
  - White coats should not be worn out of the Oral Health Center unless for a specific event.

**Personal Hygiene:**

**Hair**
• Facial hair must be kept neat, clean, and well-trimmed.
• Hair must be clean and neatly groomed at all times.
• Shoulder-length hair must be secured so that it is back and out of the field of operation and does not require handling during the treatment procedure.

**Fingernails and Hands**
• Hands and fingernails are to be immaculately clean, short (nails), and well-maintained.
• No nail polish.
• Rings that may tear or puncture clinic gloves cannot be worn.

**Personal Cleanliness**
• Adequate precautions must be taken to maintain good personal hygiene to prevent body odors.
• No perfumes, colognes, or scented body lotions as patients may have allergies
• Preventive measures should be taken to maintain ideal oral hygiene and prevent breath odors (garlic, onions, etc)
• No gum chewing

**Simulation Clinic Attire**

Standard attire as described above will be worn in ALL clinic sessions. Because the Simulation Clinic is considered a simulated clinical environment, all students, faculty, staff, and volunteers are expected to comply with the CDM Oral Health Center’s infection control policy by wearing personal protective equipment as described below. The gown color for the Simulation Clinic shall be yellow (in contrast to the blue or white that is worn in the comprehensive care Group Practices).

Personal hygiene guidelines, as described above in the Oral Health Center Attire section, must also be followed.

**Personal Protective Equipment (PPE)**

All students, faculty, staff, and volunteers are expected to comply with the CDM Oral Health Center’s Infection Control Policy by wearing appropriate personal protective equipment whenever skin, eye, mucous membrane, or parenteral contact with blood or saliva can reasonably be anticipated. Determining
the proper outer garment PPE typically rests upon whether the planned patient contact involves a potential for splatter. Disposable gowns should be changed for each clinic session or more often if visibly soiled. These gowns must be removed and properly disposed of when departing the patient treatment area. (See more specific information in Section II: Infection Control.)

**Scrubs**

The University of New England College of Dental Medicine has a no scrubs on campus policy. The one exception is in the anatomy lab in Biddeford.

**Dress Code Enforcement**

The spirit of the dress code is intended to support the professional image of the dental students, faculty, staff, and volunteers as well as the image of the College of Dental Medicine. Additionally, the stated guidelines provide for patient, student, faculty, and staff safety. It is hoped that the dental school community will cooperate by complying with the code by self-regulation. If self-regulation fails, immediate supervisors will become involved in the enforcement process and the following actions may be taken:

- Warnings and other disciplinary action, up to and including termination of employment.
- Denials of access to clinics, classrooms, and/or laboratories.
- If a warning is given, compliance with the UNE CDM Dress Code is expected within 24 hours of the warning. If patient care is involved, the individual may be required to leave the specified area immediately.
- Continued violations by…
  - Students are managed by their Group Practice Leader and the Executive Associate Dean
  - Faculty are managed by the Executive Associate Dean
  - Staff are managed by their respective immediate supervisor and the Executive Associate Dean
1.17 Student Messages

If an emergency situation arises, please instruct individuals to call the following telephone numbers and leave the appropriate message. The Dental Hygiene Program encourages you to provide those who may need to contact you with a copy of your school schedule. This will assist those involved in determining where you can be found in case of an emergency.

**Dental Hygiene Program Staff: (207)-221-4471** (Please do NOT call the general clinic number as your message may not be retrieved immediately)

UNE Security Office: 207-602-2298  
UNE Switchboard: 207-283-0171 (UC)  
207-797-7261 (WCC)

**Student Absences: See Illness Notification Policy 3.01** – In the event of illness please call the Dental Hygiene Program directly by calling the clinic staff line at 207-221-4471. Messages left at switchboard or sent by classmates are unacceptable. Inform the clinical course directors as well.
**1.18 Grading Policies**

Methods of grading and evaluation will be clearly documented in each course syllabus and reviewed with the students by the course director. The course director will determine what methodology will be used when assigning grades.

The following grading scale is in effect for dental hygiene courses:

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<tr>
<th>Grade</th>
<th>Percentage</th>
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<tr>
<td>A</td>
<td>94-100</td>
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<tr>
<td>A-</td>
<td>90-93</td>
</tr>
<tr>
<td>B+</td>
<td>87-89</td>
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<tr>
<td>B</td>
<td>84-86</td>
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<td>B-</td>
<td>80-83</td>
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<td>C+</td>
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<td>C</td>
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<td>C-</td>
<td>70-73</td>
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<td>D+</td>
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<td>D</td>
<td>64-66</td>
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<td>F</td>
<td>0-63</td>
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The Dental Hygiene Program requires that students receive a grade of C or better in all dental hygiene and science courses. If a C or higher is not achieved in co-requisite courses both components must be repeated. Students may repeat a dental hygiene course once. If the student does not achieve a grade of C or better the second time a course is taken he/she will be dismissed from the program. In many instances, courses serve as prerequisites for upper level courses; thus, slowing down the students’ progression through the required dental hygiene and science courses. The Dental Hygiene Program cannot guarantee that courses that need to be repeated will be offered in a timely or convenient manner and scheduling conflicts may occur. A grade below C in two or more dental hygiene or science courses in a single semester will result in program dismissal.

**1.19 Program Probation and Remediation**

Probation Policy

Any dental hygiene student whose grade falls below a 74 at any time will be placed on program probation until the GPA has been brought up.

Remediation Policy

If a student fails an exam, (a score of 74 or lower) they will be sent written notice and be required to visit the SASC for help and must send confirmation of that visit and a plan for help to the course instructor before the next exam. If the student fails a second exam, they must again, after written notice, visit the Student Success Center and provide confirmation of that visit and new study plan to their course director. They must also meet one on one with the course instructor to review the test or seek help from the peer tutor and send that confirmation to the course instructor. Quizzes may be remediated at the discretion of
the course instructor based on overall class outcome for grades. Failure of a 3rd exam will necessitate meeting with the course instructor and the Program Director.

1.20 University Academic Probation

A student whose grade point average (GPA) for any semester falls below 1.70 or whose cumulative grade point average is below the minimum acceptable level is automatically placed on probation. A student placed on academic probation will be granted one fall or spring semester to raise his/her cumulative GPA to the minimum acceptable level and will be required to achieve a minimum GPA of 1.70 for the semester. Failure to meet both of these criteria will result in automatic dismissal from the University due to academic deficiency. (University of New England Undergraduate and Graduate Program Catalog)

1.21 Resolution of Differences

Students are encouraged to maintain open communication with faculty members. If concerns arise concerning course work, grades or conflicts, students must follow the appropriate channels to assist in conflict resolution. Conflicts are resolved using the appropriate channels in the order listed below:

1. Meet with faculty member involved in course
2. Talk with faculty advisor
3. Meet with the Program Director
4. Follow additional steps outlined in UNE Student Handbook

1.22 Academic Honesty

Academic Honesty – Charges of academic dishonesty will be handled through the Dental Hygiene Program Faculty and Program Director and referred to the Dean of the appropriate College, if necessary. Examples of academic dishonesty include:

1. Cheating, copying, or the offering or receiving of unauthorized assistance or information.
2. Fabrication or falsification of data, results, or sources for papers or reports.
3. Action which destroys or alters the work of another student.
4. Multiple submission of the same paper or report for assignments in more than one course without permission of each instructor.
5. Theft of personal property from students or clinic.
6. Plagiarism, the appropriation of records, research materials, ideas, or the language of other persons or writers and the submission of them as one’s own.

Punitive action may be taken by the Program Director or as outlined in the UNE Student Handbook.

1.23 Academic and Disciplinary Appeals Policy
Students are advised to review the Academic and Disciplinary Appeals Policy stated in the UNE Student Handbook.

1.24 Incomplete Grading Policy

Incomplete grades are only granted under extenuating circumstances in consultation with the Program Director.

1.25 Substance Use and Abuse Policy

Students found to be or suspected of being under the influence of an intoxicating substance will be escorted from the building by campus security. Student’s behavior will be reported to the Program Director for disciplinary action.

The use of tobacco by students is strongly discouraged. As health professionals, please guard against odors on the hair and clothing that are unpleasant and unhealthy; including the odor of tobacco smoke. If the odor of smoke is present the student will be dismissed from clinic and counted as an unexcused absence.

Students in need of substance abuse treatment or counseling are urged to take the necessary steps to do so. Guidance may be received from the Campus Health Center, the Dean of Student Affairs or the Dental Hygiene Program Director.

New UNE Tobacco Policy:

Effective July 1, 2014, the University of New England is a tobacco and smoke-free campus. Smoking of tobacco or other substances and use of all tobacco products, including electronic cigarettes will not be permitted anywhere or anytime on the University campuses. This includes all parking lots, (including personal vehicles), buildings, residence halls and their grounds, clinics, laboratories, classrooms, private offices, balconies, roofs, plazas, vestibules, loading docks, sidewalks, and on any other campus property, as well as within close proximity to or causing the obstruction of any building entrance, covered walkway or ventilation system. Please note FDA approved nicotine replacement therapy products will be permitted.

Signs are posted at each building’s entrances and displayed in prominent, visible areas to inform all individuals entering or occupying UNE property that smoking and tobacco products are prohibited. This policy applies to all University of New England sites within and outside Maine.

The UNE Community will fully implement this policy related to smoking and tobacco use. All vendors and contractors retained by UNE will ensure that this policy is implemented when their employees are visiting or working on UNE property.

1.26 Health Examination and Immunization Status

Students are required to follow the University of New England and the Dental Hygiene Program policies in regard to physical examinations and immunizations. The Dental Hygiene students are required to show proof of having received the Hepatitis B vaccine and other required immunizations as well as the results of an annual TB test. See University Immunization Policy.
1.27 Students with Disabilities

Any student documented to need special services must contact the Office for Students with Disabilities. The Dental Hygiene Program will follow any OSD recommendations that are brought forth.

1.28 Sexual Harassment Policy

University Policy on Harassment:

Consistent with state and federal law, the University of New England does not, under any circumstances, tolerate or condone harassment of its students on the basis of race, sex, handicapping conditions, religion, age, ancestry, national origin, or sexual preference. In keeping with efforts to promote and maintain an environment in which the dignity and worth of all people is respected, the University of New England considers harassment of students unacceptable and cause for serious disciplinary action, up to and including dismissal from the University. For more information, please refer to the University of New England’s Student Handbook.

1.29 Violence in the Workplace Policy

In the event of violence in the workplace UNE Security must be called at ext. 366 using a UNE phone or 207-283-0176 from a cell phone. If violence threatens a person’s physical well being, be that of student, faculty, or patient, then UNE Security must be called, as well as the Portland Police at 911.

An incident report must be filled out and filed with the program director as soon as possible to report an incident of violence.

1.30 Campus Parking

Various parking lots are available to students around the University campuses. Vehicles must have a current parking decal and be parked in lots designated for students parking.

The Department of Safety and Security provides on-campus vehicle and pedestrian escorts to University members during the hours of darkness on a time available basis. On the Portland Campus, dial ext. 2298 and request an escort.

1.30.1 Children on Campus Policy (UNE Student Handbook)

The University of New England is a diverse environment of classrooms, offices, laboratories, recreational and other common areas. Visitors to campus are welcome and encouraged. However, appropriate precautions and limitations on visitation are necessary to protect health and safety and to maintain productivity and regulatory compliance. The University of New England values its students and employees and strives to support them in an environment where we balance work and family.

Safety is always a primary concern when considering the presence of children (and other visitors) on campus. A number of our facilities are not designed for unsupervised public access and therefore maintain the same appropriate limited access to children /visitors as at other academic institutions. Employees and
students must understand their responsibility for supervision of their child. To this end, the University has instituted the following guidelines to ensure the safety and welfare of our employee or students’ children (or visitors).

Student Guidelines:
1) A child should not be left unattended while the parent or guardian is attending class or conducting any other business or social function on campus;
2) Line of sight supervision by the parent or guardian is required at all times;
3) Children are not allowed in the high-risk areas:
   a) Laboratories, shops, studios, mechanical rooms, power plants, garages, docks, food preparation areas, and fitness centers.
   b) Any areas, indoors or out, containing power tools or machinery with exposed moving parts.
   c) UNE vehicles, boats, or other motorized equipment; excepting incidental travel in a University car, truck or van, consistent with the UNE Travel Policy.
   d) Any other high-risk areas (no playing in stairwells, elevators or doorways, no access to rooftops, construction zones, etc.).
4) Children are not allowed in classrooms while classrooms are in session unless the faculty member grants permission. Should a child become disruptive, the student and child may be asked to leave. Reference the Student Handbook.

**1.31 Policy on Visitors and Observers in the Dental Hygiene Clinic**
Due to the potentially hazardous environment of the clinical treatment area and acknowledging the responsibility of the faculty and staff to render quality care to patients through direct and attentive supervision of dental hygiene students, the following policies are in effect:

1. All visitors/observers must register with a dental hygiene program staff member upon arrival. The staff will indicate to the visitor the time restrictions depending on the intent of the visit.
2. Clinical course directors will be notified by the front desk personnel regarding expected or unanticipated visitors. The director of the clinic on any given day will assist and escort the visitor to assure compliance with clinic protocol and to conduct the visit in the least disruptive manner possible.
3. Visitors are to remain away from the treatment area when observing students. Observers are to respect the confidential nature of the relationship between the student operator and patient and are to refrain from interfering in the treatment with excessive verbal communication.

Visitors are defined as any individual not currently enrolled in or employed by the University of New England, Westbrook College Campus Dental Hygiene Program. Licensed dentists and dental hygienists
serving as volunteer faculty are not to be considered under the status of visitors per se. However, items #1 and #2 do apply to them as well.

1.32 UNE Facilities

The Dental Hygiene Program encourages students to utilize the various facilities available on the Portland Campus including:

- Counseling Center
- Finley Center
- Alexander Hall – Dining Center
- Josephine S. Abplanalp Library
- Art Gallery
- Health Center
- Dental Hygiene Clinic
- Student Academic Success Center
- Financial Aid
- Computer Services
- Campus Center
- Registrar’s Office

1.33 Complaints Policy

“The Commission on Dental Accreditation will review complaints that relate to a program’s compliance with the accreditation standards. The Commission is interested in the sustained quality and continued improvement of dental and dental-related education programs but does not intervene on behalf of individuals or act as a court of appeal for individuals in matters of admission, appointment, promotion or dismissal of faculty, staff or students.”

A copy of the appropriate accreditation standards and/or the Commission’s policy and procedure for submission of complaints may be obtained by contacting the Commission at 211 East Chicago Avenue, Chicago, IL 60611-2678 or by calling 1-800-621-8099 Ext. 4653.

1.34 DENTAL HYGIENE CLINIC HIPAA POLICIES AND PROCEDURES

HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT

The UNE Dental Hygiene Clinic complies with all HIPAA regulations.

Dental Hygiene Program Policies

1. Patient records will only be used for educational purposes if they have been effectively de-identified or appropriate written authorization has been received from the patient.
2. Patient records will not leave the Grace Coleman Building and will be reasonably secured when not in use.
3. All junior and senior dental hygiene students will be instructed in how to maintain the Dental Hygiene Program and Clinic HIPAA policies and procedures including de-identification, safe guarding patient information and research (if needed) through their identified dental hygiene courses.
4. All junior and senior dental hygiene students, staff and faculty will be required to read the Dental Hygiene Program HIPAA Manual.

Dental Hygiene Program Procedures for Training

1. Faculty and staff and student training will be conducted on an annual basis.
2. HIPAA training will be included in the curriculum of all dental hygiene junior students. Updating of any changes in HIPAA policies will be held for faculty and staff before the beginning of the academic year and anytime throughout the year if changes are made.
3. HIPAA training updates will be conducted for junior and senior dental hygiene students prior to their first clinical session in the fall.
4. Read the HIPAA manual.

Front Desk Protocols

1. All patients will receive a copy of the UNE Notice of Privacy Practices and sign the notice of acknowledgement.
2. If the acknowledgement cannot be obtained, a good faith effort should be made to obtain an authorization. If the authorization cannot be obtained, the reason will be documented in the patient’s chart.
3. All paper charts will be stored in file cabinets in a locked room.
4. Daily patient paper charts will be placed in the counter rack in such a manner that the name is kept confidential.
5. A generic message will be left on patients’ answering machines if necessary. Message will say, “This is the UNE Dental Hygiene Clinic calling to confirm your appointment tomorrow. If you cannot keep this appointment, please call us at 221-4900.
6. When scheduling patients at the front desk, staff will be cautious about revealing any Protected Health Information.
7. All discussions with or about patients will be limited to the dental hygiene program offices.
8. All clinic routing slips will be shredded at the conclusion of each clinical session.
9. Patient charts will not be left unattended on staff/faculty desks.
10. A cover sheet will accompany all faxes sent from the DH Clinic.

Clinical Protocols

1. The Appointment Book screen of the clinical computers will be minimized unless faculty/students are checking on their patient status.
2. The screen saver will come up on all clinical computers when not in use in order to ensure the privacy of Protected Health Information.
3. Student operators will keep patient paper records and folders either in a drawer of their operator cart, or turned over, under their protective wrap.
4. Students and faculty will not leave patient paper charts unattended on the clinic floor or other parts of the Dental Hygiene Clinic.
1.35 Dental Hygiene Student Contract

Dental Hygiene Student Contract

1. Attendance policy:
   a. I understand that attendance is mandatory.
   b. I understand my absence from clinic/lab (on site or off) will affect my grade.
   c. I know I must be present 20 minutes (30 minutes for CA / RA) prior to the start of my clinic session and that I must stay until the close of my clinic session.
   d. I know I must contact both the clinical course director (by e-mail) and the front desk administrator in the event that my absence is unavoidable. Consequences for noncompliance will be entered as a zero for the day and will significantly lower my grade.

2. Professional conduct
   a. I understand that I must dress professionally whenever I represent the UNE dental hygiene program on or off campus. Professional attire will be dictated by circumstances and as published in the Dental Hygiene Program Policies and Procedures Manual. Further requirements may be added by each course director.
   b. I understand the dental hygiene profession demands appropriate behavior at all times. I will be respectful, honest, polite and professional to all individuals as required by the American Dental Hygiene Code of Ethics.
   c. I understand that offensive language, gestures, behavior and tone will not be tolerated.
   d. I understand that infection control protocol and HIPAA will be enforced.
   e. Cell phone use is prohibited on the clinic floor and classroom unless otherwise authorized in each instance by clinic supervisor or course director.
   f. Use of laptops or other electronic devices is strictly limited to academic endeavors.
   g. Consequences for noncompliance will result in dismissal for the session, will be entered as a zero for the session/day and will significantly lower my grade.
   h. I understand and will follow the terms of the Social Media Policy. (see Sec. 1.11.1)
   i. I have read and understand the Program policies and procedures manual concerning HIPAA.

I have read the Dental Hygiene Policies and Procedures Manual and I understand its contents.

__________________________
Signature

__________________________
Date
1.36 CPR Certification

All students, faculty and support staff involved with the direct provision of patient care must be continuously recognized / certified in basic life support procedures, including cardiopulmonary resuscitation. A course is offered annually for certification.
SAFETY PROGRAM
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2.00 Introduction

The Dental Hygiene Program at the University of New England strives to provide a safe and healthful environment for patients, faculty, staff and students. We do this by following specific guidelines in all activities where there is a risk or potential risk of infection.

This workplace safety program applies to students, staff, faculty, patients and observers in the clinic / laboratory setting. To ensure that all involved are knowledgeable of the specifics contained in the plan, training sessions will be conducted by experienced faculty for the students, staff and faculty. This will occur at the beginning of each academic year, or more often, if necessary.

The components of an effective workplace safety program are:
1. General Housekeeping
2. Infection/Exposure Control Plan/Bloodborne Pathogen Standard
3. Safety Standard
4. Hazard Communication Standard
5. Health and Safety committee to monitor compliance.

2.01 Transmission of Bloodborne Pathogen Diseases

The carriers of certain diseases cannot always be readily identified through the medical history, or even lab tests. Blood, saliva, and gingival fluid from all dental patients should be considered infective. The following precautions must be routine to prevent the transmission of bloodborne pathogens in the clinical setting. The most common concern is to control the transmission of HBV, the hepatitis B and C viruses; HIV, the virus that causes AIDS.

2.02 Viral Hepatitis

Viral hepatitis is a primary infection of the liver caused by one of three distinct viruses. The four forms that are currently recognizable are type A, type B, non-A and non-B (NANB or type C), and delta (HDV).

Type A hepatitis is transmitted by fecal-oral route, with food or water contaminated by human waste being the major mode of transportation. No hepatitis-A carrier status has been documented, and the virus disappears from the person’ blood upon recovery.

Non-A, non-B hepatitis (HCV) can be diagnosed by a blood test. It was first described in patients who receive routine blood transfusions and is now believed to be responsible for 80-90 percent of the post-transfusion hepatitis cases. It is becoming increasingly apparent that an infectious carrier state must exist in asymptomatic individuals.

Hepatitis B (HBV) is transmitted by blood and saliva along with other bodily secretions. This is the type of hepatitis that we are most concerned with. The hepatitis-B virus carries the hepatitis-B core antigen (HBcAg) and the hepatitis-B surface antigen (HBsAg). The presence of HBcAg indicates intact virus when associated with HBsAg. The HBsAg is a marker used to identify persons who are possibly affected with HBV, with or without clinical symptoms, and who may be transmitting the virus.
Antibodies to both HBsAg and HBcAg also can be detected in human blood. Anti-HBs (surface antibody) can be found in the blood following infection and may persist for years. It can also be detected in people who have not had clinical hepatitis. This core antibody (Anti-HBc) is usually found during the active phase of acute hepatitis.

Clinically, hepatitis-B cannot be distinguished from other forms of hepatitis. Five to ten percent of patients who contract hepatitis-B enter a chronic state and carry HBsAg in their blood for longer than sixteen weeks. People who become carriers of HBsAg may be infectious for as long as a lifetime.

Delta hepatitis virus (HDV) originally called the delta agent was discovered in 1977. HDV depends on HBV for clinical expression. In North America HDV appears to be confined to groups with frequent percutaneous exposures such as IV drug users and hemophiliacs. Hepatitis relating to delta infection occurs in two primary modes. The first mode is simultaneous infection with HBV and HDV. When this occurs, the acute clinical course of hepatitis is often limited with resolution of both HB and HD infections, although fulminant hepatitis may develop. The second mode involves acute delta superinfection in HbsAg carriers.

These patients are more likely to have a serious and possible acute fulminant form of hepatitis that often leads to chronic delta infection. Some of these patients will become carriers of HDV as well as HBV. HDV is defective in that it requires HBV as a helper virus for replication. Immunization to HBV confers protection against clinical exposure of a delta hepatitis infection.

2.03 HIV Infection

AIDS is the abbreviation for acquired immunodeficiency syndrome. With this disease, there is a defect in natural immunity. As a result, a person with AIDS becomes ill with diseases that would not be a threat to anyone whose immune system was functioning normally.

AIDS is caused by a virus that can infect certain cells of the immune system. It can also infect nerve cells in the brain and other parts of the central nervous system. This virus has been named the human immunodeficiency virus (HIV)

Blood tests can detect antibodies to HIV in 6 to 12 weeks after infection. After antibodies are detected, there may be no other indication of infection for two or more years. Symptoms of HIV infection may appear 2 to 10 years or later after the time of infection. Each year after infection, an average of 5% of adults who are infected have developed AIDS. No group of infected persons has been studied long enough to document how long risk persists or what percentage will eventually develop AIDS.

In 1988, health care workers who were stuck with a needle contaminated with the blood of patients infected with HIV had less than a 1% (0.5%) chance of becoming infected with HIV. By December 1994, 42 well-documented occupational HIV cases, with 91 possible occupational transmissions of HIV, were reported to the CDC. None of the documented occupational sero-conversions occurred in dental care workers. Although your risk of becoming infected with HIV through your work is very low, you have a much higher risk (up to 30%) of becoming infected with hepatitis B virus (HBV).
Protect yourself from coming into direct contact with blood. Apply the concept of standard precautions – that is, protect yourself from the blood of every patient.

2.04 Vaccinations

To minimize the possibility of transmitting any pathogen, the following steps shall be taken:

Vaccines
Faculty and students must be vaccinated against hepatitis. The vaccine consists of a series of three injections followed by a blood test to establish an antibody titer. The entire series and titer must be completed during the student’s first year at UNE. Documentation may be submitted to the health center. The vaccine must be completed prior to entering the clinical sequence DEN 309. Request for special exceptions need to be discussed with the course director.

2.05 Other Vaccines

Students and faculty must also be immunized and up-to-date on vaccines. On December 26, 1997, the recommendations of the Advisory Committee on Immunization Practices (ACIP) and the Hospital Infection Control Practices Advisory Committee (HICPAC) for Immunization of Health Care workers reiterate that dental professionals are at risk for exposure to and possible transmission of vaccine-preventable diseases. Maintenance of immunization is an essential part of prevention for DHCWs.

A history of immunization will be obtained at the time of enrollment or initial employment. Based on documented nosocomial transmissions, dental health care workers are considered at significant risk for acquiring or transmitting HBV, influenza, measles, mumps, rubella, and varicella. (OSAP Research Foundation, 1997) Healthcare workers are also at risk for acquiring Hepatitis C. Hepatitis B, rubella, measles, mumps, influenza, polio, and varicella are recommended vaccines for oral health care workers.

2.06 Testing for TB

*All students must be up-to-date with their annual TB test in accordance with section 1.26.*

2.07 Personal Protective Equipment (PPE)

Dental health care workers must wear protective attire such as eye wear or a chin-length shield, disposable gloves, a disposable surgical quality mask, and protective clothing when performing procedures capable of causing splash, spatter, or other contact with body fluids, and / or mucous membranes. Protective attire must also be worn when touching items or surfaces that may be contaminated with these fluids, and during other activities that pose a risk of exposure to blood, saliva or tissue.

Gloves are single use items and must not be reused. Single use gloves may not be washed, disinfected or sterilized. They may be rinsed with water only to remove excess powder. Torn or compromised gloves must be replaced immediately. Non-latex or disposable medical quality gloves will be used for patient
exams and procedures. Hands must be washed after glove removal and before re-gloving. Specific procedures for infection control will be provided in DEN 309.

**Surgical masks** that have at least 95% filtration efficiency for particles 3-5 micron in diameter must be worn whenever splash or spatter is anticipated. Masks should be changed for every patient or more often, particularly if heavy spatter is generated during treatment. Some literature suggests masks should be worn a maximum of 20 minutes in areas of high humidity, and a maximum of 60 minutes in dry climates. Masks should be handled by touching the periphery only, avoiding handling of the body of the mask. Masks should not contact the mouth while being worn as the moisture generated will decrease the mask filtration efficiency, a mask should be selected that conforms well to the shape of the face. A face-shield does not substitute for a surgical mask.

**Protective eyewear** must have solid side-shields and be decontaminated by immersion in a cleaning agent between patients. A face-shield may substitute for protective eyewear. If protective eyewear or a face-shield is used to protect against damage from solid projectiles, the protective eyewear should meet American National Standards Institute (ANSI) Occupational and Educational Eye and Face Protection Standard (Z87.1-2010) and be clearly marked as such.

**Protective clothing** must have a high neck and protect the arms if splash and spatter are reasonably anticipated. Cotton or cotton/polyester or disposable clinic jackets or lab coats are usually satisfactory attire for routine dental procedures. The type and characteristics of protective clothing depend on the type of exposure anticipated. Gowns or jackets worn as protective attire should be changed at least daily, or more often if visibly soiled. Protective gowns or covers must be removed before leaving the work area. Protective attire may not be taken home and washed by employees. It may be laundered in the office if equipment is available and standard precautions are followed for handling and laundering contaminated attire. Contaminated linens transported away from the office for laundering should be in appropriate bags to prevent leaking, with a biohazard label or appropriately color-coded, unless the laundry facility employees practice universal precautions in the handling of all laundry. Disposable gowns may be used but must be discarded daily or more often if visibly soiled.

**Utility gloves** that are puncture-resistant, a mask, protective clothing and protective eyewear must be worn when handling and cleaning contaminated instruments, when performing operatory cleanup, and for surface cleaning and disinfecting. Utility gloves must be discarded if their barrier properties become compromised. Utility gloves, protective eye wear or face shields, and masks must be worn when mixing and / or using chemical sterilants or disinfectants. Used utility gloves must be considered contaminated and handled appropriately until properly disinfected or sterilized.

**Lead apron with thyroid collar** is used for the patient during the exposure to any radiation.

**High gauntlet heat resistant gloves** that must be used when operating the autoclaves.

**Eyewash stations** are marked and located throughout the clinic and lab. These give continuous eyewash.

**Fire Extinguishers** are to be used by trained personnel in case of fire.

**Utility gloves** are mandatory for handling contaminated materials and / or sharps.
2.08 Standard Precautions

Standard Precautions as defined by the Centers for Disease Control and Prevention (CDC) must be used in all patient care in dentistry. This term refers to a set of precautions designed to prevent transmission of human immunodeficiency virus (HIV), hepatitis B virus (HBV), and other bloodborne pathogens in healthcare settings. Under standard precautions, blood and saliva (in dentistry) of all patients are considered potentially infectious for HIV, HBV, and other bloodborne pathogens. Standard precautions means that the same infection control procedures for any given dental procedure must be used for all patients. Thus, the required infection control policies and procedures to be used for any given dental procedure are determined by the characteristics of the procedure. Therefore, standard precautions are procedure specific, not patient specific.

Not all infected patients can be identified by a thorough medical history, examination or even laboratory tests; therefore, it is essential to adhere to a routine set of precautions for all patients.

Always obtain a thorough medical history. Include specific questions about medications, current and recurrent illnesses, hepatitis, unintentional weight loss, lymphadenopathy, oral soft tissue lesions, or other infections. When the medical history reveals an active infection, or a communicable disease occurring within the past three months, medical consultation with the patient’s physician is indicated.

Dental healthcare workers should wash hands before donning gloves, upon removal of gloves, and after inadvertent barehanded touching of contaminated surfaces or objects.

Use of antimicrobial soap for invasive procedures is required. Sinks, paper towels, soaps and antiseptic hand rubs (60-90% alcohol) are conveniently located throughout the clinic for use. A foot activated sink is available in the instrument preparation room. Vigorously rubbing lathered hands together for 15 seconds before thoroughly rinsing under a stream of water is adequate for routine handwashing; dry hands thoroughly, before donning gloves. Prior to the intra-oral examination it is recommended that the patient rinse with an antimicrobial mouth rinse for one minute to reduce the level of microorganisms present.

2.09 Sterilization, Disinfection

The policy of the University of New England, Westbrook College of Health Professions Dental Hygiene Program is to sterilize, in a steam autoclave, all instruments, equipment and supplies used in patient care, including handpieces and air-water tips. Disposable items are used extensively to eliminate the use of chemical disinfectant for objects. Surfaces are disinfected and covered where appropriate. Before sterilization, instruments are cleaned to remove debris. Cleaning is accomplished by using an ultrasonic cleaner. Persons involved in cleaning and decontaminating instruments must wear glasses, masks and heavy-duty rubber gloves to prevent hand injuries. Metal and heat-stable dental instruments are routinely sterilized between use by steam under pressure. Persons removing instruments from the autoclave must wear high gauntlet, heat resistant mittens to prevent burns. The adequacy of sterilization cycles in each autoclave is verified by internal cassette integrators, heat sensing tape, and the weekly use of spore-testing with control devices. Results are posted in the instrument preparation room. Instruments are stored in a sterile area with controlled access to prevent contamination.
Dental units, computers, sealant lights, radiographic equipment and other equipment used during patient care must be thoroughly disinfected after each use and/or wrapped with a plastic barrier prior to seating the next patient.

At the completion of work activities all countertops and surfaces are cleaned and disinfected.

2.10 Sterilization Procedures

The following procedures are used by the UNE Dental Hygiene Clinic to provide a safe environment for the provision of dental hygiene services:

1. All instruments, handpieces, and prophy angles are sterilized according to standard procedures, unless they are disposable, in which case they are discarded promptly.

2. The autoclaves are cleaned according to manufacturer’s specification and filled with fresh distilled water.

3. Biological monitoring of the autoclaves is conducted in this manner: Vials containing dual species spores are sterilized weekly. They are then incubated (in house) for one week. Incubated spores are monitored every 24 hours for presence of bacterial growth (determined by color change compared to a control spore).

4. Contaminated instruments are ultrasonically cleaned for 6 minutes prior to wrapping with sterilization wrap. An integrator strip is inserted in each cassette and then are marked with heat sensitive tape or enclosed in an autoclave bag printed with a heat indicator strip.

5. The sterile instruments are kept in individual shelving compartments in the clinic. This storage space must be kept closed when not in use.

2.11 Waste Management

Students and faculty will keep the clinic in a clean sanitary condition. Anyone handling waste must wear glasses, lab attire and heavy-duty utility gloves.

Waste containers are the foot-operated, covered style to minimize the transfer of disease through handling of waste. They are labeled with a biohazard sign, with an explanation that the waste contained is not “biomedical” according to the Maine Department of Environment Definition, but, may contain saliva and small amounts of blood, so caution is advised.

These containers are to be tied twice before disposal, emptied frequently and the bags are to be thrown down the trash chute or placed in the trash pick-up area outside the compressor room. When carrying bags, they should be placed in a large box to contain spills in the event of a break.
2.12 Biomedical Waste

Biomedical Waste

Most of the waste generated by the Dental Hygiene Clinic is not “biomedical” according to State Department of Environmental Protection definition: waste saturated with human blood, blood products, or body fluids is considered biomedical waste. These may include items such as sponges, extracted teeth, surgical gloves and masks, drapes, aprons, dressings, disposable sheets and towels, and plastic tubing. Biomedical waste must be placed in sealed, sturdy, impervious red bags to prevent leakage of the contained items. Such contained solid wastes can then be disposed of following appropriate UNE procedures.

Spills

In the event of an accidental spill of chemicals, trash, glass or bodily fluids the following procedure must be followed, using utility gloves, glasses, and mask:

1. Determine nature of spill and contact facilities as needed.
2. Obtain spill kit located in the Instrument preparation room.
3. Refer to the S.D.S. provided by the manufacturer for proper use of spill kit and handling of spill.
4. Treat broken glass as a contaminated sharp, and place in the labeled sharps container.

Hazardous Materials

The fixer solution and the lead foil used in radiography are considered hazardous material. This must be emptied into a container labeled with the contents, stored only until the container is ¾ full, then promptly removed by maintenance personnel to be disposed of in accordance with Dept. of Environmental Protection requirements. Other chemicals shall be disposed of as directed in the S.D.S. provided by the manufacturer.

2.13 Sharps

Sharp items (needles, dental hygiene instruments, and other sharp instruments) should be considered as potentially infective and must be handled with extraordinary care to prevent unintentional injuries.

1 The intent is to include waste which at time of generation is soaked or dripping with human blood, blood products or body fluids.
Disposable syringes, needles and other sharp items must be placed into puncture resistant containers located as close as practical to the area in which they are to be used. To prevent needle stick injuries, disposable needles should not be recapped with two hands but instead the one-handed “scoop” technique should be employed. The disposable needle should not be purposefully bent or broken, removed from disposable syringes, or otherwise manipulated by hand after use.

Recapping of a needle increases the risk of unintentional needle stick injury. The one-hand “scoop” technique must be employed.

Because certain dental procedures on an individual patient may require multiple injections of anesthetic or other medications from a single syringe, the one-hand “scoop” technique is a prudent technique to employ. The “scoop” technique in which the needle cap has been placed on the instrument tray and following the injection, the administrator simply slides the needle tip into the cap (without touching the sides), scooping up the needle cap. This technique can be used for multiple injections without increased risk of needle stick injury. The now capped needle is discarded in a sharps container.

**Sharps Container**

All sharp items should be considered potentially infective and should be handled and disposed of with special precautions by wearing glasses, mask, gloves and utility gloves. They should be placed intact into puncture resistant containers before disposal.
2.14 Infection Control Considerations During Patient Treatment

- Standard precautions are to be followed with every patient.
- Gloves, masks, protective eyewear and clothing are to be worn throughout patient care procedures.
- When patient care is interrupted gloves should be removed, hands washed and dried, and new gloves donned before continuing patient care.
- Record handling – records used for teaching purposes in the clinical setting are to be considered contaminated. Gloves must be worn in all phases of record handling. The outside of the record folder, sleeve, or cover is to be protected from contamination for safety in handling by all record handlers. Further minimized contamination by using a barrier where hand rests on record.
- Use barrier technique wherever possible, (i.e., wrap sealant lights, ultrasonic scaling units, all switches and handles.)
- Handle sharps as outlined above.
- Treatment gloves should not be washed because the soap degrades the integrity of the barrier.
- Impression trays: after an impression has been taken rinse with water to remove saliva, blood, and debris. Place the impression in a baggy and spray with disinfectant allowing it to stand for at least 10 minutes.
- Never handle contaminated instrument tips
- In order to minimize exposure-prone procedures, the use of a mirror for retraction, rather than the operator’s finger, during scaling and root planing is recommended.
- Instruments are to be placed on a tray or cassette, NEVER pass a sharp instrument to an assistant, student or instructor.

When treating patients requiring the exposure and developing of dental radiographs: follow the procedural guidelines for infection control located in the Dental Hygiene Program Radiology Manual.

2.15 Exposure Control Plan

Exposure-prone procedures include digital palpation of a needle tip in a body cavity or the simultaneous presence of the worker’s fingers and a needle or other sharp instrument or object in a poorly visualized or highly confined anatomic site. Performance of exposure-prone procedures presents a recognized risk of percutaneous injury to the worker, and, if such an injury occurs, the worker’s blood is likely to contact the patient’s body cavity, subcutaneous tissues, and / or mucous membranes. Being “exposed to blood” means having blood, blood-contaminated saliva, or a blood-contaminated object come into contact with broken skin or mucous membranes, or pierce the skin as through a needle stick or puncture. If a puncture with a needle or instrument occurs, the site of the wound and the glove, if
it occurs on the hand, must be carefully examined to determine if a puncture has occurred. A surface scratch or torn glove alone is not an “exposure to blood.”

If despite the use of universal precautions, percutaneous or mucosal exposure to any blood occurs, the persons exposed to blood must immediately wash the area thoroughly with soap and water. The incident will be documented in writing by the student and faculty involved using the Incident Report Form. The Clinical Supervisor will provide a form to note the type of exposure (percutaneous or mucosal), type and amount of body fluid, and the circumstance leading to the exposure.

The forms will be given to the Program Director to be included in a confidential file. The Exposure Control flowchart is posted on the clinic floor.

2.16 Exposure to Blood

Flow Chart Protocol for UNE

1. **Determine if Percutaneous Exposure**\(^\ast\) has occurred.
   A torn glove or a surface scratch is not an exposure.

2. **Wash Site with Soap and Water**

3. **Fill out Incident Report appropriate to location**
   With faculty assistance, report form is located and documented; if no exposure, document that fact.

4. **Pretest Counseling Student, Faculty, or Staff and Source (If known)**
   Under the supervision of the clinical supervisor or designee, complete consent and lab referral forms.

5. **Referral of Student, Faculty, or Staff and Source (If Known) for Testing**
   A. Student, faculty, staff or source may see their own private physician.
   
   B. Students may access testing through the campus Student Health Center.
   
   C. Faculty, staff or source may access testing at Brighton Campus, MMC. This is coordinated by the front desk personnel. Students may also access Brighton Campus in the event the Student Health Center is closed.
   
   D. If source known HIV infected, Post Exposure Prophylaxis Consultation within 24 hours\(^2\) or go to Brighton Campus of MMC or MMC Emergency Room.

6. **Post Test Counseling**
   With the Program Director or Designee

\(^\ast\) Or other type of exposure to Blood

7. Retest 6 weeks, 3 and 6 Months
Consultation with physician

2.17 Occupational Exposure Referral

- When a student has an exposure in clinic, the course director needs to be notified immediately, or the designated substitute.

- Each course director or designee will have a packet of information to be used for this incident.

- The patient may go either to their private physician or Brighton Medical Center with the appropriate form. The college pays for the cost of the testing. The student may go either to their private physician, WCC Health Center (if open) or Brighton Medical Center with appropriate form.

- If the student has an exposure with a known HIV patient, he/she should immediately contact their private physician or go to either the Brighton or Maine Medical Center emergency room.

- The WCC Health Center should be notified each time it is necessary to send a student off campus for blood work.

- Extra forms will be stored in the Dental Hygiene Program Administrative Office. Please inform the assistant to the director if the supply of forms runs low.

2.18 Hospital Addresses

<table>
<thead>
<tr>
<th>Maine Medical Center</th>
<th>Brighton First Care</th>
<th>WCC Health Center</th>
</tr>
</thead>
<tbody>
<tr>
<td>22 Bramhall Street</td>
<td>335 Brighton Avenue</td>
<td>Tel: 207-221-4242 or</td>
</tr>
<tr>
<td>Portland, Maine</td>
<td>Portland, Maine</td>
<td>(4242/4442 from UNE phone)</td>
</tr>
<tr>
<td>Tel: 207/871-0111</td>
<td>Tel: 207-662-8111</td>
<td>8:00 AM – 4:30 PM (Mon – Thurs)</td>
</tr>
<tr>
<td></td>
<td>9:00 AM – 8:00 PM</td>
<td>8:00 AM – 4:00 PM (Friday)</td>
</tr>
</tbody>
</table>

2.18B Accident and Injury Policy

Following any accident or injury, the appropriate parties must complete a Dental Hygiene Incident Report. Forms may be obtained from the Dental Hygiene Program Administrative staff.

2.19 Blood Borne Pathogen Standard

Federal Blood Borne Pathogen Standards will be referenced during OSHA training. [http://www.osha.gov]
2.20 Emergency and First Aid Equipment and Procedures

The emergency first aid kit is located in a mobile cart in a central area on the clinic floor. It is labeled as such, near the center of the clinic for easy access during all clinical or laboratory sessions. A first aid kit is present in the lab.

An oxygen tank and ambu-bag are centrally located on the clinic floor.

Barriers for use during CPR are located near the oxygen tank and in a central location.

A blanket is also located in the mobile cart.

There is a direct line phone on the clinic floor. Dial 911 in case of emergency. The phone is RED and is located near the main entrance and exit of the clinic.

Procedures for specific medical emergencies are outlined in detail in the section of this manual labeled Medical Emergencies.

All faculty and students must know and recognize that “Code Red” means a medical emergency is occurring and taking immediate action is required.

2.21 Hazard Communication and Safety Program

The University of New England Dental Hygiene Program has a system to ensure that potential hazards associated with the use, storage and disposal of chemicals is continually evaluated and that information is made available. The important components of the program are as follows:

1. **List of Chemicals** used in the department. This list is kept with all Safety Data Sheets (S.D.S.), as the table of contents in a binder.

2. **Safety Data Sheets (S.D.S.)** These sheets contain information on each chemical used in the department. They are kept in a convenient location on the clinic floor for easy access at all times. The S.D.S. are provided by the manufacturer and provide details on proper handling, storage, disposal and hazards associated with each chemical.

3. **Labeling.** Proper labels ensure a safe environment. Each container must be labeled with the identity of the chemical, the appropriate hazard warning and the name and address of the chemical manufacturer. Unlabeled or improperly labeled containers may not be used at any time in the dental hygiene facility.

4. **Training.** All students and employees of the University of New England Westbrook College Campus Dental Hygiene Program must be knowledgeable of the components of the Hazard Communications and Safety Program. This is accomplished through classes, clinical sessions and annual orientation of faculty by the Dental Hygiene Program Director.
Safety Data Sheets are located in the Clinical Resource Library in the book of S.D.S. available on the clinic floor.

2.22 Definition of a Hazardous Material

The US Environmental Protection Agency (USEPA) considers a substance hazardous if it can catch fire, if it can react or explode when mixed with other substances, if it is corrosive, or if it is toxic. When handled safely such substances are minimally hazardous. However, if improperly handled, such items can damage health and well-being and negatively affect the environment. Most sources indicate that there are seven basic classes/types of hazardous materials.

1. **Flammable Materials** – Included are any gases, vapors, liquids or solids which ignite easily and can burn rapidly after being exposed to an ignition source.

2. **Spontaneously Ignitable Materials** – A few liquids and solids can ignite in the absence of an ignition source. Sufficient heat to cause ignition can be generated within the material by oxidation or microbial action.

3. **Explosives** – Some chemicals as a result of impact/shock, heat or another mechanism (e.g., electric charge) can detonate.

4. **Oxidizers** – Some chemical can at room temperature or upon heating generate oxygen, which is hazardous because of its adverse tissue reactions and/or flammability.

5. **Corrosive Materials** – A number of solids, liquids or gaseous chemicals can damage skin rapidly upon contact. Such chemicals also react negatively with environmental surfaces, such as metals.

6. **Toxic Materials** – Such materials are commonly referred to as poisons. They can produce a variety of adverse health reactions, even death in relatively small amounts.

7. **Radioactive Materials** – Some materials spontaneously release energy as they decay into more stable atomic forms. Severe health consequences, even death, can occur when radioactive materials are improperly handled.

2.23 Health and Environmental Hazards

Reactions to Combinations

Some chemicals are hazardous by themselves. Chemicals can become hazardous or even more dangerous when mixed in certain combinations. An example would be the adding of a flammable liquid to a non-flammable toxic materials. The threat to human health and the environment would be greatly increased if such a mixture were to ignite. Sometimes when chemicals are mixed, new possibly hazardous products are the result. There are four possible scenarios for such actions.

1. **Nothing** – The mixing of chemicals often fails to reduce any new or more hazardous materials.
2. **Toxic Material Formation** – Incorporation of hazard or even initially benign chemicals can result in the formation of a toxic material or materials. Such mixtures are not always planned and can be the result of poor employee training and/or failure to read chemical labels/warning symbols and SDS.

3. **Flammable or Explosive Material Formation** – Mixing of chemicals, often the addition of a liquid to another liquid or a solid can create a flammable product. In some cases there is sufficient heat generated during the chemical reaction to cause an explosion.

4. **Production of Heat** – Chemicals especially oxidizers when mixed with flammable materials can produce sufficient heat to cause self-ignition.

### 2.24 Chronic Effect Codes

**OSHA – Health Hazards**

A – **Irritant**  
B – **Corrosive**  
C – **Sensitizer**  
D – **Highly Toxic/Toxic**  
E – **Carcinogens**

**Target Organ Effects:**  

F – **Hepatotoxins**  
G – **Nephrotoxins**  
H – **Neurotoxins**  
I – **Reproductive Toxins**  
J – **Agents that act on the blood of the Hematopoetic System**  
K – **Agents that damage:**  
  1. Eyes  
  2. Lungs  
  3. Skin  
  4. Mucous Membranes  
  5. Hearing  
L – **G I Tract**
2.25 Wilson’s RISK Scale of Material Hazards

For compliance with the OSHA Labeling Standard (29 CFR 1910.1200), Genium includes the RISK scale. This numbering system of four hazardous categories represents a material’s degree of hazard based on documented values and/or the best judgments of certified industrial hygienists. The higher numbers indicate an increased hazard.

1. REACTIVITY
   Stable at room temperature; may be unstable at elevated temperatures.

   **INHALATION**
   TLV >500 ppm (Vapor)
   Or
   >10mg/m³ (Solid)

   **SKIN CONTACT**
   Slight irritation; no tissue damage

   **KINDLING**
   FP > 200°F

2. REACTIVITY
   Unstable; may under-go rapid chemical change. Will not detonate.

   **INHALATION**
   TLV 101-100 ppm (Vapor)
   or
   1.1-10 mg/m³ (Solid)

   **SKIN CONTACT**
   Mild irritation; tissue damage

   **KINDLING**
   FP = 101-199°F

3. REACTIVITY
   Capable of detonation explosive decomposition or reaction but required heat or other agent to initiate these.

   **INHALATION**
   TLV 11-100 ppm (Vapor)
   or
   0.11-1.0 mg/m³ (Solid)

   **SKIN CONTACT**
   Severe irritation; tissue corrosion within short time period.
KINDLING
FP = 73-100°F

4. REACTIVITY
Readily capable of detonation or explosive decomposition or reaction at room temperature.

INHALATION
TLV < 10 ppm (Vapor)
Or
< 0.1 mg/m³ (Solid)

SKIN CONTACT
Corrosive to skin on contact.

KINDLING
FP < 73°F

2.26 Identification of the Health Hazards of Materials

Identification of Health Hazard Color Code: BLUE

Type of Possible Injury

4. Materials which on very short exposure could cause death or major residual injury even though prompt medical treatment was given.

3. Materials which on short exposure could cause serious temporary or residual injury even though prompt medical treatment was given.

2. Materials which on intense or continued exposure could cause temporary incapacitation or possible residual injury unless prompt medical treatment is given.

1. Materials which on exposure would cause irritation but only minor residual injury even if no treatment is given.

0. Materials which on exposure under fire conditions would offer no hazard beyond that of ordinary combustible material.

Identification of Flammability Color Code: RED

Susceptibility of Materials to Burning

4. Materials which will rapidly or completely vaporize at atmospheric pressure and normal ambient temperature, or which are readily dispersed in air and which will burn readily.

3. Liquids and solids that can be ignited under almost all ambient temperature conditions.
2. Materials that must be moderately heated or exposed to relatively high ambient temperatures before ignition can occur.

1. Materials that must be preheated before ignition can occur.

0. Materials that will not burn.

Identification of Reactivity (Stability) Color Code: **Yellow**

Susceptibility to Release of Energy

4. Materials which in themselves are readily capable of detonation or of explosive decomposition or reaction at normal temperatures and pressures.

3. Materials which in themselves are capable of detonation or explosive reaction but require a strong initiating source or which must be heated under confinement before initiation or which react explosively with water.

2. Materials which in themselves are normally unstable and readily undergo violent chemical change but do not detonate. Also, materials which may react violently with water or which may form potentially explosive mixtures with water.

1. Materials which in themselves are normally stable, but which can become unstable at elevated temperatures and pressures or which may react with water with some release of energy but not violently.

0. Materials which in themselves are normally stable, even under fire exposure conditions, and which are not reactive with water.

Color Code: **WHITE** may be used for various identification systems, including Hazard Index or Personal Protection Index.

For the prevention or in the event of a hazardous material incident, follow the recommended procedures indicated by labeling and special precautions indicated in the SDS.

### 2.27 Hazardous Materials Identification System

**Hazard Index**

4 Severe Hazard
3 Serious Hazard
2 Moderate Hazard
1 Slight Hazard
0 Minimal Hazard
Personal Protection Index

A. Safety glasses
B. Safety glasses, gloves
C. Safety glasses, synthetic apron
D. Face shield, gloves, synthetic apron
E. Safety glasses, gloves, dust respirator
F. Safety glasses, synthetic apron, dust respirator
G. Safety glasses, gloves, vapor respirator
H. Splash goggles, gloves, synthetic apron, vapor respirator
I. Safety glasses, gloves, dust and vapor respirator
J. Splash goggles, gloves, synthetic apron, dust and vapor respirator
K. Air line hood or mask, gloves, fall suit, boots
L. Ask your supervisor for guidance.

2.28 Emergency Evacuation

In the event of fire or other emergency requiring evacuation of the building, please leave the facility promptly. Escort your patient, if in clinic, through one of the available exits. Every room has at least two ways to exit and they are marked accordingly.
Once outside, you should remain on the lawn beside Ludcke Auditorium.
Remember: During any evacuation procedure, you must remain calm and proceed with care to avoid panic in yourself or others.

2.29 Responding to Fire Alarms

From the Safety / Security Coordinator:

Whenever a fire alarm sounds, you should always assume that an actual fire is occurring. Upon hearing the first alarm sound, take the following actions:

Independent Offices – Close windows; open curtains, shades or blinds; and close/lock your office door. Then proceed to the nearest exit and evacuate to the appropriate area. Pass on to Security any signs of fire noted.

Multi-Person Offices – Supervisor or senior person present should ensure that all windows are closed; open all curtains, shades, or blinds, and close/lock your office door. Then, along with all the people in your office, proceed to the nearest exit, and evacuate to the appropriate area. Pass on to Security any signs of fire noted, and the names of any persons that are unaccounted.

Instructors – During periods that you are in a classroom, ensure all windows are closed; open curtains, shades or blinds; and close/lock the door to your classroom. Then, along with the students in your class, proceed to the nearest exit and evacuate to the appropriate area. Pass on to Security any signs of fire noted, and the names of any person unaccounted for.
2.30 Muster Locations

Coleman - lawn by Ludcke Hall
Blewett - grass by Ludke Hall
Hersey - grass area between Hersey Circle and College Street
Proctor - grass area between Hersey Circle and College Street
Goddard - grass area between Hersey Circle and College Street
Alexander - grass between Hersey Circle and College Street
Alumni - grass area between Hersey Circle and College Street
Library - grass area between Hersey Circle and College Street

2.31 Health and Safety Committee

Mission: The role of the Health and Safety Committee is to monitor compliance with the infection control program, to periodically review the protocol, to update faculty and students on changes, to recommend changes and improvements in the plan and to review the entire process annually with other faculty and the Program Director.

2.32 Accident and Injury Policy

Following any accident or injury, the appropriate parties must complete a Dental Hygiene Program Incident Report. Forms may be obtained from the Dental Hygiene Program Administrative staff.
CLINIC PROTOCOL
AND
EVALUATION PROCESS
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3.00 General Guidelines for Dental Hygiene Students

Your personal conduct and appearance as a dental hygiene student is of primary importance because of its effect on patients, health personnel and the community in general. High standards of conduct and appearance are expected of you as a professional person; patients and the public expect competence, integrity, and moderation from professional people. Therefore, because you have chosen to enter a health profession, it is necessary to take all possible measures to ensure the cooperation and respect of patients and the public by maintaining high standards of conduct and appearance.

1. Clinic Attire (see 3.32) / Student Attire (see 1.16)

2. Faculty should be addressed as either Professor or Doctor as appropriate. Patients and students shall be addressed respectfully as well.

3. Students are responsible for notices posted on the bulletin board. It would be to your advantage to consult the bulletin board daily.

4. Chewing gum is not permitted during clinical or laboratory sessions. Please do not bring any food or drink into the Clinic. Please use the designated areas on the lower level for eating. The lobby may not be used for a lunch area.

5. A locker is assigned to you and is the only place where books and other personal belongings may be stored. Clinic, lobby, and demonstration rooms must be kept neat.

6. You are required to notify dental hygiene personnel on any day that it is necessary for you to be absent or tardy for any class, clinic, or lab. If you must call prior to the opening of clinic, please leave a message on the clinic staff line at 207-221-4471 (note: please do not leave messages on the general clinic line for patients as your message may not be retrieved immediately). In the event that time from clinic is needed, it is your responsibility to plan with the clinical course directors. Advance notice is crucial for patient scheduling purposes.

7. If you are to be an oral health educator, you should set an example for others to follow. All necessary dental treatment should be attended to.

8. Mouthwash should be used as necessary.

9. The use of make-up and cologne should be kept to a minimum. Heavy make-up or cologne is not appropriate in the clinical setting. Attention must be given to eliminate odors, especially smoke, on clothing and hair.

10. For sanitary reasons, hair is to be combed in the restroom downstairs and NOT in clinic; mirrors are provided. All personal grooming including oral hygiene care is to be done in the locker-room.

11. All students will sign a dental hygiene program contract.

12. Students not in a particular clinic may not be on the clinic floor without permission from the floor supervisor. It can be disruptive to scheduled students and faculty.
13. Instruments can be sterilized prior to scheduled clinic times, with permission of the clinical course director, usually right before lunch time.

3.01 Attendance Policy

Class and clinic attendance is an expectation. It is the student’s responsibility to inform the clinical course directors and the front desk of any absence. Individual course syllabi will state the penalty for missed classes, laboratories and clinics.

Clinic/Affiliation (Enrichment) Attendance Policy – Great care was exercised in scheduling intra-extra mural clinical assignments so as best to provide each student with a rich practical experience. Absences for any reasons are strongly discouraged. As a general rule, absences (including those due to minor illnesses) will be reflected in the final grade as stated in individual course syllabi.

Transportation Policy – Please note that students are personally responsible for arranging transportation to and from all affiliation sites.

Illness Notification Policy – In the event of illness, please call the Dental Hygiene Program directly by calling the clinic staff line at 207-221-4471. Messages left at switchboard or sent by classmates are unacceptable. Inform the clinical course directors as well.

Patient Appointment Scheduling and Cancellations – Although the receptionist will make every effort to fill all clinical appointments with patients, the ultimate responsibility for this rests with the individual student. It is in each student’s best interest to recruit in advance a host of patients who would be able to fill openings at a moment’s notice. Compile these patients in the form of a call list. Clinical time lost due to appointment cancellations will be reflected in the student’s final clinic grade.

Patient Call List – The student is strongly advised to have a Patient Call List for use in last minute cancellations and no-shows. Multiple no-shows can affect the student’s clinic grade.

3.02 Clinical Evaluation and Assessment of Student Learning

The UNE Dental Hygiene Program, uses a secure web-based scoring system. The clinical patient care competencies system is designed to assess dental hygiene patient care skills. The term “competencies” is defined as skills that a student dental hygienist is expected to perform at a reasonable level of care. Competent is defined as fitting, suitable or sufficient for the purpose, adequate or properly performed. The grading rubric further defines competent, capable, developing, and ineffective. Competency items (see 3.29) are grouped into major task areas which include: preparation, professional technique, patient assessment, dental hygiene diagnosis, follow-up evaluation, comprehensive treatment planning and treatment implementation, evaluation, professional technique, and ethics.
3.03 Grading Rubric and the UNE Dental Hygiene Web-based Grading System

The criteria for the performance of clinical patient care competencies are judged on a four-step rubric. A number ✓, ✗, or N 3, 2, 1, or 0 is assigned to the patient care competencies performed.

3- Competent - The student has the ability of independent performance reasonable speed, accuracy, knowledge and judgment, reasonable ability to modify performance according to natural cues, and the student is beginning to internalize standards in the mastery of the dental hygiene process of care.

✓ - 2- Capable - The student has control over many facts and skills, can understand the purpose of the skills and can somewhat modify their performance. The quality of their performance may be inconsistent with minor errors.

✗ - 1 Developing - The student demonstrates beginner behavior and performs slowly with many errors, is dependent on faculty, wants only one method of skill performance, and tends to be motivated by extrinsic forces.

0 Ineffective - Or the student has one critical or major error, causes harm, is ineffective, obtrusive or unprofessional.

N - Not applicable - indicates competency not performed or observed.

The system for determining each clinical course grade is clearly listed in individual clinical course syllabi.

Formulas increase in weight as the expectation of competency increases through the clinical course sequence.

The UNE web-based grading system is an objective grading system that includes a comprehensive itemized list of procedures from ADA/CODA Standard 2-19, listed in 3.07. Instructors go through the list when they evaluate student clinical performance and the list assures that faculty observes the care process.

Mastery of the dental hygiene process of care is evidenced by a fewer number of values (✓1 and ✗0) and more frequent assignment of 3 and 2.

Students are expected to be more proficient as they move through their clinical sequence. Therefore, the difficulty of the patient pool also increases over the course sequence.

The UNE dental hygiene grading system computes the grade by a standard formula. The system for determining each clinical course grade is clearly listed in individual clinical course syllabi. The following formula will calculate a rubric grade into a percent grade: 25 + (rubric x 25) = percentage. Percentile grades are then calculated with a formula for each course sequence.

The UNE dental hygiene grading system and Dentrix track patient treatment by each student, which includes age, gender, deposit and periodontal classification, ASA levels, Special Needs/ Care patients, medically compromised and recare (recall and reappointments).
3.03A Interprofessional Competencies

Interprofessional Clinical/Field Competency Evaluations among the WCHP programs are measured based on the IPEC Expert Panel Report, specifically RR3: Engage diverse healthcare professionals who complement one’s own professional expertise, as well as associated resources, to develop strategies to meet specific patient care needs. The UNE Dental Hygiene Program evaluates this competency on the Web-Based Grading System:

- Communication Interaction/Professionalism
- Referrals for Treatment
- Referrals from Treatment

Evaluation grade is based on the Grading Rubric:

- 3 = No errors with 1 attempt
- 2 = 1 minor errors with 1 attempt
- 1 = 2 minor errors or 2 attempts
- 0 = 3 or more minor errors, 3 or more attempts,

Must attempt another session and continue and/or
1 major safety error attempts until competent to an 85%
3.04 Clinical Performance

The clinical course directors monitor student performance and use a variety of methods to evaluate competent performance such as: Procedure for Assessing Competence (PACs), internally validated clinical examinations and externally validated clinical examinations, mock licensure examinations, and graded clinical performance days.

3.05 Clinical Requirements

The fulfillment of clinical requirements is mandatory to ensure that the student dental hygienist is informed and active in fulfilling a variety of patient services in compliance with the philosophy of total patient care. Requirements ensure that each student will see a variety of patients that may include but may not be limited to infants, children, adolescents, adults, geriatric and special needs/ care populations of varying degrees of difficulty before graduation, as well as medically compromised patients.

Each semester students should strive to practice clinical requirements in order to remain competent and to demonstrate continued competence. Failure to do so may result in a point deduction from the requirement score.

The total minimum number of clinical requirements that must be met with a grade that reflects clinical competency prior to graduation is:

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>amalgam polishing surfaces with 2 proximal surfaces</td>
<td>5</td>
</tr>
<tr>
<td>full mouth series of radiographs</td>
<td>8</td>
</tr>
<tr>
<td>bitewing series of radiographs Adult</td>
<td>12</td>
</tr>
<tr>
<td>bitewing series of radiographs Primary</td>
<td>1</td>
</tr>
<tr>
<td>bitewing series of radiographs Mixed</td>
<td>1</td>
</tr>
<tr>
<td>bitewing series of radiographs Vertical</td>
<td>1</td>
</tr>
<tr>
<td>sealants (6 surfaces)</td>
<td>6</td>
</tr>
<tr>
<td>nutritional counseling</td>
<td>1</td>
</tr>
<tr>
<td>panographic radiographs</td>
<td>2</td>
</tr>
<tr>
<td>pedodontic patients (age 0-12)</td>
<td>1</td>
</tr>
<tr>
<td>adolescent patient (age 13-18)</td>
<td>1</td>
</tr>
<tr>
<td>adult patients (age 19 – 61)</td>
<td>5</td>
</tr>
<tr>
<td>geriatric patients (age 62 and over)</td>
<td>5</td>
</tr>
<tr>
<td>special needs (at least ASA II)</td>
<td>5</td>
</tr>
<tr>
<td>Class I deposit patients</td>
<td>4</td>
</tr>
<tr>
<td>Class II deposit patients</td>
<td>11</td>
</tr>
<tr>
<td>Class II periodontal involvement</td>
<td>5</td>
</tr>
<tr>
<td>Class III deposit patients</td>
<td>3</td>
</tr>
<tr>
<td>Class III periodontal involvement patients</td>
<td>3</td>
</tr>
<tr>
<td>Class IV deposit patient</td>
<td>1</td>
</tr>
<tr>
<td>Class IV periodontal involvement</td>
<td>1</td>
</tr>
<tr>
<td>periodontal re-evaluation</td>
<td>3</td>
</tr>
<tr>
<td>Sequences of PAC’s</td>
<td>3</td>
</tr>
<tr>
<td>Velscope</td>
<td>1</td>
</tr>
</tbody>
</table>
In order for a patient requirement to accrue, all patient care competencies must be at a 3 or 2 using the grading rubric. Course requirements will be listed in each course syllabi.

Graduation requirements must be performed on patients of record who need the procedure in order for the requirement to accrue.

3.06 Patient Care Competencies

The dental hygienist is a licensed preventive oral health professional who uses knowledge of health and disease to prevent, identify, and manage oral disease. The dental hygiene process of care applies principles from the biomedical, clinical, and social sciences to support optimal health in individuals and communities. Care is provided to all regardless of social or cultural background.

PATIENT CARE

1. Assessment -
   a. perform a comprehensive examination using clinical, radiographic, periodontal, dental charting, and other data collection procedures to assess the patient's needs
   b. Recognize predisposing and etiologic risk factors that require intervention to prevent disease
   c. Obtain, review and update a complete medical and dental history
   d. Recognize health conditions and medications that impact overall patient care
   e. Identify patients at risk for a medical emergency and manage the patient in a manner that prevents an emergency

2. Diagnosis
   a. Use assessment findings, etiologic factors and clinical data in determining a dental hygiene diagnosis
   b. Identify patient needs and significant findings that impact the delivery of dental hygiene services
   c. Obtain the proper consultations as indicated

3. Planning
   a. Establish a planned sequence of care based on the dental hygiene diagnosis; identified oral conditions; potential problems; etiologic and risk factors; and available treatment modalities
   b. Prioritize the care plan based on the health status and actual and potential problems of the individual to facilitate optimal health
   c. Establish a collaborative relationship with the patient in the planned care to include the etiology, prognosis, and treatment alternatives
   d. Make referrals to other health care professionals
   e. Obtain the patient's informed consent

4. Implementation
   a. Utilize accepted infection control procedures
   b. Obtain diagnostic quality radiographs
   c. Apply basic and advanced techniques of dental hygiene instrumentation to remove deposits without trauma to hard and soft tissues
   d. select and administer appropriate chemotherapeutic agents and provide pre and post treatment instructions
   e. provide adjunct dental hygiene services that are legally permitted
   f. Provide oral health education to assist patients in assuming responsibility for their own oral health

5. Evaluation
a. Evaluate the effectiveness of the patient's self-care and the dental hygiene treatment in attaining or maintaining oral health
b. Determine the clinical outcomes of dental hygiene interventions
c. Develop a maintenance program that meets the patient's needs
d. Provide referrals for subsequent treatment based on the evaluation findings

3.07 Community Involvement and Health Promotion and Disease Prevention

In community-centered settings, the dental hygienist conducts educational and clinical programs using a population-centered approach. In this role, the hygienist requires an understanding of the determinants of health and the characteristics of the particular populations, as well as the individuals who comprise the population. The hygienist provides information and data to influence the facilitation of access to care and services.

COMMUNITY INVOLVEMENT

1. Provide community oral health services in a variety of settings.
2. Provide screening, referral and education services that facilitate public access to the health care system.
3. Respond to patient or community requests for information about contemporary dental problems.
4. Promote the dental hygiene profession by actively participating in the membership, leadership and / or service in professional organizations.
5. Assess and evaluate community based oral disease prevention strategies that aim to improve the oral health of the public.

HEALTH PROMOTION AND DISEASE PREVENTION

1. Evaluate and utilize methods to ensure the health and safety of the patient and the dental hygienist in the delivery of dental hygiene.
2. Evaluate factors that can be used to promote patient adherence to disease prevention and/or health maintenance strategies.
3. Provide educational methods using appropriate communication skills and educational strategies to promote optimal health.
4. Promote preventive health behaviors by personally striving to maintain oral and general health.
5. Identify individual and population risk factors and develop strategies that promote health related quality of life.
3.08 Broken Instrument Policy and Procedure

The following will be the Dental Hygiene Department’s procedure regarding a broken instrument.

**IF AN INSTRUMENT IS BROKEN IN A PATIENT’S MOUTH:**

1. Calmly remove instrument fragment when possible and inform the instructor.

2. Sterilize and save all parts of the instrument; take a radiograph of the area to confirm complete removal of the object.

3. If the instrument fragment cannot be easily removed, notify the instructor and isolate the area with cotton rolls and calmly inform the patient not to swallow. Maintain isolation at all times and avoid use of the aspirator. Reattempt removal of the instrument fragment using Perioretiever found in the emergency kit. Maintain isolation during the procedure. Take a radiograph to confirm complete removal.

4. If an instrument is broken subgingivally and cannot be removed, take a double film radiograph of the area involved. (One copy will be kept in the patient’s permanent record and the other copy is for the secretary’s file.) Consultation with an oral surgeon may be advised.

5. Complete an incident report and file with the assistant to the Director.

6. Student, instructor, and/or supervising dentist will sign the incident report.

7. File all parts of the instrument, the radiograph and incident report to the secretary.

3.09 Child Abuse Policy

The State Law of Maine makes it mandatory for all health professionals to report any situation in which there is “reasonable cause to suspect” child abuse/neglect. The dental hygienist is named specifically as a mandated reporter. The professional does not have an option in the matter of reporting such cases for investigation. Reporting in good faith frees the professional from any liability if the report proves to be unfounded. Willful failure to report opens the professional to criminal or civil liabilities. The right to privileged communication and confidentiality is waived between the physician and patient by state law in suspected child abuse and neglect cases. You must report all suspected cases.

Whenever the assessment leads to “reasonable cause to suspect” that a child has been abused or neglected, or is at risk of abuse or neglect, whoever in the institution is identified as the reporting individual (student, instructor, or dentist) will make an immediate telephone report to the State Department of Human Services, Child Protective Services, and will prepare a follow-up written report if requested to do so by DHS, CPS. All aspects of observations must be documented in the client’s chart under services rendered.

A report is not an accusation and does not require clinical confirmation of suspicion. Rather, the report should be looked upon as a request for further investigation and intervention. Professionals, who have a broad range of experience in differentiating and dealing with this kind of problem, investigate the report. By means of classroom lecture/discussion, Dental Hygiene students will be provided with information,
which will enable them to recognize signs of child abuse and report such occurrences, which they are obligated to do.

Adult and Child Abuse – Neglect Toll Free Number for the State of Maine is 1-800-452-1999.

3.10 Latex Allergies Policy

The Dental Hygiene Clinic is a latex-free environment for most products. The increased incidence of latex allergy in the workplace and in the general population has led to the development of this policy.

The most common type of reaction to latex is a non-allergic hand dermatitis caused by skin irritation. Latex can also trigger a Type IV allergic contact dermatitis or a more serious Type I allergic reaction that may cause anaphylaxis.

Alternatives are available in clinic for students, faculty and patients who are hyper-sensitive or allergic to latex. If, however, it is deemed that a procedure or product may cause a person eminent danger, the Dental Hygiene Program reserves the right to dismiss the person from the Dental Hygiene clinic.

Latex free products are available for use in clinic, as well as powder free latex products to reduce the risk of upper respiratory sensitivity.


3.11 Policy for Patient Records

Patient records may be requested of staff at the front desk to facilitate quality patient preparation and patient care. Records are confidential and must be treated as such. Records must never leave the building and must be returned promptly to the front desk after use.

3.12 Referral Form Policy and Procedure

The referral form is used to communicate dental hygiene findings and services rendered to the primary care dentist of patients that are treated at the University of New England Dental Hygiene Clinic. Patient referral is a professional responsibility of the dental hygiene student to ensure that the patient will receive comprehensive care. Patients who do not leave with a referral form with specific dental concerns will initial and date a general referral stamp found on the inside of their chart. This stamp states that the University of New England Dental Hygiene Clinic is not their dental home and that the clinic recommends a yearly exam with a general dentist.

The student will consult with their instructor on the need of a direct or general referral. An example of the form and the initial / dated general referral stamp are in the appendix.
3.13 Sealant Policy

The following must be done in determination of the need for placing sealants as a service to our patients.

1. Teeth to be sealed need prior designation in the patient record, accompanied by a faculty signature and date.

2. The parent or guardian is to be advised ahead of time of the total cost of such services, and give their approval for the same.

Any sealant lost within one year of the date of application in the University of New England Westbrook College Campus Dental Hygiene Clinic will be replaced at no charge. After one year in place, lost sealants will be replaced at the present fee.

Should a patient call for an appointment for sealants only, the patient will be appointed as a new patient and charged accordingly. The sealants will be placed as stated during the initial appointment at the standard fee. This procedure allows total patient care and treatment: medical history, and oral exam; and oral hygiene instructions, complete plaque removal, and fluoride treatment will be provided as appropriate.

As sealant material is designed to be applied to the etched tooth surface as a preventive agent by the dental hygienist no alteration of the hard tissue prior to sealant placement will be performed in the University of New England Westbrook College Campus Dental Hygiene Clinic.

3.14 Fees for Dental Hygiene Services

The clinic fee schedule is updated periodically. The most current schedule appears in the appendix section of this manual.

Approximate cost for exam & prophylaxis:
Adult (Ages 13-61) $27.00
Child (Ages 0-12) $16.00
Senior (Ages 62 & over) $22.00
(above fees do not include fluoride or x-rays)
Edentulous (exam/denture clean) $15.00
Periodontal Maintenance
   Adult (Ages 13-61) $27.00
   Senior (Ages 62 & Over) $22.00
   UNE $6.00
Panorex: $27.00
FMX $27.00
2BW’s $3.00
4BW’s $6.00
PA’s $2.00 ea.
Fluoride $3.00
Sport Mouthguard $25.00
Sealants per tooth $6.00 ea.
Quadrant Scale $16.00 ea.
3.15 Indices and Classification Systems

Indices and classification systems are assessment methods used to collect data concerning patient assessment, treatment, and follow-up of dental hygiene services, and may serve as evaluation measures of patient’s outcomes. Classification is assigned each patient on the Daily Evaluation Form.

3.16 Periodontal Screening Record (PSR)

The PSR is used to determine periodontal need of the patients at the initial screening appointment. Patients are then appointed to the appropriate clinical sequence.

3.17 Plaque Index – Patient Hygiene Performance (PHP)

The purpose of the PHP is to quantify in numerical form the amount of oral debris. This index will provide baseline data for subsequent visits on homecare. It is performed at each visit.

3.18 Classification of Periodontal Involvement

Class H Periodontal Health (No Attachment Loss)
No clinically detectable inflammation.

Class I – Gingivitis (No attachment loss)
Gingivitis:
Inflammation of the gingiva characterized clinically by surface appearance and presence of bleeding and/or exudate. Variable probing depths due to inflammation. No Alveolar bone loss present.

Class II – Slight Periodontitis (1mm – 2mm of Attachment Loss)
Pocket depths vary dependent upon edema or recession. Progression of the gingival inflammation into the deeper periodontal structures and alveolar bone crest, with slight bone loss, ≤10% radiographic bone loss.

Class III - Moderate Periodontitis (3mm – 4mm of Attachment Loss)
A more advanced stage of the above condition, with increased destruction of the periodontal structures, with noticeable loss of bone support possibly accompanied by an increase in tooth mobility. ≤33% bone loss may be detected radiographically. There may be furcation involvement in multirooted teeth.

Class IV - Advanced Periodontitis (5mm or more of Attachment Loss)
Further progression of periodontitis with major loss of alveolar bone support usually accompanied by increased tooth mobility. Furcation involvement in multirooted teeth is likely with > 33% radiographic bone loss detected.

Adapted from the “Description of Procedural Terminology,” published by the American Academy of Periodontology.
3.19 Patient Classification for Deposit

Patients are classified according to the amount and location of explorable detectable calculus occurring on the teeth. In general the term "trace" is used to describe deposit that is barely detectable; “slight” describes deposit that is less than 1mm in width; “moderate” described deposit 1mm-2mm in width; and “heavy” described deposit that is greater than 2mm in width. Examiner discretion is advised when “width of deposit” is not the appropriate criteria to determine the classification; depth, location, number of teeth involved, or tenacity of deposit may also be used.

Class C:
Primary mixed dentition; generally a child who is under the age of 12 years, unless calculus is present.

Class I:
A. Trace or slight supragingival calculus and/or
B. Trace or slight extrinsic stain and/or
C. Trace subgingival calculus

Class II:
A. Moderate supragingival calculus and/or
B. Moderate extrinsic stain and/or
C. Slight subgingival calculus

Class III:
A. Heavy supragingival calculus and/or
B. Heavy extrinsic stain and/or
C. Moderate subgingival calculus

Class IV:
A. Heavy supragingival calculus and
B. Heavy extrinsic stain and Heavy subgingival calculus
C. Heavy subgingival calculus only

3.20 Black’s Classification of Caries and Caries Risk Assessment

Class I Caries beginning in the structural defects of the teeth; pits and fissures. These are located in the occlusal surfaces of the premolars and molars, in the occlusal two-thirds of the buccal groove of the molars, in the lingual surface of the upper anteriors in the cingulum area, and the lingual groove of upper and lower molars.

Class II Caries in proximal surfaces of premolars and molars.

Class III Caries in proximal surfaces of incisors and canines which do not involve the removal and restoration of the incisal angle.
Class IV  Caries in the proximal surfaces of the incisors and canines which require the removal and restoration of the incisal angle.

Class V   Caries in the cervical third of the crown.

Class VI  Caries above the crest of contour on anterior teeth or on the cusp tip on posterior teeth.

Evidence of caries, developmental, physical, mental disabilities may indicate the need for caries risk assessment. See appendices for Caries Risk Assessment Form.

### 3.21 Miller Classification of Gingival Recession

Class I  Class I Miller defect. The gingival recession does not involve the interproximal papillae or the mucogingival junction.

Class II The recession in a Class II Miller defect extends past the mucogingival junction but does not involve the interproximal tissues.

Class III The chances for root coverage are decreased when the recession involves the interproximal papillae (Class III Miller defect).

Class IV Full coverage of exposed root surfaces should not be expected after a soft tissue graft when there is marked loss of the interproximal papillae.

### 3.22 Student Clinical Duties

The principle clinical duty of the student is as a chair side student dental hygienist providing patient care. Other duties, on a very limited basis consist, of screening new patients, Clinical Assistant 1, and Clinical Assistant 2, and Radiographic Assistant. See Appendix for an operational list of other duties in the format of an evaluation form.

### 3.23 Guidelines for Patient Treatment Sequence

Clinic Check-In/Check-Out Procedures

1. Pre-appointment procedures.
2. Greet patients.
3. Seat patients.
4. “Release Form” completion signature check.
5. Health History/Vital Signs
6. Dental History
7. Soft tissue, periodontal, and hard tissue evaluation.
8. Risk Assessments
9. Prepare to discuss treatment/appointment plan with faculty. CHECK-IN:
   a. Comprehensive check of procedures.
   b. Confirm or revise comprehensive treatment plan.
10. PHP (utilize for patient education).
11. Check treatment completion.
12. CHECK-OUT:
   a. Request 30 minutes prior to end of clinic or as agreed upon with instructor/mentor.
   b. Final examination will be performed upon completion of treatment as outlined by treatment plan.
   c. Modified check-out is required for patients to be reappointed.
13. Post-appointment procedures.
   Instructors may interrupt and check procedures completed to a certain point in order to avoid student waiting.
3.24 **Informed Consent**

Informed consent acknowledges that the patient plays an active role in the decision making process of patient treatment. The patient must understand all relevant information about the procedures and freely consent to them.

3.25 **Local Anesthesia Consent**

The local anesthesia consent form must be explained and signed before local anesthesia can be delivered.

3.26 **Expectations for the Mentor/Instructor**

During daily student learning the clinical chair-side mentor/instructor is encouraged to:

- Give positive feedback and accurately describe student’s strengths, weaknesses and progress.
- Encourage and accept students’ questions.
- Answer questions tactfully.
- Accept student as one developing new skills and applying knowledge.
- Provide a climate for students’ independent action.
- Be aware of each student, distributing time daily and appropriately.
- Encourage discussion of patient care.
- Observe student and be present for support.
- Be available to the student at the clinical unit.
- Assist students in answering their own questions.
- Clarify the purpose of his/her presence.
- Demonstrate professionalism and competency.

3.27 **Faculty Training**

Faculty training in student patient care competencies criteria and for grading will occur through each course director and the Faculty Evaluation and Assessment Manual. Also, annual faculty training occurs in the fall. UNE dental hygiene evaluation and grading systems also provides instructor calibration in every category of the dental hygiene process of care.

3.28 **Performance Criteria for Assessing Patient Care Competencies**

Students are required to perform the following services as part of the dental hygiene process of care. The student must satisfactorily meet competency (rubric) standards to graduate.

The following criteria are evaluated and graded using the Student Daily Performance Form and the Grading Rubric 3.04 and Patient Competencies 3.07.

Numbers in parentheses signify the corresponding line on the Daily Performance Form and in the UNE Dental Hygiene Web-based spread sheets in google.docs.
I. PREPARATION/PROFESSIONAL TECHNIQUE/ETHICS

1. PRE AND POST APPOINTMENT PROCEDURES
   1.) Arrives professionally prepared 20 minutes prior to clinic time.
   2.) Prepares and sanitizes units accurately.
   3.) Prepares appropriate tray set-up.
   4.) Obtains patient’s records and reviews prior to appointment.
   5.) Displays radiographs of patient on viewer.
   6.) Begins appointment promptly.
   7.) Cooperates with fellow students, staff and faculty.
   8.) Appropriately dismisses patient notifying patient of time of recall or reappointment.
   9.) Completes all patient records and forms accurately.
  10.) Demonstrates proper care of equipment.

2. CROSS INFECTION PREVENTION
   1.) Sanitizes unit following standard precautions.
   2.) Request unit check.
   3.) Prevents cross infection/ disease transmission.
   4.) Utilizes and maintains aseptic and/or sterile technique throughout appointment with:
      hands,
      unit,
      equipment,
      and instruments.
   5.) Keeps hair away from face.
   6.) Removes all jewelry.
   7.) Proceeds with special precautions:
      mask,
      surgical gloves,
      protective eyewear,
      sterilization,
      and disposable materials.

3. PATIENT/OPERATOR POSITIONING
   1.) Practices proper patient operator positioning.
   2.) Displays appropriate posture and arm position.
   3.) Positions operator’s chair appropriately.
   4.) Positions dental chair appropriately.
   5.) Positions patient in chair appropriately.
   6.) Works with adequate light positioning.

4. COMMUNICATION/INTERACTION/COMPASSION/ETHICS
   1.) Demonstrates polite, professional, and honest communication to all individuals, greets
      patient on time and addresses patient by proper name (Mr. – Mrs. – Ms.).
   2.) Interacts as a team player, shows initiative, respect, and self-directed work ethic.
   3.) Shows compassion in patient care.
   4.) Utilizes time with regard to patient’s comfort.
   4.) Behaves as an ethical professional.
5.) Ensures patient satisfaction by inquiry.
6.) Implements and respects the rules of HIPAA, discretion and privacy of the patient are protected.

II A. ASSESSMENT / FOLLOW-UP EVALUATION (RECALL/RECALL)

1. MEDICAL AND DENTAL HISTORY
   1.) Accurately obtains and records information obtained from history. Follow-up on appropriate questions.
   2.) Identifies need for and obtains consultations/referrals.
   3.) Records vital signs accurately.
   4.) Reviews history with all recall patients.
   5.) Uses reference books; looks up medications, or conditions.
   6.) Prepares for possible emergency care.

2. SOFT TISSUE EXAM
   1.) Informs patient of examination procedures.
   2.) Performs examination in a systematic sequence.
   3.) Accurately palpates and examines soft tissue.
   4.) Records all anomalies and arranges for re-evaluation.
   5.) Makes referral where appropriate.

3. PERIODONTAL EXAM
   1.) Informs patient of examination procedures.
   2.) Performs examination in a systemic sequence.
   3.) Performs and records gingival evaluation accurately, recording recession using Miller’s Classification.
   4.) Performs periodontal probing accurately and records pocket depth accurately, determining attachment level (CAL).
   5.) Records indices accurately and compares results at subsequent appointments.
   6.) Presents instructional information appropriate for patient.
   7.) Notes healing or improvement in the patient record at maintenance, recall or re-evaluation.

4. HARD TISSUE EXAM
   1.) Utilizes explorer accurately.
   2.) Records restorative charting of hard tissue accurately.
   3.) Notes all anomalies, such as, caries and fractures using Black’s Classification of Caries.
   4.) Classifies occlusion accurately, using Angle’s Classification.
   5.) Accurately classifies patient’s mouth with regard to:
      - Stain
      - Calculus
      - Plaque
      - Periodontal involvement (mobility)

5. RADIOGRAPHS
   1.) Obtains appropriate orders and consent.
   2.) Determines need for appropriate radiographs: bitewings, full mouth series or other types.
3.) Recognizes any medical contraindications.
4.) Completes the “Radiographic Operatory Tally Record”.
5.) Produces technically acceptable films.
6.) Utilizes new and/or existing radiographs appropriately.
7.) Recognizes, interprets and documents radiographic findings accurately.
8.) Displays principles of radiation safety.
9.) Discusses findings with the patient.

6. **RISK ASSESSMENTS**
   1.) Conducts caries risk assessments (tobacco, caries, periodontal) when necessary and utilizes Black’s Classification of Caries.

II B. **REAPPOINTMENT: ASSESSMENT UPDATE FOLLOW-UP EVALUATION**

1. **FOLLOW-UP EVALUATION**
   1.) Evaluates patient in light of previous treatment by comparing evaluation data (indices).
   2.) Compares goals for patient with results.
   3.) Identifies indicators of oral health status. (e.g. Bleeding)

III. **COMPREHENSIVE TREATMENT PLANNING AND IMPLEMENTATION**

1. **DENTAL HYGIENE DIAGNOSTIC STATEMENT**
   1.) Writes a dental hygiene diagnostic statement.
   2.) Performs goal setting.

2. **TREATMENT PLANNING/APPOINTMENT PLANNING**
   1.) Formulates treatment plan appropriate for individual’s needs and obtains informed consent for treatment planned.
   2.) Designs a logical sequence of planned appointments.
   3.) Contracts with patient or parent for desired outcome regarding oral health, including tobacco cessation, caries prevention, and periodontal health.
   4.) Plans special preventive services when indicated including referral.
   5.) Plans for patient’s comfort by planning for pain management. (topical or local anesthesia).
   6.) Schedules appropriate follow-up evaluation of patient services as needed.
   7.) Presents case to patient and instructor.
   8.) Patient signs treatment plan.

3. **INFORMED CONSENT**
   1.) Clearly explains diagnosis, treatment, treatment alternatives, outcomes, time, and fees
   2.) Patient consent is confirmed with signatures on the treatment plan and or L.A. form.
4. **Patient Education/Motivation / (PHP)**
   1.) Evaluations need for home care instructions. (Implements PHP index, Tobacco Use Assessment Form, other indexes and forms, etc., where indicated)
   2.) Develops an effective plan for instruction, motivation, and preventive counseling.
   3.) Presents instructional information appropriate for the patient.
   4.) Instructs patient on post-operative care.
   5.) Documents information and any OPT Aids given to patient in the patient’s records.
   6.) Follows up on information given in subsequent appointments.

5. **Instrumentation**
   1.) Correctly selects and adapts instruments.
      - Light, modified pen grasp
      - Fulcrum on same arch
      - Stable Fulcrum
      - Leads with tip
      - Maintains side of tip on tooth
      - Short, overlapping oblique or vertical strokes
      - Even strokes
      - Adapts cutting edge
      - Advances into and below contact points
      - Light, exploratory stroke for insertion
      - Closes blade for insertion
      - Removal of deposits (45 – 90 degrees)
      - Applies lateral pressure of working stroke for deposit removal
      - Walks around entire sulcus
      - Instrument parallel with long axis of the tooth
      - Utilizes wrist action
   2.) Removes all deposits.
   3.) Sharpens instruments as needed.
   4.) Maintains tissue integrity.
   5.) Utilizes explorer accurately.
   6.) Utilizes probe accurately.
   7.) Utilizes ultrasonic scaler.
   8.) Uses direct and indirect vision as needed.
   9.) Sequences instrumentation in an orderly manner by quadrant or sextant.
   10.) Completes scaling procedures in an acceptable time frame.
   11.) Implements root planing and gingival curettage as indicated.
   12.) Implements pain control procedures as indicated.
   13.) Cleans removable appliances/prosthesis.
   14.) Ensures patients comfort.

6. **Pain Control**
   1.) Determines indications/contraindications clinician’s judgement.
   2.) Explains the need, procedure, and post op. precautions.
   3.) Selects correct type of local anesthetic
   4.) Applies topical anesthetic (Oraqix or other).
   5.) Confers with instructor and sets up local anesthesia tray.
6.) Implements antianxiety measures, stress reduction protocol.
7.) Assists or aids in documentation of record, noting analgesia, anesthesia: type, amount, effectiveness.

7. STAIN REMOVAL / BIOFILM AND DEPOSIT REMOVAL
   1.) Assesses teeth for extrinsic stain and discusses the philosophy of selective polishing to maintain tooth structure.
   2.) Prepares appropriate materials.
   3.) Correctly adapts handpiece:
       - Stable fulcrum
       - Modified pen grasp
       - Appropriate slow speed
       - Adaptation of cup
       - Uses moderate pressure
       - Uses even strokes
       - Utilizes wrist action
       - Maintains tissue integrity
   4.) Uses direct and indirect vision as needed.
   5.) Removes all biofilm and extrinsic stain (where appropriate).
   6.) Utilizes dental floss, finishing strip and other supplemental aids correctly.
   7.) Completes task in an acceptable time frame.
   8.) Teaches patient to remove biofilm with toothbrush, and interdental cleaning device.
   9.) Removes biofilm removable appliances/prosthesis.

8. FLUORIDE APPLICATION / HOME THERAPY RECOMMENDATIONS
   1.) Prepares patient for fluoride.
   2.) Positions patient in an upright position for trays.
   3.) Utilizes appropriate application/technique.
   4.) Isolates teeth properly for chosen technique.
   5.) Instructs patient during procedures.
   6.) Correctly places cotton roll holders when applicable.
   7.) Comforts and stays with patient throughout procedure.
   8.) Maintains fluoride contact time.
   9.) Instructs patient of post application procedures.
  10.) Utilizes correct desensitizing procedures when applicable.
  11.) Assesses patient’s need for home fluoride therapy and makes appropriate recommendations (dentifrice, rinses, gels, or custom trays).

9. OTHER PREVENTIVE PROCEDURES/ TESTS/ CHEMOTHERAPUTIC AGENTS
   1.) Recommends, schedules, and conducts nutritional counseling
       - Identifies patient need for nutritional counseling.
       - Establishes communication and makes assessment of patient interest.
       - Explains purpose.
       - Uses appropriate terminology.
       - Records Medical and Nutritional history.
       - Explains “Food Intake Diary”.
Evaluates “Food Intake Diary”.
Assists patient in completing “Diet Prescription”.
Records summary in patient’s records.

2.) Identifies, plans and places Sealants
Identifies appropriate teeth for sealant placement.
Utilizes proper materials and equipment.
Isolates teeth completely.
Follows acceptable procedures for tooth conditioning and sealant placement.
Evaluates sealant for retention.
Evaluates occlusion and makes appropriate adjustment.
Apply topical fluoride to sealed tooth.

3.) Plans and implements polishing restorations/care and maintenance of restorations.
Performs according to patient’s needs.
Selects appropriate instruments.
Utilizes acceptable procedures and instrumentation.
Presents acceptable finished restoration.
   - Removal of overhangs.
   - Surface smooth.
   - Margination smooth.
   - Tooth anatomy restored.
   - Tissue integrity.

4.) Recommends, plans and implements impression/diagnostic study models/ sports guard.
Prepares impression accurately.
   - Preparation of materials.
   - Selection of tray.
   - Manipulation of materials.
   - Evaluation of impression.
Maintains patient comfort and care of soft and hard tissue
Prepares wax bite registration.
Prepares acceptable models.
   - Accurate pouring and trimming.
   - Acceptable base dimensions.
   - Free of bubbles and voids.
   - Accurate definition of hard and soft tissue.
Selects appropriate material
Fabricates sports guard from impression using vacuum machine

5.) Recognizes need and implements pulp testing.
Identifies tooth or teeth with potential for non-vitality.
Obtains comprehensive dental history.
Educates patient regarding procedure.
Utilizes appropriate techniques for evaluation of pulpal vitality.
Test tooth/teeth contralateral to one(s) in question.
Advise patient of findings.
Records finding in patient’s record prior to check-out
6.) Plans and implements chemotherapeutic therapy with the dentist or faculty member when indicated. (e.g. Chlorhexidine Rinse, Arestin®, Perio Chip®)

IV. EVALUATION AND QUALITY ASSURANCE

1. PATIENT SAFETY, COMFORT, SATISFACTION
   1.) Meets all timelines for the appointment for good time management.
   2.) Assures Patient Safety following all policies. (e.g. patient safety glasses, clears path).
   3.) Completes patient as planned.
   4.) Evaluates results of patient treatment and updates records, revising treatment plan to meet patient needs.

2. FORMS, EVALUATION, DOCUMENTATION, COMPUTERIZED RECORD CONTROL
   1.) Prepared for evaluation with proper documents filled out and entered into computerized records.
   2.) Signs forms, seeks instructor and patient for signatures; HIPAA, patient bill of rights, medical history and changes, consent treatment plan.
   3.) Documents Chief Complaint (Reason for visit).
   4.) Completes Services Rendered.
   5.) Enters patient’s name and date on every page.
   6.) Schedules the appropriate recare interval.

3. COMPLETES STUDENT QA CHART REVIEW (GREEN SHEET)
   1.) Ensures Chart review is complete, accurate and up to date

V. HIGHLY UNUSUAL CIRCUMSTANCES (THE FOLLOWING PROCEDURES WILL BE EVALUATED WHEN APPROPRIATE)

1. OUTSTANDING BEHAVIOR/ LEADERSHIP
   1.) Emergency Care: utilizes appropriate protocols
       Responds to emergency situation
       Precautions to prevent emergency situations
   2.) Shows leadership and takes responsibility

2. OBTRUSIVE BEHAVIOR
   1.) Displays rude, surly behavior
   2.) Impaired, needs to be dismissed, poor professional judgment

3.29 Clinician Medication Policy

People take medications for many conditions and such matters are confidential. As professionals, we must recognize when our abilities are impaired. Safety is an important aspect of professional practice.

We must respect safe interaction with patients, our colleagues, and ourselves.
Any student or faculty member who takes medication that impairs their ability, must recognize this and refrain from any work in the clinical setting. This would include RA, CA, screening, and all chairside duties. Students and faculty who are impaired will be dismissed from all clinical duties.

Students are encouraged to consult with the clinical course directors about absences for any reason. See sections 3.00 and 3.01 for policies regarding attendance.

3.30 UNE Dental Hygiene Program Standards of Care (See 1.04)

3.31 Program Clinic and Lab Attire

I. Clinical Attire

A. Clinic/Laboratory setting – working on patients
   1. Scrubs
   2. Protective gown/cover – provided by the Department
   3. White shoes/sneakers
   4. White socks
      a. Legs should be completely covered
   5. Tattoos must be covered

B. Hair must be fastened off the face with no obvious loose ends
   1. If you put your head down and hair falls onto your face, you need to pin it back

   2. Bobby pins, hair clips and non-porous head bands that are impervious to fluid and can be disinfected may be worn to keep hair back and away from the patient
   3. Disposable surgical hats may be worn if desired

C. All jewelry must be removed
   1. Wedding rings
   2. Watches
   3. Tongue rings
   4. Necklaces
   5. Facial jewelry

D. Protective gowns/covers must be removed before leaving the work area

E. Clinic attire should be laundered in hot water, detergent and bleach daily
II. Lab Attire

A. If working in a lab setting (no patients), students may wear slacks (no jeans), closed-toed shoes, and an appropriate protective gown/cover

B. No jewelry

III. Classroom / Professional Presentations

A. Professional business attire is expected in some cases. See individual course syllabi.

3.32 Risk Assessments

Many patients are not aware that they have risk factors for systemic and/or oral disease. Risk assessment provides a way to evaluate patients and to identify factors to prevent disease or to provide early intervention for conditions to minimize the factor’s impact.

It is an expectation that risk assessment be conducted on each patient, assessments for oral health include, tobacco use, enamel caries and root caries, and periodontal risk assessment.

Risk Assessments are accomplished by the use of risk assessment forms. Examples of forms can be found in the appendix.

Assessing the Patient for Tobacco Use

Numerous oral conditions are attributed to tobacco use and vary with the type of tobacco used. Because of that, tobacco use can seriously compromise patient care or the patient’s ability to heal from dental treatment. Use information from the Patient History to assess the willingness of a patient who answered affirmatively to tobacco use on the health history to end his or her tobacco use.

Remember the 5-A’s: Ask, Advise, Assess, Assist, Arrange.

The Brief Intervention

A few simple questions about tobacco use and quitting behavior can serve as an effective assessment to determine when to initiate a brief intervention.

Tools for brief interventions and assessment:

Brief Conversations: An effective assessment can be conducted using a few simple questions:

- Have you used tobacco in the past 6 months?
- Do you currently use tobacco?
- How often do you use tobacco?
- Have you ever tried to quit or thought about quitting?
• What strategies or medications did you use?

**In-depth Counseling**

For more in-depth or intensive counseling, a number of assessment tools have been developed which can be of great assistance in gathering important information.

**Fagerstrom Tolerance Questionnaire:** See the Tobacco Use Assessment Form

This brief questionnaire provides a means of quantifying the degree of dependence on tobacco which is being exhibited by the patient or client. A key question in this assessment is: "How soon after waking do you smoke your first cigarette?" Research has shown that this question is at least as important as asking an individual about how much they smoke.

**Caries Risk Assessment**

Caries management by risk assessment is an accepted method to identify risk factors for caries, including root caries and recommendations for treatment. This risk assessment and proposed interventions are based on the concept of altering the balance of pathologic factors and protective factors. Caries risk assessment forms: (Age 0-6) and form(Age >6) by the American Dental Association are in the Appendix.

**Hyposalivation Screening Tool** Xerostomia is a risk factor for caries, cervical caries and other oral conditions, such as fungal infections. The American Dental Hygienist’s Association provides a tool to assess hyposalivation, including risk and treatment options for the risk categories.

**Periodontal Disease Risk Assessment**

The student will conduct a periodontal risk assessment to help predict a patient’s risk for developing periodontal disease and to assist the patient in acquiring motivation, knowledge, attitudes and practices that will lead to successful management of periodontal disease(s) or the prevention of periodontal disease(s). The University Of New England Periodontal Disease Risk Assessment Form adapted from the American Academy of Periodontology is in the Appendix.
MEDICAL CONSIDERATIONS
FOR PATIENT CARE
TABLE OF CONTENTS

Medical Considerations for Patient Care

4.00  Medical History Complications Procedure for the Medically Compromised Patient
4.01  Diseases With Significant Oral Care Precautions
4.02  Medical Emergency Risk Management
4.03  Medical Emergency Plan of Action Protocol
4.04  Emergency Procedures
4.00 Medical History Complications Procedure for the Medically Compromised Patient

Significant complications noted on a patient’s medical history should be reviewed with your instructor before proceeding to any definitive care.

*Significant medical history should be noted in RED on the upper right front corner of each patient’s chart and noted under medical alerts in Dentrix.

The protocol for all conditions of significant medical history is the same:
1. **CONSULT** with your instructor before proceeding to any oral exam or procedure.
2. **DETERMINE** the need for any special precautions before proceeding.
3. **DOCUMENT** any decisions and recommendations received from an instructor or consulting physician.

1. **Consultation**: Before consulting with your instructor, prepare by reviewing the appropriate text material or this manual.

2. **Determination**: The patient should be categorized as “High Risk” or “Low Risk” depending on the medical facts. Management or precautionary treatment should always be based on the latest protocols established by the appropriate professional review board. Review the ASA Physical Status Classification System note at the end of 4.00 to help determine the risk of dental hygiene treatment and the appropriate action.

3. **Documentation**: All decisions should be written in the narrative/services rendered section of the patient chart. Documentation includes a written record of any phone consultations with a physician. The date, time, and content of the conversation must be recorded, and witnessed by the instructor. Completeness is essential for all documentation. It is most prudent to have the physician follow up this conversation with a document relating all diagnostic and preventive information considered appropriate. This document will become a part of the patient’s clinic record.

The medically compromised patient may be defined as the patient with a disorder or treatment that would necessitate alterations in the provision of dental hygiene treatment.

**ASA* Physical Status Classification System**

(*ASA American Society of Anesthesiologists)

The ASA Risk Management System has been adapted to fit the clinical needs of the Dental Hygiene Clinic. The following classifications and examples are meant to guide dental hygiene care delivery. The ASA classification provides a means for the identification of the medically compromised patient. The following is intended to be a relative value system based on clinical judgment and assessment of relevant clinical data. Whenever the clinician is unsure of any clinical data provided by the patient or determined by physical exam, the patient is classified ASA IV, Red Light for elective treatment. Consultation should be initiated. After consultation with the patient’s physician or other dental colleague, the patient’s ASA status may be upgraded to one of the treatable classifications or may remain in the untreatable category.¹ ²
ASA I  Normal healthy individuals
Green Light for treatment

ASA II  Mild systemic disease or extremely anxious ASA I
Yellow Light for treatment; proceed with CAUTION; minimal risk for elective hygiene treatment

Examples of ASA II patients:
- Adult onset diabetes
- Epilepsy
- Uncontrolled asthma
- well-controlled hyper or hypo-thyroid disorders - no symptoms
- ASA I patients with upper respiratory infection
- healthy pregnant women
- ASA I patients with drug allergies
- ASA I patients with extreme dental anxiety
- ASA I patients over 60 years old
  Adults with BP 140-159 systolic and 90-94 diastolic

ASA III  Severe systemic disease that limits activity but is not incapacitating. Symptoms only seen when patient is stressed.
Yellow Light for treatment; proceed with CAUTION; moderate risk may require treatment plan modification.

Examples of ASA III patients:
- Unstable angina pectoris
- status post-myocardial infarction more than 6 months
- status post-CVA more than 6 months
- well-controlled IDDM
- CHF with orthopnea and ankle edema
- COPD: emphysema or chronic bronchitis
- exercise induced asthma – can also be caused by anxiety
- less well controlled epilepsy
- hyper or hypothyroid disorders with symptoms
- adults with BP 160-199 systolic and/or 95-114 diastolic

ASA IV  No elective dental care
Red Light for treatment

Examples of ASA IV patients
- unstable angina pectoris
- myocardial infarction within 6 months
- CVA within 6 months
- adult BP above 200 systolic and/or 115 diastolic
- severe COPD or CHF (reqs O2 bottle or confined to wheelchair)
- uncontrolled epilepsy (Hx of hospitalization)
• uncontrolled IDDM (Hx of hospitalization)

ASA V  Not expected to survive more than 24 hours
Red Light for treatment
Examples of ASA V patients
• end-stage renal disease
• end-stage hepatic disease
• end-stage cancer
• end-stage infectious disease
• end-stage cardiovascular disease
• end-stage respiratory disease

1 Malamed SF. Medical Emergencies in the Dental Office. 5th ed. St.Louis, Missouri: Mosby, Inc; 2000.

4.01 Diseases With Significant Oral Care Precautions

I. Cardiovascular Diseases

A. Hypertension (≥140/90mm Hg)
   RISK: A sustained elevation of diastolic blood pressure caused by increased peripheral arteriolar resistance may lead to cardiac, renal, retinal or cerebrovascular complications.
   MANAGEMENT: Strive to reduce patient stress and anxiety. Provide patient with card indicating: date, pressure reading, and arm used for measurement. See Appendix II for management table. Check for xerostomia if patient is on antihypertensive medications. Do not use local anesthesia formulations with epinephrine vasoconstrictors.

B. Heart Attack
   RISK: If a history or heart attack within the last six months exists, there is an elevated risk of repeat attacks.
   MANAGEMENT: No dental hygiene care if less than six months. No restrictions if longer than six months.

C. Angina Pectoris
   RISK: Patients with unstable angina pectoris are very susceptible to arrhythmias, myocardial infarctions, and sudden death.
   MANAGEMENT: Unstable angina is a High Risk situation – no dental care. Stable angina (under the current care of a physician) is a Low Risk situation – dental care given only if patient has nitroglycerin medication with them.

D. Heart Murmur of Pathologic Origin, Congenital Heart Defect or Lesion, and Artificial Heart Valve
   RISK: Patients with any of the above conditions, as determined by their physician, are susceptible to bacterial endocarditis.
MANAGEMENT: If susceptible, and gingival bleeding is anticipated, oral antibiotic prophylaxis is required. (See Appendix I)

E. Arrhythmias
RISK: Arrhythmia may precipitate fibrillation and sudden death. High Risk patients are unstable with symptoms, pulse greater than 100, irregular pulse, or bradycardia with a pacemaker. Moderate Risk patients are taking chronic medication but are asymptomatic or have a pacemaker. Low Risk patients have no symptoms and no medications but episodic, identifiable etiology.

F. Stroke - Cerebrovascular Accident
RISK: Stroke is a sudden life-threatening condition. High Risk patients are less than 6 months post-attack. Low Risk patients have survived beyond 6 months with or without permanent physical handicaps.
MANAGEMENT: High Risk – no dental treatment. Low Risk patients can receive dental care with the following precautions: 1) strive to reduce appointment stress and anxiety, 2) be aware of prolonged period needed to achieve homeostasis if on anticoagulant medication, and 3) avoid excessive vasoconstrictor in local anesthesia.

II. BLOODBORNE PATHOGENS

This is a diverse category but the common denominator is that these pathogens all are transmitted within blood droplets. The greatest risks to clinicians are needle stick injury and infected blood entering a wound or the oral cavity.

A. Acquired Immune Deficiency Syndrome (AIDS)
RISK: Infection with the HIV-1 and HIV-2 virus is universally fatal. Prevention of virus infection to others via contaminated blood should be of prime concern.
MANAGEMENT: HIV-infected asymptomatic patients are managed like any other patient in the clinic. Use Universal Precautions. AIDS patients with thrombocytopenia present clotting problems during scaling procedures; consult with the physician about possible platelet augmentation. Patients with advanced immunosuppression and neutropenia will require oral antibiotic prophylaxis; consult with the physician if in doubt. All HIV-infected patients are more susceptible to opportunistic infections and will require closer periodontal management. It is recommended that daily chlorhexidine mouth rinse be advised to keep all oral infections minimized. Patients with severe AIDS symptoms should be treated to reduce pain and oral infection in these patients. Aggressive periodontal procedures are contraindicated since thrombocytopenia will create clotting problems.

B. Hepatitis
RISK: Type B hepatitis (HBV), type C hepatitis (HCV), and type D hepatitis (HDV) have varying degrees of infectivity, but all are blood borne pathogens. Chronic liver destruction and death is a consequence of infection.
MANAGEMENT: A careful health history and Universal Precautions are always practiced. Patients with active hepatitis will not receive hygiene care. Patients with a known history of hepatitis should be encouraged to have laboratory tests to determine whether the patient is a carrier of the type B surface antigen HbsAg. The patient will need counseling by their physician on the patient’s infectivity and how to prevent infection of others. Patients with the signs and symptoms of hepatitis should be referred to a physician, no hygiene care is rendered.

III. HEMATOLOGIC DISORDERS

A. Bleeding Disorders
   RISK: Congenital or acquired bleeding disorders and drug induced bleeding problems pose a hemostasis problem during hygiene scaling procedures. Patients identified by health history with the following conditions require special precautions.
   • Hemophilia
   • Von Willibrand’s disease
   • Anticoagulation therapy such as; Warfarin, Pradaxa, Xarelto (e.g. stroke and phlebitis)
   • Aspirin therapy
   • Liver cirrhosis
   • Severe hepatitis
   • Malnutrition
   • NSAID therapy (non-steroidal anti-inflammatory drugs)
   • Cancer chemotherapy

   MANAGEMENT: No hygiene procedures that may cause gingival bleeding should be performed if the patient health history, oral exam, or medication list indicates a bleeding disorder exists. The patient should be referred to his physician for diagnosis. A patient under the supervision of a physician for a bleeding disorder, should not be given hygiene care until the supervising physician is consulted. (See Appendix III for blood chemistry values and discussion of INR).

B. Immunoincompetence
   RISK: Several congenital or acquired conditions cause the immune system to be incompetent to control infection or repair tissue damage. Life-threatening infection can be caused by invasive hygiene procedures and subsequent bacteria. The following is a partial list of such conditions:
   • HIV infection/AIDS
   • Immunosuppressive drug therapy (cancer and organ transplantation)
   • Corticosteroid therapy
   • Diabetes mellitus (UNCONTROLLED)
   • Alcoholism
   • Splenectomized patients
   • Leukemia (white-blood cell disorders)

   MANAGEMENT: Before any invasive hygiene procedures, and consultation with the current physician is required. It is imperative to determine the status of the patient’s hematological condition, and the need for antibiotic coverage to prevent life-threatening septicemias. (See Appendix III for pertinent blood cell laboratory values)
C. Kidney Transplant
Kidney patients are advised to tell their kidney doctor when a dental procedure is required. The doctor may recommend antibiotics be taken prior to the procedure to help guard against infection. The dentist should be made aware that their patient has kidney disease or is on dialysis. Ideally, dental procedures, such as tooth extraction, should occur on a non-dialysis day for those on hemodialysis. Heparin, administered during hemodialysis, may cause some people to have extra bleeding.

During workup for a kidney transplant a person will undergo a thorough oral exam. Infections from gum disease or advanced tooth decay can prevent someone from being eligible or delay the transplant until dental work is completed.

For UNE dental hygiene patients receiving dialysis, we require consultation from their Nephrologist on the need of antibiotic premedication. Peritoneal dialysis presents no additional problems in dental management. However, this is not the case with patients who are receiving hemodialysis.


IV. RESPIRATORY TRACT

A. Anaphylactic Bronchoconstriction
   RISK: Systemic reaction to an allergen precipitates life-threatening constriction of bronchiole smooth muscles. Patients with a history of allergies to antibiotics, pain-relievers, local anesthetics and latex are of special concern to hygienists.
   MANAGEMENT: Injection of epinephrine (Epi-Pen) is recommended to quickly reverse the symptoms created by muscle contraction.

B. Emphysema
   RISK: Life-threatening hypoxia may occur if physiologic oxygen demands exceed ability of damaged alveoli to oxygenate blood.
   MANAGEMENT: Do not place patient in supine position, strive to reduce appointment stress and anxiety, and ensure current physician-recommended medications such as bronchodilators are available.

C. Asthma
   RISK: Life-threatening bronchospasm caused by hypersensitive airway may occur.
   MANAGEMENT: Ensure that patient is compliant with physician recommendations for drug therapy. Patient should have prescribed emergency drug readily available (e.g. theophylline). Do not use local anesthetics with epinephrine if patient is also using other sympathomimetic agents.

V. ENDOCRINE DISORDERS

A. Diabetes Mellitus
   RISK: Uncontrolled diabetics are at HIGH RISK for life-threatening infections following invasive hygiene therapy. Diabetics whose condition is under control using physician prescribed drugs or
diet modification are at LOW RISK for infections. Under control means that no recent episodes of insulin shock has occurred, no recent changes in drug therapy have been required, no recent history of frequent infections and no concurrent medical conditions such as hypertension or coronary artery disease exist. The patient’s control can be ascertained by the HBA1C Test which reflects the average blood glucose level over the last three months. See Appendix for chart on interpretation of the HBA1C Test.

MANAGEMENT: High Risk patients with an A1C greater than 8 should not receive dental hygiene treatment without consultation between the dental professional and appropriate physician. Low Risk patients may receive hygiene care. For insulin-dependent diabetics, recommend normal insulin dosages, a normal breakfast, and morning hygiene appointments (high glucose and low insulin activity). Strive to reduce appointment stress and anxiety that will limit the release of endogenous epinephrine. Epinephrine is antagonistic to insulin. Local anesthetics with epinephrine should be used sparingly.

IF IN DOUBT, CONSULT THE PHYSICIAN BEFORE TREATMENT.

B. Thyroid Disease

RISK: Uncontrolled hyperthyroidism is a HIGH RISK condition that is life-threatening when a “thyroid storm” occurs. This attack may cause extreme tachycardia and fever. Patients with controlled hypothyroidism taking thyroid supplements are at LOW RISK.

MANAGEMENT: High Risk patients should not receive hygiene care since their ability to tolerate stress is limited. Low Risk patients may receive hygiene care.

VI. MUSCULOSKELETAL CONDITIONS

A. Joint Prosthesis

RISK: Patients at HIGH RISK have a history of joint replacement, with or without active periodontal infection, unstable prosthesis, severe diabetes type I, immunoincompetency, or other blood dyscrasias. LOW RISK patients exhibit no complications with the prosthesis or other systemic conditions.

MANAGEMENT: No hygiene care should be given to High or Low Risk patients without first consulting with the orthopedic physician. The physician’s recommendation for antibiotic coverage should be followed. AAOS recommends all patients with prosthetic joint replacement Immunocompromised/immunosuppressed patients, Inflammatory arthropathies (e.g.: rheumatoid arthritis, systemic lupus erythematosus), Drug-induced immunosuppression, Radiation-induced immunosuppression, Patients with co-morbidities (e.g.: diabetes, obesity, HIV, smoking) previous prosthetic joint infections, Malnourishment, Hemophilia, HIV infection; be considered for antibiotic prophylaxis.

B. Bisphosphonate related Osteonecrosis of the Jaw (ONJ)

RISK: Patients taking IV Bisphosphonate, commonly, for the management of metastatic breast cancer and multiple myeloma and patients taking oral bisphosphonates for the management of osteoporosis are at LOW RISK for ONJ, between 1 and 10 percent for IV bisphosphonate and 1 in 10,000 or less than 1 in 100,000 patient-treatment years for oral bisphosphonate use.

MANAGEMENT: Because IV use of bisphosphonates generally accompanies comorbidities, such as cancer, and puts the patient at higher risk, a consultation with the oncologist is recommended before invasive dental or dental hygiene care. Oral use of bisphosphonates for osteoporosis puts
patients at LOW RISK for ONJ, patients must be informed of the low risk of ONJ. Low risk patients are eligible for all normal hygiene care.*

*Footnote:

1. American Dental Association – oral bisphosphonate for less than 3 years, no clinical risk factors. ADA recommends a three month drug holiday before and after oral surgery. There is no data to support this recommendation and ADA suggests clinical judgment based on individual benefit/risk management.
3. American Society for Bone and Mineral Research – patients should have the same dental care (prophylaxis, restorations, and root canal therapy) recommended for the general population.
VII. HIGHLY CONTAGIOUS INFECTIONS

A. Tuberculosis
   RISK: Infected patients can disseminate highly infectious microbes to clinician and other patient; mode of transmission is exhalation aerosol. Health history notes showing positive TB test, fever, weight loss, night sweats, cough, blood in sputum, tender lymph nodes, current medications includes anti-tuberculosis drugs (streptomycin, ethambutol, isoniazid) all indicate HIGH RISK patient.
   MANAGEMENT: Contagious individuals will not receive hygiene care. All High Risk patients must be designated non-contagious by a consultation with current physician

B. Impetigo
   RISK: Discharge from skin lesions is highly infectious source of pathogens.
   MANAGEMENT: No hygiene care given until lesions are completely resolved.

C. Herpes Simplex
   RISK: Active herpetic lesions transmit HSV-1 and HSV-2 viruses which are highly contagious.
   MANAGEMENT: Patients who report recurrent “fever blisters” on their health history should be classified as HIGH RISK individuals. Universal Precautions should be strictly followed for all patients and is the primary method to “break the chain of infection”. If an active lesion is discovered during routine oral exam, the patients should be informed and educated about their infectivity. They are a risk to themselves for further lesions and to their family and intimates for new disease. Hygiene care is not recommended since the virus can be spread to other sites on the patient. High Risk patients should be instructed to reschedule any imminent appointment if an active or prodromal lesion is evident.

The major source of all of the above material on medical considerations was:


4.02 Medical Emergency Risk Management

Prevention is the best management tool for all medical emergency possibilities. Being alert and observant are your most important clinical traits. Knowledge of the various at risk diseases and conditions that are common in the patient population, will allow the clinician to mentally prepare and physically cope with the most common dental clinic medical emergencies.

A well-taken medical history will inform the clinician of the patient’s current and past history of disease. A thorough review of the patient’s medications is vital, as well as, drug interaction guidelines. Read the narrative section of the patient chart to discover any abnormal occurrences during previous hygiene appointments. Observe the patient’s present physical (vital signs, skin color, etc.) and mental (anxiety
level, ability to understand conversation) appearance, and proceed with the intended treatment plan if all signs appear normal.

**4.03 Medical Emergency Plan of Action Protocol**

Plan of Action – Emergencies in the Dental Hygiene Building

1. Recognize the emergency. Immediately say “Code Red” to the nearest instructor.

2. The student clinician should take appropriate immediate action with the patient.

3. The student clinician should ask a neighboring student to get an airway, oxygen tank, blanket, and emergency kit. The student clinician should never leave the patient unattended.

4. When the instructor takes over control, the student clinician should inform the instructor of any pertinent medical history, e.g. heart condition, diabetes.

5. The instructor may ask the student to call for medical emergency services, the patient’s physician, or the patient’s dentist.

   A. There is a phone by the main exit of the clinic, in each faculty office, and at the Reception Desk in the lobby. Promptly use the nearest phone to contact medical emergency services.

   B. Emergency phone numbers are listed by the telephone.  
   MEDICAL EMERGENCY dial “911” to reach MEDCU, Fire and Police.  
   POISON CONTROL telephone number is 1-800-442-6305.

   C. Quickly state:  
   Emergency – Example: unconscious person  
   Location – Dental Hygiene Building  
   University of New England/ Westbrook College Campus  
   716 Stevens Avenue  
   Portland  
   Type of Emergency (if known) – Example: cardiac arrest

6. The instructor will ask the student to proceed to the Reception Desk area in the lobby to direct arriving MEDCU, Fire, or Police personnel.

7. All other students should stay with their patients and keep calm.

8. Keep all unnecessary personnel away from the patient.
4.04 Emergency Procedures

1. Vasodepressor Syncope

A. Symptoms
   1. Presyncope
      a. pale
      b. cold sweat
      c. dizzy
      d. nausea
      e. warm feeling
   2. Syncope
      a. death-like appearance
      b. shallow gasping breathing
      c. dilated pupils
      d. convulsive movements (possible)

B. First Aid
   1. Remain calm
   2. Place patient in Trendelenburg positions (supine position with feet slightly elevated)
   3. Maintain open airway through the head-tilt neck-lift method
   4. Use ammonia capsule
   5. Furnish oxygen
   6. Monitor vital signs
   7. Make patient comfortable (loosen / tighten clothes)
   8. Record all information in patient chart

2. Airway Obstruction

A. Causes
   1. extracted teeth
   2. amalgam
   3. rubber dam clamp
   4. crowns
   5. impressions materials
   6. broken burs

B. First Aid
   1. Partial obstruction – adequate air exchange:
      Position Patient upright and encourage the patient to cough
   2. Partial obstruction – inadequate air exchange:
      Treat the condition as if the patient was suffering from a complete obstruction
   3. Complete airway obstruction – conscious:
      Abdominal thrusts
   4. Complete airway obstruction – unconscious:
      a. Open airway
      b. Attempt to ventilate
c. If unable, reposition airway
d. Attempt to ventilate
e. Abdominal thrusts*
f. Two finger sweeps

3. Cardiac Arrest

A. Symptoms
   1. no pulse
   2. gasping, followed by no breathing
   3. cyanosis

B. First Aid
   1. Immediately initiate CPR
   2. Summon medical assistance

4. Respiratory

A. Symptoms
   1. labored or weak respiration or cessation of breathing
   2. cyanosis

B. First Aid
   1. Lay patient down flat
   2. Monitor vitals
   3. Administer oxygen

5. Cerebrovascular Accident (CVA)

A. Symptoms
   1. headache
   2. unconscious
   3. paralysis
   4. confusion
   5. impaired speech
   6. unequal pupils
   7. respiratory difficulty

B. First Aid
   1. Stop all dental treatment
   2. Position the patient with the head slightly elevated
   3. Monitor vital signs
   4. Administer oxygen
   5. Summon medical assistance
   6. Keep patient calm and quiet

* If victim is greatly overweight or in the late stages of pregnancy, give chest thrusts.
7. Provide CPR if needed
8. Do no administer drugs that alter neurological activity

6. Angina Pectoris

A. Symptoms
   1. subternal chest pain
   2. patient remains motionless
   3. normal appearance or paleness

B. First Aid
   1. Remain calm
   2. Stop dental treatment
   3. Position patient in their most comfortable position
   4. Administer nitroglycerin

7. Myocardial Infarction

A. Symptoms
   1. pain usually occurs at rest
   2. compresing, squeezing pain beginning substernal and spreading
   3. severity of pain varies
   4. pain is not relieve by nitroglycerin
   5. cold, clammy skin
   6. vomiting
   7. nausea
   8. sweating
   9. weakness or extreme fatigue
  10. feeling of impending doom

B. First Aid
   1. Stop dental treatment
   2. Administer nitroglycerin
   3. Summon medical assistance if nitroglycerin does not relieve pain
   4. Keep patient quiet and calm
   5. Position patient in a seated position
   6. Provide oxygen
   7. Be prepared to perform CPR

8. Anaphylaxis

A. Symptoms
   1. Skin
      a. generalized pruritus
      b. urticaria
      c. angioedema
2. Gastrointestinal
   a. nausea
   b. vomiting
   c. diarrhea
3. Respiratory
   laryngeal edema
4. Circulatory
   a. hypotension
   b. shock
   c. cardiac arrhythmias
   d. complete circulatory collapse
5. PLUS
   a. sweating
   b. anxious feeling
   c. nervousness

B. First Aid
1. Summon medical assistance
2. Place the patient in supine position
3. Administer oxygen
4. Administer epinephrine
5. Administer antihistamine as needed
6. Perform cricothyrotomy if needed
7. Initiate CPR if needed

9. Diabetes Mellitus Hypoglycemia (insulin shock)
   A. Symptoms
      1. cold sweats
      2. nervousness
      3. trembling
      4. weakness
      5. personality change
   B. First Aid
      1. Conscious patient:
         Administer sugar source
      2. Unconscious patient:
         a. Give injection of glucagon
         b. Administer sugar source

9A. Diabetes Mellitus Hyperglycemia / Ketosis (diabetic coma)
   A. Symptoms
      1. increased thirst
      2. increased urination
      3. loss of appetite
4. nausea
5. vomiting
6. fatigue
7. abdominal pains
8. generalize aches

B. First Aid
1. Conscious patient:
   Administer their own insulin
2. Unconscious patient:
   Transport to medical facility

10. Contact Dermatitis

A. Symptoms
1. itching
2. erythema
3. edema
4. vesicle formation

B. First Aid
1. Remove contactants
2. Antihistamine
3. Corticosterioda

11. Urticaria (hives)

A. Symptoms
   Raised areas of erythema and edema

B. First Aid
   Remove substance

12. Angioedema

A. Symptoms
1. localized swelling of submucosa
2. localized swelling of subcutaneous tissue
3. usually single lesions – no pain

B. First Aid
1. Remove the cause
2. Administer antihistamine
13. **Epilepsy**

A. **Symptoms**
   1. Grand Mal
      a. prodromal phase: personality change and aura
      b. convulsive phase: tonic movements and clonic movements, sphincter muscle control loss, bladder control loss
      c. postical phase: regaining of consciousness, confusion, deep sleep
   2. Petit Mal “Absence Seizure”
      a. blank stare
      b. twitch
      c. rapid blink
      d. short duration
   3. Partial Seizure
      a. jerking movements of one body part
      b. trance-like state
      c. fidgets

B. **First Aid**
   1. Remove dental materials from patient’s mouth
   2. Remove objects that may injure the patient
   3. Remove glasses and loosen clothing
   4. Do not restrain the patient
   5. Place the patient on one side once seizure is over
   6. Reassure the patient
   7. Do not give the patient anything to eat or drink
   8. Let the patient recover

14. **Asthma**

A. **Symptoms**
   1. coughing
   2. sweating
   3. tightness in chest
   4. difficulty in breathing
   5. wheezing
   6. blood pressure normal or elevated
   7. increase in heart rate
   8. nervousness

B. **First Aid**
   1. Stop treatment – remove everything from mouth
   2. Position patient in an upright position
   3. Administer bronchodilator
   4. Administer oxygen
   5. Administer epinephrine
   6. Summon medical help
15. Hyperventilation

A. Symptoms
   1. Nervousness
   2. increase in rate of respirations
   3. feeling of suffocation
   4. tightness in chest
   5. dizziness
   6. tingling in extremities

B. First Aid
   1. Stop treatment
   2. Position the patient in an upright position
   3. Calm the patient
   4. Have patient breathe into paper bag
   5. Drug therapy if needed (deazepam)

16. Burns

A. Symptoms
   1. First degree
      Skin reddened
   2. Second degree
      Blisters
   3. Third degree
      a. serious burn
      b. severe damage
   4. Chemical burn of oral mucous membrane

B. First Aid
   1. First Degree
      a. Immerse or cover with cool or cold water or ice
      b. Do not apply ointment, grease or baking soda
   2. Second Degree
      a. Call ambulance
      b. Do not remove clothing
      c. Keep patient warm
      d. Cover loosely with nonadherant dressing
   3. Chemical burn of oral mucous membrane
      a. Flush with cool water
      b. Advise bland diet during healing

17. Foreign Body in Eye

A. Symptoms
   1. tears
2. stinging

B. First Aid
1. (FIVE) Eye Wash Stations
   a. Central supply
   b. Steri-Center
   c. Sink near emergency exit door
   d. Lab downstairs
   e. Inside large darkroom
2. Irrigate promptly with copious amounts of water
3. Turn head so water flows away from inner aspect of the eye. Continue for 15-20 minutes.
APPENDICES
APPENDICES

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30. Individual Blood Glucose Test Values
31. Diabetes Education Brochure
APPENDIX I

RECOMMENDATIONS FOR PREVENTION OF BACTERIAL ENDOCARDITIS

The American Heart Association guidelines for prevention of BE are substantially different from previously published guidelines. This information replaces previous information that was based on guidelines published in 1997.

The American Heart Association’s Endocarditis Committee together with national and international experts on BE extensively reviewed published studies in order to determine whether dental, gastrointestinal (GI), or genitourinary (GU) tract procedures are possible causes of BE. These experts determined that there is no conclusive evidence that links dental, GI, or GU tract procedures with the development of BE.

The current practice of giving patients antibiotics prior to a dental procedure is no longer recommended EXCEPT for patients with the highest risk of adverse outcomes resulting from BE. The Committee cannot exclude the possibility that an exceedingly small number of cases, if any, of BE may be prevented by antibiotic prophylaxis prior to a dental procedure. If such benefit from prophylaxis exists, it should be reserved ONLY for those patients listed below. The Committee recognizes the importance of good oral and dental health and regular visits to the dentist for patients at risk of BE.

Antibiotic prophylaxis with dental procedures is recommended only for patients with cardiac conditions associated with the highest risk of adverse outcomes from endocarditis, including:

- **Prosthetic cardiac valve**
- **Previous endocarditis**
- **Congenital heart disease only in the following categories:**
  - Unrepaired cyanotic congenital heart disease, including those with palliative shunts and conduits
  - Completely repaired congenital heart disease with prosthetic material or device, whether placed by surgery or catheter intervention, during the first six months after the procedure*
  - Repaired congenital heart disease with residual defects at the site or adjacent to the site of a prosthetic patch or prosthetic device (which inhibit endothelialization)
- **Cardiac transplantation recipients with cardiac valvular disease**

*Prophylaxis is recommended because endothelialization of prosthetic material occurs within six months after the procedure.*
All dental procedures that involve manipulation of gingival tissue or the periapical region of teeth, or perforation of the oral mucosa*

*Antibiotic prophylaxis is NOT recommended for the following dental procedures or events: routine anesthetic injections through noninfected tissue; taking dental radiographs; placement of removable prosthodontic or orthodontic appliances; adjustment of orthodontic appliances; placement of orthodontic brackets; and shedding of deciduous teeth and bleeding from trauma to the lips or oral mucosa.

**Antibiotic Prophylactic Regimens Recommended for Dental Procedures**

<table>
<thead>
<tr>
<th>Situation</th>
<th>Agent</th>
<th>Regimen – Single dose 30–60 minutes before procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oral</td>
<td>Amoxicillin</td>
<td>Adults: 2 gm Children: 50 mg/kg</td>
</tr>
<tr>
<td>Unable to take oral medication</td>
<td>Ampicillin OR</td>
<td>Adults: 2 g IM or IV* Children: 50 mg/kg IM or IV</td>
</tr>
<tr>
<td>Allergic to penicillins or ampicillin – Oral regimen</td>
<td>Cefazolin or ceftriaxone</td>
<td>Adults: 1 g IM or IV Children: 50 mg/kg IM or IV</td>
</tr>
<tr>
<td></td>
<td>Cephalexin**† OR</td>
<td>Adults: 2 g Children: 50 mg/kg</td>
</tr>
<tr>
<td></td>
<td>Clindamycin OR</td>
<td>Adults: 600 mg Children: 20 mg/kg</td>
</tr>
<tr>
<td></td>
<td>Azithromycin or clarithromycin</td>
<td>Adults: 500 mg Children: 15 mg/kg</td>
</tr>
<tr>
<td>Allergic to penicillins or ampicillin and unable to take oral medication</td>
<td>Cefazolin or ceftriaxone† OR</td>
<td>Adults: 1 g IM or IV Children: 50 mg/kg IM or IV</td>
</tr>
<tr>
<td></td>
<td>Clindamycin OR</td>
<td>Adults: 600 mg IM or IV Children: 20 mg/kg IM or IV</td>
</tr>
</tbody>
</table>

*IM – intramuscular; IV – intravenous** Or other first or second generation oral cephalosporin in equivalent adult or pediatric dosage. † Cephalosporins should not be used in an individual with a history of anaphylaxis, angioedema or urticaria with penicillins or ampicillin. Other Procedures: BE prophylaxis
for procedures of the respiratory tract or infected skin, tissues just under the skin, or musculoskeletal tissue is recommended **ONLY** for patients with the underlying cardiac conditions shown above.


** Pre medication will only be dispensed to a patient if deemed appropriate by the orthopedic surgeon on a limited basis. Patients are responsible to use premedication provided by their physician.

**Orthopedic Implants**

The American Dental Association and American Academy of Orthopedic Surgeons also do not recommend antibiotics for all dental patients who have had orthopedic implants. However, some people with orthopedic implants may decide to take antibiotics. For example, people who have weak immune systems are at greater risk for artificial joint infection. Diabetes, rheumatoid arthritis, cancer, chemotherapy, and chronic steroid use can weaken the immune system. All patients should talk to their dentists and / or physicians before deciding whether or not to take antibiotics.

*Adapted from Antibiotics and Dental Treatment by American Dental Association, 2013.*
## Management of the Hypertensive Patient

### Determining Risk and Providing Dental Treatment*

<table>
<thead>
<tr>
<th>BLOOD PRESSURE</th>
<th>FOLLOW-UP</th>
<th>DENTAL TREATMENT</th>
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<tbody>
<tr>
<td><strong>Diastolic:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt; 89</td>
<td>Recheck at recall</td>
<td>No restrictions</td>
</tr>
<tr>
<td>90 to 99</td>
<td>Retake and confirm BP</td>
<td>No restrictions. Proceed with elective treatment</td>
</tr>
<tr>
<td>100 or higher</td>
<td>Retake and confirm BP. Monitor BP during appointment. Refer to physician for medical evaluation</td>
<td>Medical consult required for elective treatment.</td>
</tr>
<tr>
<td>&gt;120</td>
<td>Retake and confirm BP with an alternative device. Immediate referral to physician or ER.</td>
<td><strong>NO treatment of any type.</strong></td>
</tr>
<tr>
<td><strong>Systolic:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt; 139</td>
<td>Recheck at recall.</td>
<td>No restrictions.</td>
</tr>
<tr>
<td>160 or Higher</td>
<td>Retake and confirm BP. Monitor BP during appointment. Refer to physician for medical evaluation.</td>
<td>Medical consult required for elective treatment.</td>
</tr>
<tr>
<td>&gt;210</td>
<td>Retake and confirm BP with an alternative device. Immediate referral to physician or ER.</td>
<td><strong>NO treatment of any type.</strong></td>
</tr>
</tbody>
</table>


*http://www.db.uth.tmc.edu/clinic-pat/Documents/bpguidelines-2004.pdf*


Manuals/PPM/Appendices/Appendix2-2011
<table>
<thead>
<tr>
<th>Dental Management of the Hypertensive Patient: Reduction of Stress and Anxiety</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Establish honest, supportive relationship with the Patient</td>
</tr>
<tr>
<td>• Discuss patient’s questions, concerns, fears</td>
</tr>
<tr>
<td>• Schedule morning appointments</td>
</tr>
<tr>
<td>• Avoid long appointments</td>
</tr>
<tr>
<td>• Use premedication as needed – (benzodiazepines)</td>
</tr>
<tr>
<td>• Use nitrous oxide as needed (avoid hypoxia)</td>
</tr>
<tr>
<td>• Provide gradual changes of position to prevent postural hypotension</td>
</tr>
<tr>
<td>• Avoid stimulating gag reflex</td>
</tr>
<tr>
<td>• Dismiss patient if stress appears excessive</td>
</tr>
</tbody>
</table>

**Appendix III**

**Blood Chemistry**

**TESTS USED FOR BLOOD EVALUATION**

<table>
<thead>
<tr>
<th>TEST</th>
<th>NORMAL RANGE*</th>
<th>CAUSES OF DEVIATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hemoglobin</td>
<td>Males: 14-18g/100ml</td>
<td>Increased in Polycythemia, Dehydration</td>
</tr>
<tr>
<td></td>
<td>Females: 12-16g/100ml</td>
<td>Decreased in Anemias, Hemorragge, Leukemias</td>
</tr>
<tr>
<td>Hematocrit (volume of packed red cells)</td>
<td>Males: 40-54%</td>
<td>Increased in Polycythemia, Dehydration</td>
</tr>
<tr>
<td></td>
<td>Females: 37-47%</td>
<td>Decreased in Anemias, Hemorrhage, Leukemias</td>
</tr>
<tr>
<td>Bleeding Time</td>
<td>Duke: 1-3 ½ minutes</td>
<td>Prolonged in Disorders of platelet function, Thrombocytopenia, von Willebrand’s disease, Leukemias, Aspirin and certain other drug use</td>
</tr>
<tr>
<td></td>
<td>Ivy: less than 5 min.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Modified Ivy: 2 ½ - 10 minutes (Mielke templates)</td>
<td></td>
</tr>
<tr>
<td>Clotting Time</td>
<td>Glass tube: 4-8 min.</td>
<td>Prolonged in Vitamin K deficiency, Severe hemophilia, Anticoagulant therapy, Liver diseases</td>
</tr>
<tr>
<td>Prothrombin Time (P.T.)</td>
<td>11-15 seconds</td>
<td>Prolonged in: Polycythemia vera, Prothrombin deficiency, Anticoagulant therapy, Vitamin K deficiency, Liver diseases, Aspirin use</td>
</tr>
<tr>
<td>Partial Thrombo-plastin Time (P.T.T.)</td>
<td>68-82 seconds</td>
<td>Prolonged in: Hemophilia A and B, von Willebrand’s disease, Anticoagulant therapy</td>
</tr>
</tbody>
</table>

*The normal range varies with the specificity of the technique used. There is also a range variation, depending on the health facility and the laboratory.

INTERNATIONAL NORMALIZED RATIO (INR)

Management of the Patient Taking Coumadin for Whom Invasive Procedures are Planned.

Patients who are on oral anticoagulant therapy such as one of the coumarin drugs will not have normal clotting times. Prothrombin Time (PT) is a measure of the status of the coagulation mechanism. This laboratory test reflects the ability of blood lost from vessels in the area of injury to coagulate.

Prothrombin Time has shown to be imprecise and variable. There may be little comparability of PT values taken in different laboratories. The consequences can be life-threatening for some patients undergoing complicated surgery.

The International Normalized Ratio was developed to introduce a way of comparing PT’s from one laboratory to another. Each lab establishes a control plasma PT based on set standards. Ask for each labs normal PT range along with the INR. (See tests used for blood evaluation, Appendix III of this manual.)

Management Guidelines

Preoperative Consultation with physician
- Confirm diagnosis
- Status of medical condition
- Confirm PT or INR
- Discuss type of procedure planned (periodontal scaling)
- Discuss need for dosage reduction

Level of anticoagulation and the need for altering the dose to avoid excessive bleeding
- INR (2.0-3.0) Dosage does not need to be altered
- INR (3.0-3.5) Dosage may need to be altered – must consult physician
- INR (3.5 or >) Delay invasive procedure until dosage decreased

The decision to alter dosage of anticoagulant
- Physician will reduce patient’s dosage
- Affect of reduced dosage will take 3 to 5 days
- Dental appointment needs to be scheduled within 2 days once desired reduction in PT or INR has been confirmed

Postoperative
- Tell patient to call if bleeding occurs during the first 24 to 48 hours
- Use local means to control bleeding if present (pressure packs, Gelfoam/thrombin, Oxycel, Surgicel, Microfibrillar collagen)

INTERNATIONAL NORMALIZED RATIO (INR)

Patients who are on oral anticoagulant therapy such as one of the coumarin drugs will not have normal clotting times. Prothrombin Time (PT) is a measure of the status of the coagulation mechanism. This laboratory test reflects the ability of blood lost from vessels in the area of injury to coagulate.

The American Medical Association and the American Dental Association suggest that a patient have a PT no greater than 1.5-1 times normal before a surgical procedure such as periodontal scaling is attempted. If the physician reduces the anticoagulant drug dosage prior to planned surgery, it will take 2-3 days for the clotting mechanism to return to safe levels.

Prothrombin Time has shown to be imprecise and variable. There may be little comparability of PT values taken in different laboratories. The consequences can be life-threatening for some patients undergoing complicated surgery.

The International Normalized Ratio was developed to introduce a way of comparing PT’s from one laboratory to another. Each lab establishes a control plasma PT based on set standards.

\[
\text{INR} = \frac{\text{Prothrombin Time Ratio}}{\text{control plasma PT}} = \frac{\text{Patient’s PT}}{\text{control plasma PT}}
\]

A recent study recommends adjustment of anticoagulation to a target INR = 3.0 before patients with the higher risk cardiac valve prosthesis undergo dental procedures involving risk of bleeding. Patients with less risk can be adjusted to INR = 2.0-3.0 for better clotting.


A sample report from Maine Medical Center affiliated lab, Northern Diagnostic Laboratories, is shown below.

<table>
<thead>
<tr>
<th>TEST NAME</th>
<th>RESULT</th>
<th>UNIT</th>
<th>REFERENCE RANGE</th>
<th>LOW</th>
<th>HI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prothrombin Time</td>
<td>#PT</td>
<td>17.6 Seconds</td>
<td>10.0-13.0 *</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INR</td>
<td>2.3</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>International Normalized Ratio (INR)</td>
<td>Reference ranges:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>To standardize the reporting of Prothrombin Times for patients on long-term anticoagulant therapy, the World Health Organization recommends the use of the INR. Ranges recommended by the American College of Chest Physicians and the National Heart, Lung and Blood Institute are:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>INR</td>
<td>Intensity</td>
<td>NorDx PT</td>
<td>Clinical Indication</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.0-3.0</td>
<td>Moderate</td>
<td>15.6-19.1</td>
<td>Prophylaxis of venous thrombosis (high risk surgery)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Treatment of venous thrombosis</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Treatment of pulmonary embolish</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Prevention of systemic embolism</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Tissue heart valve</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Mechanical prosthetic heart valve</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Acute myocardial infarction</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Valvular heart disease</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Atrial fibrillation</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Recurrent systemic embolization</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.5-3.5</td>
<td>High</td>
<td>16.8-20.2</td>
<td>Mechanical Prosthetic valve (high risk)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Consultation with the physician is recommended for patients who are taking anticoagulant medications, such as Warfarin and Pradaxa®. However, many dental procedures can be done on full doses of anticoagulants. Detailed recommendations exist as to which dental procedures can be done on full dose anticoagulants (teeth cleaning, root canal, one or two teeth extractions), and for which the level of anticoagulant needs to be reduced. See Chart Above. Individualized treatment decisions need to be given. If tissue is highly inflamed, and heavy bleeding is expected, tissue conditioning or “pre-healing” may be recommended before subgingival scaling. Assessing risk to the patient’s forming a blood clot must be given. A medical consultation is recommended if consideration of stopping Pradaxa® if it is an option.

Normal white blood cell counts are provided on the following table:

<table>
<thead>
<tr>
<th></th>
<th>Granulocytes</th>
<th>Diffential</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Neutrophils</td>
<td></td>
<td></td>
</tr>
<tr>
<td>“Segs” (or Polys or PMN)</td>
<td>40-60%</td>
<td>(2500-6000)</td>
</tr>
<tr>
<td>“Bands” (immature PMN)</td>
<td>0-5%</td>
<td>(0-50)</td>
</tr>
<tr>
<td>Eosinophils</td>
<td>1-3%</td>
<td>(50-300)</td>
</tr>
<tr>
<td>Basophils</td>
<td>0-1%</td>
<td>(0-100)</td>
</tr>
<tr>
<td>Monocytes</td>
<td>208%</td>
<td>(1000)</td>
</tr>
<tr>
<td>Lymphocytes</td>
<td>20-40%</td>
<td>(1000-4000)</td>
</tr>
</tbody>
</table>

THE ABSOLUTE NEUTROPHIL COUNT =
(WBC) X (%“Segs” + % “Bands”)

References

UNE Accident/Incident Report
Dental Hygiene Program

Date and time of accident or incident: ________________________________

Name: ___________________________________________________________________

Address: __________________________________________________________________

Phone: ___________________________________________________________________

Location of incident (be specific): ___________________________________________________________________

Type of activity/circumstance: ___________________________________________________________________

Equipment involved (if any): ___________________________________________________________________

Explain the type of injury (bruise, laceration, etc.) and how it occurred:

Cause of accident/incident

____ Failure to comply with Program policy
____ Misuse of equipment
____ Lack of knowledge or skill
____ Personal factors
____ Other (Explain):

Type of first aid administered (and by whom): ___________________________________________________________________

Was the injured party referred to medical assistance?  ____Yes  ____No
If no, was the injured person transported?  ____Yes  ____No
Transportation to medical assistance:  ____ not applicable
____ Self  ____ Friend  ____ Ambulance  ____ Other

Was there a witness when incident occurred?

Names, addresses and telephone numbers of one or more witnesses:

1. ___________________________________________________________________

2. ___________________________________________________________________

Injured person’s name: ________________________________________________  Signature

Responder’s name: ______________________________________________________  Signature

Waiver Release

It is the policy of the University to respond to medical emergencies and to render any and all basic assistance. This includes providing first aid and calling for an ambulance (EMT) if a serious situation exists. Should an injured/ill person refuse this assistance the signed denial signifies that the individual waives all rights to recourse against the University, its employees, and volunteers, and accepts the full responsibility for their actions.

I understand the waiver release and voluntarily sign below:

Name: ____________________________

Signature: _________________________
Blood and Body Fluid Exposure Protocol

Step 1: Immediate Treatment

Percutaneous (needlesticks/sharp objects) Injury—where there is the slightest suggestion that the integrity of the skin has been broken by a potentially contaminated item.

1. Wash wound thoroughly with sudsy soap and water; if water is not available, use alcohol.
2. Remove any foreign materials embedded in wound.

Non-intact skin Exposure (wound)

1. Wash skin thoroughly as in #1.
2. Cleanse with disinfectant solution and/or soap and water

There is no evidence that squeezing the wound or applying topical antiseptics further reduces the risk of viral transmission.

Mucous Membrane Exposure

1. Irrigate copiously with tap water, sterile saline or sterile water.

Intact Skin Exposure

Exposure of intact skin to potentially contaminated material is not considered an exposure and not in need of medical evaluation. Thoroughly clean and wash exposed intact skin.

The above guidelines apply to these body fluids:

Blood, semen vaginal secretions, body tissues, cerebrospinal, synovial, pleural, peritoneal, pericardial, and amniotic fluids.

- Saliva (only in dental settings, where saliva is likely to be contaminated with blood.) Otherwise, exposure to saliva not a risk of viral transmission.

- When it is difficult to identify the specific body fluid or when body fluids are visibly contaminated with blood, treat as risk of viral transmission.

The guidelines do not apply to the following:

Feces, nasal secretions, sputum, sweat, tears, urine, vomitus.
**Step 2: Exposure Protocol**


2. Refer Student to the Student Health Center ASAP for pretest counseling, consultation and appropriate lab testing. (Hepatitis B surface AB, Hepatitis C surface AB, HIV AB.)

3. Student Health Center Hours:
   
   During the School Year- Mon.-Thurs., 8-4:30   Fri. 8-4 pm. Closed on weekends.

4. If source is known HIV positive, refer student to Brighton First Care or Maine Medical Center for evaluation, post exposure prophylaxis (within 24 hours), and lab testing.

5. Refer source (patient), Faculty or Staff to Brighton Quick Care or their Primary Care Physician for evaluation and testing. Laboratory requisition forms will be kept in both the Dental Hygiene and Nursing Program Administrative Offices. Please inform the Student Health Center when supply of requisitions are running low.

**Step 3: Post test Counseling and Treatment**

1. 3 day follow up to discuss lab results and to counsel regarding treatment plan.

2. Retest for Hep. C-AB, HIV at 6 weeks post exposure

3. Retest for HIV at 12 week post exposure

4. Retest for Hep. C-AB, HIV, ALT at 6 months post exposure

5. Repeat HIV at 12 months only at health care provider’s discretion of exposure to high-risk source.

1. CDC. Updated U.S. Public Guidelines for the management of occupational exposures to HBV, HCV, and HIV and recommendations for postexposure prophylaxis. MMWR 2001; 50,(No. RR-11.).

2. Concentra. Most of the Information is provided verbatim free from Centers for Disease Control Review of the full text of referenced statutes and regulations may be necessary. KR 6/09.


6. CDC, Emergency Needlestick Information, [www.cdc.gov/niosh/topics/bbp/emergnedl.html](http://www.cdc.gov/niosh/topics/bbp/emergnedl.html)
EXPOSURE TO BLOOD
Percutaneous Accident*
Flow Chart Protocol for HCW**

1. Determine if Percutaneous Exposure has Occurred
   A torn glove or a surface scratch is not an exposure

2. Wash Site with Soap and Water

3. Alert Faculty and Source

4. Complete Incident Report
   A. With faculty assistance, complete Incident Report found in Front Desk area.
   B. If no exposure, document that fact as well.

5. Pretest Counseling for HCW and Source (If Known)
   A. Obtain forms and lab referral forms from Front Desk
   B. Under supervision of faculty, complete appropriate forms
      • Consent Form / Pre-Test Counseling

6. Referral of HCW and Source (If Known) for Testing
   A. HCW and/or Source may see own private physician or access testing at Brighton Campus, MMC. This is coordinated by front desk personnel.
   B. Student may see own private physician or access Student Health Center. If the Health Center is closed, testing may be done at Brighton Campus, MMC. This is coordinated by front desk personnel.
   C. If Source is HIV infected, refer HCW for Post Exposure Prophylaxis Consultation within 24 hours

7. Post Test Counseling
   A. With Program Director or designee

8. Retest as Determined to Be Appropriate
   A. Consultation with physician
   B. 6 weeks, 3 months, 6 months, 12 months

* Or other type of exposure to blood or OPIM
** HCW Health Care Worker – Student, Faculty and Staff

1 CDC. Updated U.S. Public Health Service guidelines for the management of occupational exposures to HBV, HCV, and HIV and recommendations for postexposure prophylaxis. MMWR 2001;50(No.RR-11).
University of New England - Dental Hygiene Program

D.O.B ______________________

Code: ______________________

Exposure Consent Form

According to Maine Law (Title 5 MRSA Sections 19201-19208), you cannot be tested for HIV (Human Immunodeficiency Virus) antibodies unless you have signed a consent form and “know that the test is being performed”, “the nature of the test”, “the persons to whom the results of the test may be disclosed”, “the purpose for which the test results may be used”, and “any reasonably foreseeable risks and benefits resulting from the test”. The only people who are exempt from signing a consent form are those people who are being tested at an anonymous test site and certain others specified by law.

This test and a test for Hepatitis B and C are being performed because an accidental exposure has occurred during the provision of dental hygiene care. The test is being performed to establish a baseline record of HIV, HBV and HCV status. These results will be reported to the exposed person(s) for appropriate follow-up testing and care.

A positive HIV test indicates that you are infected with HIV, the virus that causes AIDS, and that you can pass the virus to other people. A positive HBV and/or HCV test indicates that you are carrying the Hepatitis virus, and can pass the virus to others.

Neither the fact that you had these tests nor the result of your tests will become part of your medical record, unless you specifically request it in writing. The result of your test will be released to the Director of the Dental Hygiene Program or designee. However, all confidentiality provisions of Maine Law apply to this test result and it will not be further disclosed without your written permission.

Risks of being tested include the possible discrimination that may result from others knowledge of your test; emotional distress, and possible bruising at the site of the needle puncture. Benefits of being tested include informing the exposed person and/or yourself of the HIV/HBV/HCV infection risk so that early medical care may be accessed and risk-reducing behaviors may be practiced.

The above information has been orally summarized to me and I understand it. Further information will be discussed during the pre-test counseling session.

† I hereby give my consent to the University of New England to receive the results of a test for the presence of HIV/HBV/HVC antibody or antigen. This consent is given without express or implied coercion.

Name (print) ______________________ Signature ______________________ Date __________

† I hereby refuse to give my consent to be tested for the presence of HIV/HBV/HCV antibody or antigen.

Name (print) ______________________ Signature ______________________ Date __________

Faculty Name ______________________ Signature ______________________ Date __________

DH/Forms/HIV-ConsentForm.doc
UNE Dental Hygiene Program - Pre-Test Counseling Procedure - HIV

The test is being preformed to determine the presence or absence of HIV –1 antibodies and is not being done for purposes of blood donation.

The following interpretive information has been explained to me:

A **Negative** result means that the antibody to HIV-1 was not detected in the specimen submitted to the laboratory. This usually means that the individual has not been exposed to HIV-1. Since the course of antibody production is variable and may not yet have begun, a negative test result does not exclude the possibility of exposure to or infection with HIV-1. Negative test results may also occur in individuals with AIDS related symptoms. A negative antibody test can not be used to exclude the diagnosis.

A **Positive** result means that the antibody to HIV-1 is present in the specimen submitted. This usually means that the individual has been infected with HIV-1. The implications of a positive test result in an asymptomatic individual are not known. It is not possible to identify those asymptomatic persons with the antibody to HIV-1 who will eventually develop AIDS. The presence of the antibody to HIV-1 is not diagnostic of AIDS or AIDS Related Complex. Since HIV-1 can exist in the presence of specific antibodies, all individuals with positive antibody test results must be considered potentially infectious. Individuals with HIV-1 antibodies should be permanently deferred from donating blood for transfusion.

A **Positive** result with a **Negative** confirmatory test means that the specimen has been tested for the antibody to HIV-1 and was found to be positive by a sensitive EIA screening procedure. A specific confirmatory test was unable to confirm this result. Therefore, the specificity of the screening test is in question, and it is not possible to determine whether the individual has the antibodies to HIV-1 at this time. It is highly recommended that retesting be done at 6 weeks, 3 and 6 months.

I understand the following testing sequence:

1. All specimens will be screened by a federally licensed EIA procedure for the detection of antibodies to HIV-1.
2. Specimens found to be negative will be reported as negative for antibody to HIV-1.
3. Specimens found to be positive will be repeated in the EIA procedure.
4. Specimens which repeat positive by EIA will be tested by a confirmatory procedure (Western Blot).
5. Results of the EIA and confirmatory procedures will be reported.

**PRECAUTIONS:**

1. Post Exposure Prophylaxis
2. Behavioral Measures (6-12 weeks)
   a. sexual abstinence
   b. use of condoms
   c. avoid pregnancy
   d. consult physician regarding breast-feeding
   e. avoid blood, plasma, organ, tissue, and semen donation
3. Seek a medical evaluation if you exhibit the following: acute illness, fever, rash, myalgia, fatigue, malaise, and/or lymphadenopathy.

This information has been reviewed with me.

(initial)

I have refused the opportunity to have this information reviewed with me.

(initial)

Name: ___________________________ Code:______________

Faculty Signature: ____________________ Date: _______________
In accordance with Maine Law (SMRSA Sections 19201-19208) post test counseling must occur following an HIV test consented to because of an accidental exposure to blood in a medical/dental setting.

The information and counseling is being given to you by the Dental Hygiene Program Director/designee and is held in strict confidence, separate from any medical or student record that you may have at the University of New England.

I have received and understand post test counseling during which the following topics were discussed:

1. Test results and their reliability and significance.

A Negative result means that the antibody to HIV-1 was not detected in the specimen submitted to the laboratory. This usually means that the individual has not been exposed to HIV-1. Since the course of antibody production is variable and may not yet have begun, a negative test result does not exclude the possibility of exposure to or infection with HIV-1. Negative test results may also occur in individuals with AIDS related symptoms. A negative antibody test can not be used to exclude the diagnosis.

A Positive result means that the antibody to HIV-1 is present in the specimen submitted. This usually means that the individual has been infected with HIV-1. The implications of a positive test result in an asymptomatic individual are not known. It is not possible to identify those asymptomatic persons with the antibody to HIV-1 who will eventually develop AIDS. The presence of the antibody to HIV-1 is not diagnostic of AIDS or AIDS Related Complex. Since HIV-1 can exist in the presence of specific antibodies, all individuals with positive antibody test results must be considered potentially infectious. Individuals with HIV-1 antibodies should be permanently deferred from donating blood for transfusion.

A Positive result with a Negative confirmatory test means that the specimen has been tested for the antibody to HIV-1 and was found to be positive by a sensitive EIA screening procedure. A specific confirmatory test was unable to confirm this result. Therefore, the specificity of the screening test is in question, and it is not possible to determine whether the individual has the antibodies to HIV-1 at this time. It is highly recommended that retesting be done at 6 weeks, 3 and 6 months.
I understand the following testing sequence:

A. All specimens are screened by a federally licensed EIA procedure for the detection of antibodies to HIV-1.
B. Specimens found to be negative are reported as negative for antibody to HIV-1.
C. Specimens found to be positive are repeated in the EIA procedure.
D. Specimens which repeat positive by EIA are tested by a confirmatory procedure (Western Blot).
E. Results of the EIA and confirmatory procedures are reported.

2 Information of risk reduction and prevention.

A. Behavioral Measures (6-12 weeks)
   1. sexual abstinence
   2. use of condoms
   3. avoid pregnancy
   4. consult physician regarding breast-feeding
   5. avoid blood, plasma, organ, tissue, and semen donation
   6. Seek a medical evaluation if you exhibit the following: acute illness, fever, rash, myalgia, fatigue, malaise, and/or lymphadenopathy.

3 Referrals for medical care and other support services.

A copy of the counseling discussion is provided for your information. It is your responsibility to restrict or allow access to the information held therein at your discretion.

The University of New England will not release this information without your express written consent.

Date: ___________________  Name: ______________________

Date: ___________________  Post-Test Counselor: _________________

☐ Declined face to face counseling

☐ This information has been reviewed with me.
   (initial)

☐ I have refused the opportunity to have this information reviewed with me.
   (initial)

Name: ________________________  Code: _________________

Faculty Signature: ________________  Date: ________________
A dental hygiene appointment consists of the following services which are available to patients. They are provided by student hygienists under the supervision of a clinical dentist and faculty as needed. Our philosophy is one of the “total patient care” whereby patients are offered all services deemed appropriate by the student and / or faculty for optimal oral health. They include:

- A complete Medical / Dental History
- Assessment of factors in the health history that may require medical attention
- Inspection of the extra and intraoral aspects of the oral cavity
- Evaluation of periodontal status
- Hard tissue examination
- Radiographs – full mouth series, bitewing and extra-oral
- Treatment and appointment plan
- Patient education / motivation
- Removal of hard and soft deposits from the teeth
- Removal of stain / polishing
- Nutritional counseling
- Sealants
- Fluoride application and recommendations
- Polish of restorations
- Impression / study model fabrication
- Pupal vitality testing

The services you require will be provided to you in a professional manner using sterilized or disposable instruments and protective barriers for your health and safety.
PATIENT APPOINTMENT

The University of New England Dental Hygiene Program provides quality dental hygiene care to many individuals. The clinic provides dental hygiene treatment for you in cooperation with your primary care dentist. All patients are encouraged to see their own primary care dentist at least once a year.

Although the University of New England Dental Hygiene Clinic strives to accommodate all patients, those with the greatest oral health needs are given priority in our scheduling. Most patients are generally seen for dental hygiene therapy only once a year.

As a teaching institution, the University of New England Dental Hygiene Program is pleased to have the opportunity to serve the needs of its patients and students alike.

We hope you will recommend the clinic to others, especially those who have deferred dental visits and are now seeking a clinic. We also appreciate your understanding of the learning requirements of students and your willingness to commit the necessary time to your appointment.

Thank you!
University of New England – College of Health Professions
Westbrook College Campus – Dental Hygiene Program

Informed Consent & Clinic Registration Form

The University of New England Dental Hygiene Clinic is part of an institution committed to student learning and the advancement of knowledge through research. Our primary goal is quality education of dental hygiene students and excellence in patient services.

You should understand the following:

1. Students are required to obtain a thorough medical and dental history of each person prior to initiating any treatment. The goal of this procedure is to safely provide the highest quality of care. Some medical conditions may require a consultation between the student dental hygienist, a clinical faculty member, and the patient’s physician. This consultation is necessary to ensure that the appropriate dental care may be planned. Although this may require delaying treatment until such treatment plans are established, it should be understood that no patient will be denied care unless such care is considered inappropriate by the patient’s physician. All information revealed in the medical and dental history will be kept strictly confidential.

2. Treatment in our clinic proceeds more slowly than in a private office since the services are rendered by students, and are carefully checked by faculty members (licensed dental hygienist or dentist). Although it is the goal to complete all procedures for each patient, completion of all procedures cannot be guaranteed in any specified period of time.

3. Patients will be referred to a private dentist or dental clinic to receive any needed dental care beyond the limits of this institution.

4. **Failure to keep appointments without 24 hours notice or two cancellations, or two no-shows, may lead to your dismissal as a clinic patient.**

5. Diagnostic aids such as x-ray, photographs, plaster models, etc., are the property of the UNE Dental Hygiene Clinic. However, upon your written and/or verbal request, or that of your dentist, a duplicate set of x-rays may be sent with your signed authorization. Records are the property of the University and are not released, but all recommendations and observations are shared with you and your primary care dentist or a dental specialist by Patient Referral Form.

6. An important part of every dental hygiene exam is to verify that all dental restorations are secure. A cracked restoration, a loosely bonded plastic restoration, or a loose fixed bridgework can lead to tooth decay. All dental hygienists are trained to test each restoration with an instrument and report any defective restorations to the patient. Patients must realize that no dental hygienist using a hygiene instrument is strong enough to dislodge a satisfactory restoration. If any defective restorations are discovered the patient will be referred immediately to their dentist for the necessary work.

7. **Anonymous** data gathered from records may be used for educational and research purposes. If any research project conducted by this University intends to use patient data that could be tracked to a particular patient an additional informed consent document must be signed by each patient involved. In addition, before any research project of any design can be initiated the entire project must receive written approval by the University of New England, Institutional Review Board. This approval shall be available for inspection by any participating patient.

8. **You** are responsible for payment of all services rendered. Prices are subject to change without notice.
Patient’s Rights and Responsibilities

Dental hygiene care in this institution is patient-centered and therefore focuses on the well-being of our patients. This statement is included to communicate and advocate the expressed wants and needs of our patients and to help the provider-patient relationship to realize excellence in care.

Patients can expect:

1. To be treated with respect, consideration and confidentiality.
2. A thorough assessment of their current needs, by student hygienists.
3. To be informed of appointment and fee schedules in advance.
4. To receive an explanation of recommended treatment, treatment alternatives, the option to refuse treatment, the risk of no treatment, and expected outcomes of various treatments, to make an informed consent before any treatment is begun.
5. To receive treatment that meets the standard of care in the profession of dental hygiene.
6. To receive appropriate and timely referrals for other needed services.
7. Continuity and completeness of care.

Patients are expected to:

1. To cooperate as partners in their care by asking for information and clarification, and to participate in goal setting and planning of treatment.
2. Comply with recommended or agreed upon therapies or actions of care.
3. Accommodate student learning needs by returning for further appointments, if required.
4. Attempt to keep scheduled appointments, so that student learning and patient care may proceed.
5. Recognize that care received by dental hygiene students under the supervision of qualified faculty is dental hygiene care. Any restorative or emergency dental care will require the expertise of a licensed dentist in your community.

Having read the above, I verify that I understand the information contained there-in, and I grant the authority to the UNE –College of Health Professions, Dental Hygiene Clinic to perform treatment procedures deemed necessary for me.

_________________________________________ / ______________________________________
Patient’s Full Name (Print) / Patient’s Signature or Responsible Adult Date

I also agree to make payment for services in accordance with my treatment.

If Responsible Adult, what is your name & relationship to dependent?

_________________________________________
Address

Clinic-InformedConsent&PatientRights-Fall 2011
Dental local anesthesia is considered an extremely safe procedure. However, in rare cases certain complications can occur. These complications may include: needle breakage, pain on injection, permanent numbness or paresthesia, pain or difficulty opening the mouth, bruising or swelling of injection site, infection, lip chewing leading to trauma, facial nerve paralysis, post anesthetic ulcers in the mouth, overdose reaction, allergy, and unusual reactions (idiosyncratic).

I fully understand the risks involved in receiving local anesthesia at the University of New England Dental Hygiene Clinic. They have been described to me in a satisfactory manner and I have had the opportunity to ask questions and receive informational answers. I understand the nature and purpose of the procedure and the risks involved in receiving and in refusing local anesthesia. I have been given no guarantee by the dental hygiene treatment team as to the results that may be obtained from the injection.

I understand that in the event complications arise resulting from the local anesthesia, financial compensation will not be provided by the University of New England. Furthermore, it is my responsibility to seek medical attention as needed beyond the University of New England Dental Hygiene Clinic. I agree to report immediately any evidence of pain, swelling or inflammation in the area receiving local anesthesia to the University of New England Dental Hygiene Clinic and to arrange for an oral inspection at that site if necessary.

In addition to consenting to receive local anesthesia I understand that I am free to withdraw my consent for treatment at any time with written notice.

Date: ___________________________ Patient / Guardian

Dental Hygiene Treatment Team

Time: ___________________________ Dentist

Date: ___________________________ Additional Faculty/ Student

Additional Signature(s)

Additional Signature(s)
<table>
<thead>
<tr>
<th>Risk Category</th>
<th>Recare Exam</th>
<th>X-Rays</th>
<th>Saliva Testing</th>
<th>Fluoride</th>
</tr>
</thead>
<tbody>
<tr>
<td>LOW</td>
<td>6+: Every 6-12 months</td>
<td>6+: BWX every 12-24 months 6-12 months &lt;6: Annual</td>
<td>6+ &amp; &lt;6: Optional at baseline exam</td>
<td>6+ Home: OTC toothpaste 2x daily 6+ In-office: F varnish optional &lt;6 Home: OTC toothpaste; no in-office fluoride</td>
</tr>
<tr>
<td>MODERATE</td>
<td>6+: Every 4-6 months &lt;6: Every 3-6 months</td>
<td>6+: BWX every 12-24 months &lt;6: BWX every 6-12 months</td>
<td>6+ &amp; &lt;6: Recommended at baseline and recare exams</td>
<td>6+ Home: OTC toothpaste 2x day + OTC 0.05% NaF rinse daily 6+ In-office: Initially 1-3 applications F varnish &amp; at recare appt. &lt;6 Home: OTC toothpaste 2x day</td>
</tr>
<tr>
<td>HIGH 1 or more cavitated lesions is considered high risk</td>
<td>6+: Every 3-4 months &lt;6: Every 1-3 months</td>
<td>6+: BWX every 6-18 months &lt;6: Anterior PAX &amp; BWX every 6-12 months</td>
<td>6+ &amp; &lt;6: Required at baseline and recare exams</td>
<td>6+ Home: OTC toothpaste 2x day 6+ In-office: Initially 1-3 applications F varnish &amp; at recare appt. &lt;6 Home: OTC toothpaste 2x day &lt;6 Home: F varnish initial visit &amp; recare Caregiver: OTC NaF rinse</td>
</tr>
<tr>
<td>EXTREME (High risk plus dry mouth or special needs) 1 or more cavitated lesions plus hyposalivation is considered extreme risk</td>
<td>6+: Every 3 months &lt;6: Every 1-3 months</td>
<td>6+: BWX every 6 months &lt;6: Anterior PAX &amp; BWX every 6-12 months</td>
<td>6+ &amp; &lt;6: Required at baseline and recare exams</td>
<td>6+ Home: 1.1% NaF toothpaste 1-2x day &amp; 0.05% NaF rinse when mouth feels dry &amp; especially after eating or snacking 6+ In-office: Initially 1-3 applications F varnish &amp; at recare appt. &lt;6 Home: OTC toothpaste 2x day &lt;6 In-office: F varnish initial visit &amp; recare Caregiver: OTC NaF rinse</td>
</tr>
</tbody>
</table>

## Caries Risk Assessment Form (Ages 0-6)

<table>
<thead>
<tr>
<th>Patient Name:</th>
<th>Score:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Birth Date:</td>
<td>Date:</td>
</tr>
<tr>
<td>Age:</td>
<td>Initials:</td>
</tr>
</tbody>
</table>

### Contributing Conditions

<table>
<thead>
<tr>
<th>I. Fluoride Exposure (through drinking water, supplements, professional applications, toothpaste)</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>II. Sugary or Starchy Foods or Drinks (including juice, carbonated or non-carbonated soft drinks, energy drinks, medicinal syrups)</th>
<th>Primarily at mealtimes</th>
<th>Frequent or prolonged between meal exposures/day</th>
<th>Bottle or sippy cup with anything other than water at bedtime</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primarily at mealtimes</td>
<td>Frequent or prolonged between meal exposures/day</td>
<td>Bottle or sippy cup with anything other than water at bedtime</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>III. Eligible for Government Programs (WIC, Head Start, Medicaid or SCHIP)</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>IV. Caries Experience of Mother, Caregiver and/or Other Siblings</th>
<th>No carious lesions in last 24 months</th>
<th>Carious lesions in last 7-23 months</th>
<th>Carious lesions in last 6 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>No carious lesions in last 24 months</td>
<td>Carious lesions in last 7-23 months</td>
<td>Carious lesions in last 6 months</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>V. Dental Home: established patient of record in a dental office</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### General Health Conditions

<table>
<thead>
<tr>
<th>I. Special Health Care Needs*</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Clinical Conditions

<table>
<thead>
<tr>
<th>I. Visual or Radiographically Evident Restorations/Cavititated Carious Lesions</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No carious lesions or restorations in last 24 months</td>
<td>Carious lesions or restorations in last 24 months</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>II. Non-cavitated (incipient) Carious Lesions</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No new lesions in last 24 months</td>
<td>New lesions in last 24 months</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>III. Teeth Missing Due to Caries</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>IV. Visible Plaque</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>V. Dental /Orthodontic Appliances Present (fixed or removable)</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>VI. Salivary Flow</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Visually adequate</td>
<td>Visually inadequate</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL:**

Instructions for Caregiver:

*Patients with developmental, physical, medical or mental disabilities that prevent or limit performance of adequate oral health care by themselves or caregivers.

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Indicate 0, 1 or 10 in the last column for each risk factor. If the risk factor was not determined or is not applicable, enter a 0 in the patient risk factor column. Total the factor values and record the score at the top of the page.

A score of 0 indicates a patient has a low risk for the development of caries. A single high risk factor, or score of 10, places the patient at high risk for development of caries. Scores between 1 and 10 place the patient at a moderate risk for the development of caries. Subsequent scores should decrease with reduction of risks and therapeutic intervention.

The clinical judgment of the dentist may justify a change of the patient’s risk level (increased or decreased) based on review of this form and other pertinent information. For example, missing teeth may not be regarded as high risk for a follow up patient; or other risk factors not listed may be present.

The assessment cannot address every aspect of a patient’s health, and should not be used as a replacement for the dentist’s inquiry and judgment. Additional or more focused assessment may be appropriate for patients with specific health concerns. As with other forms, this assessment may be only a starting point for evaluating the patient’s health status.

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## Caries Risk Assessment Form (Age >6)

**Patient Name:**
**Score:**
**Birth Date:**
**Date:**
**Age:**
**Initials:**

<table>
<thead>
<tr>
<th>Contributing Conditions</th>
<th>Low Risk (0)</th>
<th>Moderate Risk (1)</th>
<th>High Risk (10)</th>
<th>Patient Risk</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Fluoride Exposure (through drinking water, supplements, professional applications, toothpaste)</td>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>II. Sugary or Starchy Foods or Drinks (including juice, carbonated or non-carbonated soft drinks, energy drinks, medicinal syrups)</td>
<td>Primarily at mealtimes</td>
<td></td>
<td>Frequent or prolonged between meal exposures/day</td>
<td></td>
</tr>
<tr>
<td>III. Caries Experience of Mother, Caregiver and/or other Siblings (for patients ages 6-14)</td>
<td>No carious lesions in last 24 months</td>
<td>Carious lesions in last 7-23 months</td>
<td>Carious lesions in last 6 months</td>
<td></td>
</tr>
<tr>
<td>IV. Dental Home: established patient of record, receiving regular dental care in a dental office</td>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### General Health Conditions

<table>
<thead>
<tr>
<th>I. Special Health Care Needs*</th>
<th>No</th>
<th>Yes (over age 14)</th>
<th>Yes (ages 6-14)</th>
</tr>
</thead>
<tbody>
<tr>
<td>II. Chemo/Radiation Therapy</td>
<td>No</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>III. Eating Disorders</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>IV. Smokeless Tobacco Use</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>V. Medications that Reduce Salivary Flow</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>VI. Drug/Alcohol Abuse</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

### Clinical Conditions

<table>
<thead>
<tr>
<th>I. Cavitated or Non-Cavitated (incipient) Carious Lesions or Restorations (visually or radiographically evident)</th>
<th>No new carious lesions or restorations in last 36 months</th>
<th>1 or 2 new carious lesions or restorations in last 36 months</th>
<th>3 or more carious lesions or restorations in last 36 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>II. Teeth Missing Due to Caries in past 36 months</td>
<td>No</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>III. Visible Plaque</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>IV. Unusual Tooth Morphology that compromises oral hygiene</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>V. Interproximal Restorations - 1 or more</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>VI. Exposed Root Surfaces</td>
<td>Present</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>VII. Restorations with Overhangs and/or Open Margins; Open Contacts with Food Impaction</td>
<td>No</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>VIII. Dental/Orthodontic Appliances (fixed or removable)</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>IX. Severe Dry Mouth (Xerostomia)</td>
<td>No</td>
<td></td>
<td>Yes</td>
</tr>
</tbody>
</table>

**Patient Instructions:**

TOTAL:
Indicate 0, 1 or 10 in the last column for each risk factor. If the risk factor was not determined or is not applicable, enter a 0 in the patient risk factor column. Total the factor values and record the score at the top of the page.

A score of 0 indicates a patient has a low risk for the development of caries. A single high risk factor, or score of 10, places the patient at high risk for development of caries. Scores between 1 and 10 place the patient at a moderate risk for the development of caries. Subsequent scores should decrease with reduction of risks and therapeutic intervention.

The clinical judgment of the dentist may justify a change of the patient’s risk level (increased or decreased) based on review of this form and other pertinent information. For example, missing teeth may not be regarded as high risk for a follow up patient; or other risk factors not listed may be present.

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**Name**

**HYPOSALIVATION SCREENING TOOL**

**Complete To Determine Risk for Reduced Salive Levels**

<table>
<thead>
<tr>
<th>HYPOSALIVATION SOURCE BY DENTAL HYGIENE ASSESSMENT</th>
<th>Tally Points</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CAUSATIVE DISEASE?</strong></td>
<td>□ None (0 pts) □ One (5 pts); □ Present (10 pts); □ Multiple (15 pts)</td>
</tr>
<tr>
<td>Arthritis or Inflammation</td>
<td></td>
</tr>
<tr>
<td>Rheumatoid arthritis or Scleroderma</td>
<td></td>
</tr>
<tr>
<td>Sjögren’s syndrome</td>
<td></td>
</tr>
<tr>
<td>HIV Infection</td>
<td></td>
</tr>
<tr>
<td>Granulomatous diseases</td>
<td></td>
</tr>
<tr>
<td>Tuberculosis</td>
<td></td>
</tr>
<tr>
<td><strong>CAUSATIVE LONG-TERM THERAPY?</strong></td>
<td>□ None (0 pts) □ One (5 pts); □ Two or More (10 pts)</td>
</tr>
<tr>
<td><strong>CAUSATIVE THERAPY?</strong></td>
<td>□ None (0 pts) □ Bone Marrow Transplant Year</td>
</tr>
<tr>
<td><strong>DAILY LIFESTYLE CHOICE?</strong></td>
<td>□ None (0 pts) □ Alcohol (1 pt) □ Caffeine (1 pt) □ Recreational Drugs (1 pt) □ Tobacco (1 pt)</td>
</tr>
<tr>
<td><strong>CAUSATIVE HERBAL PREPARATIONS</strong></td>
<td></td>
</tr>
<tr>
<td><strong>DENTAL HYGIENE PLANNING AND IMPLEMENTATION</strong></td>
<td></td>
</tr>
<tr>
<td>Medications</td>
<td>Causative Herbal Preparations</td>
</tr>
<tr>
<td>Herbal Preparations</td>
<td></td>
</tr>
<tr>
<td><strong>XEROSTOMIA (dry mouth) SYMPTOMS BY DENTAL HYGIENE ASSESSMENT:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>DENTAL HYGIENE SOURCE BY DENTAL HYGIENE ASSESSMENT</strong></td>
<td></td>
</tr>
<tr>
<td><strong>XEROSTOMIA (dry mouth) SIGNS USING DENTAL HYGIENE DIAGNOSIS</strong></td>
<td></td>
</tr>
<tr>
<td><strong>RISK LEVEL BY DENTAL HYGIENE EVALUATION (Note amount and circle level):</strong></td>
<td></td>
</tr>
<tr>
<td><strong>LOW RISK NOTED:</strong></td>
<td>□ None (0 pts) □ Low (1 pt) □ Moderate (2 pts) □ High (3 pts)</td>
</tr>
<tr>
<td><strong>MODERATE RISK NOTED:</strong></td>
<td>□ None (0 pts) □ Low (1 pt) □ Moderate (2 pts) □ High (3 pts)</td>
</tr>
<tr>
<td><strong>HIGH RISK NOTED:</strong></td>
<td>□ None (0 pts) □ Low (1 pt) □ Moderate (2 pts) □ High (3 pts)</td>
</tr>
</tbody>
</table>

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**University of New England**

**Periodontal Disease Risk Assessment**

<table>
<thead>
<tr>
<th>NAME:</th>
<th>DATE:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><strong>Answer these questions to assess periodontal disease risk. Circle each response.</strong></th>
<th><strong>Points</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>How old are you?</strong></td>
<td></td>
</tr>
</tbody>
</table>
Studies indicate that older people have the highest rates of periodontal disease and need to do more to maintain good oral health. However, middle-age may have the most severe cases of periodontitis. |
| <40 | 1 |
| 40-65 or greater | 2 |
| **Are you female or male?** |  
Studies suggest there are genetic differences between men and women that affect the risk of developing gum disease. While women tend to take better care of their oral health than men do, women's oral health is not markedly better than men's. This is because hormonal fluctuations throughout a woman's life can affect many tissues, including gum tissue. |
| Male | 1 |
| Female | 2 |
| **Do your gums ever bleed?** |  
Bleeding gums can be one of the signs of gum disease. Think of gum tissue as the skin on your hand. If your hands bled every time you washed them, you would know something was wrong. However if you are a smoker, your gums may not bleed. |
| NO | 1 |
| YES | 2 |
| **Are your teeth loose?** |  
Periodontal disease is a serious inflammatory disease that is caused by a bacterial infection, and leads to destruction of the attachment fibers and supporting bone that hold your teeth in your mouth. When neglected, teeth can become loose and fall out. |
| NO | 1 |
| YES | 20 |
| **Have your gums receded, or do your teeth look longer?** |  
One of the warning signs of gum disease includes gums that are receding or pulling away from the teeth, causing the teeth to look longer than before. |
| NO | 1 |
| YES | 10 |
| **Do you smoke or use tobacco products?** |  
Studies have shown that tobacco use may be one of the most significant risk factors in the development and progression of periodontal disease. Smokers are much more likely than non-smokers to have calculus form on their teeth, have deeper pockets between the teeth and gums, and lose more of the bone and tissue that support the teeth. |
| NO | 0 |
| YES | 20 |
| **Have you seen a dentist in the last two years?** |  
Daily brushing and flossing will help keep calculus formation to a minimum, but it won't completely prevent it. A professional dental cleaning at least twice a year is necessary to remove calculus from places your toothbrush and floss may have missed. |
| YES | 0 |
| NO | 2 |
| **How often do you floss?** |  
Studies demonstrate that including flossing as part of your oral care routine can actually help reduce the amount of gum disease-causing bacteria found in the mouth. |
| Daily | 0 |
| Weekly | 10 |
| Seldom | 13 |
mouth, therefore contributing to healthy teeth and gums.

<table>
<thead>
<tr>
<th>Do you currently have any of the following health conditions?</th>
</tr>
</thead>
<tbody>
<tr>
<td>i.e. Heart disease, osteoporosis, osteopenia, high stress, or</td>
</tr>
<tr>
<td>diabetes</td>
</tr>
<tr>
<td>Ongoing research suggests that periodontal disease may be</td>
</tr>
<tr>
<td>linked to these conditions. The bacteria associated with</td>
</tr>
<tr>
<td>periodontal disease can travel into the blood stream and</td>
</tr>
<tr>
<td>pose a threat to other parts of the body. Healthy gums may</td>
</tr>
<tr>
<td>lead to a healthier body.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Have you ever been told that you have gum problems, gum</td>
</tr>
<tr>
<td>infection or gum inflammation?</td>
</tr>
<tr>
<td>Over the past decade, research has focused on the role</td>
</tr>
<tr>
<td>chronic inflammation may play in various diseases, including</td>
</tr>
<tr>
<td>periodontal, or gum, disease. Data suggests having a</td>
</tr>
<tr>
<td>history of periodontal disease makes you six-times more likely</td>
</tr>
<tr>
<td>to have future periodontal problems. Periodontal disease is</td>
</tr>
<tr>
<td>often silent, meaning symptoms may not appear until an</td>
</tr>
<tr>
<td>advanced stage of the disease.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Have you had any adult teeth extracted due to gum disease?</td>
</tr>
<tr>
<td>The more recent your loss of a tooth due to gum disease, the</td>
</tr>
<tr>
<td>greater the risk of losing more teeth from the disease.</td>
</tr>
<tr>
<td>Wisdom teeth, teeth pulled for orthodontic therapy or teeth</td>
</tr>
<tr>
<td>pulled because of fracture or trauma may not contribute to</td>
</tr>
<tr>
<td>increased risk for periodontal disease.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Have any of your family members had gum disease?</td>
</tr>
<tr>
<td>Research suggests that the bacteria that cause periodontal</td>
</tr>
<tr>
<td>disease can pass through saliva. This means the common</td>
</tr>
<tr>
<td>contact of saliva in families puts children and couples at</td>
</tr>
<tr>
<td>risk for contracting the periodontal disease of another</td>
</tr>
<tr>
<td>family member. Also, research proves that up to 30% of the</td>
</tr>
<tr>
<td>population may be genetically susceptible to gum disease.</td>
</tr>
<tr>
<td>Despite aggressive oral care habits, these people may be</td>
</tr>
<tr>
<td>six times more likely to develop periodontal disease.</td>
</tr>
</tbody>
</table>

| Subtotal Each Column |

| Total Score (Add right and left columns together) |

| HIGH RISK ≥23 | MEDIUM RISK 14-22 | LOW RISK ≤13 |

Risk predicts a future disease state. Risk is determined by risk factors. Preventing disease requires treatment that reduces your risk factors. With routine dental care, tooth loss is 10 times more likely for an individual who has high risk compared to an individual who has low risk. However, by considering risk when selecting the appropriate treatment plan, bone and tooth loss can be reduced. (Adapted from: http://www.perio.org/consumer/4a.html)
The Fagerstrom Test for Nicotine Dependence

<table>
<thead>
<tr>
<th>Questions</th>
<th>Answers</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. How soon after you wake up do you smoke your first cigarette?</td>
<td>Within 5 minutes</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>6-30 minutes</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>31-60 minutes</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>After 60 minutes</td>
<td>0</td>
</tr>
<tr>
<td>2. Do you find it difficult to refrain from smoking in places where it is forbidden (e.g. in church, at the library, in cinema, etc)?</td>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>No</td>
<td>0</td>
</tr>
<tr>
<td>3. Which cigarette would you hate most to give up?</td>
<td>The first one in the morning</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>All others</td>
<td>0</td>
</tr>
<tr>
<td>4. How many cigarettes/day do you smoke?</td>
<td>10 or less</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>11-20</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>21-30</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>31 or more</td>
<td>3</td>
</tr>
<tr>
<td>5. Do you smoke more frequently during the first hours after waking than during the rest of the day?</td>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>No</td>
<td>0</td>
</tr>
<tr>
<td>6. Do you smoke if you are so ill that you are in bed most of the day?</td>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>No</td>
<td>0</td>
</tr>
</tbody>
</table>

Office Use Only Total ______

How to interpret Nicotine Dependency Score:

Score of 6 or higher: Indicates high nicotine dependency and represents individuals who would be particularly likely to benefit from tapering and/or the prescription of nicotine replacement therapy (gum or patch) to decrease nicotine withdrawal symptoms as an adjunct to standard counseling.

Score of 5 or less: Suggests low to moderate nicotine dependency and represents individuals who may be less likely to require tapering and/or the prescription of nicotine replacement therapy (gum or patch). Standard counseling is most appropriate.
University of New England
WESTBROOK
COLLEGE CAMPUS

PATIENT REFERRAL

We appreciate your professional evaluation and detailed diagnosis. As a teaching institution we strive to provide excellent dental hygiene care. It is our view that a specific recommendation for dental treatment should be the responsibility of the patient’s primary care dentist.

Dear Dr. __________________________ Date: __________________________

__________________________________________ was seen by a Westbrook College Campus

Dental Hygiene student on __________________________ Patient's Tel. No.

The following services were provided:

- Medical History/Blood Pressure
- Intra/Extra Oral Inspection
- Restorative Evaluation
- Periodontal Assessment
- Oral Hygiene Instruction
- Recall Scaling
- Periodontal Scaling/Root Planing
- Topical Fluoride Treatment
- Sealants
- Radiographs (specify - FM/BW’s/PA; duplicates available upon request)
- Dietary Counseling
- Amalgam Polishing
- Impression/Study Models
- Other (specify)

We recommend that all patients see their dentist for regular dental examinations. We have advised this individual to contact your office for an appointment. Please conduct a full dental assessment of the oral cavity with special attention to the following conditions observed during the dental hygiene appointment:

________________________________________

Student’s Name:

Instructor’s Signature:
UNIVERSITY OF NEW ENGLAND
DEPARTMENT OF DENTAL HYGIENE

NAME ____________________________________________ SIGNIFICANT
ADDRESS _______________________________ MEDICAL HISTORY ______
CITY, STATE, ZIP __________________________ DENTIST ______
PARENT OR GUARDIAN __________________________ ADDRESS ______
HOME TELEPHONE __________________________ PHYSICIAN ______
DATE OF BIRTH __________ SEX ______
MARRIED yes no spouse's name _______________________
In case of emergency, notify (name and phone number) _______________________
BUSINESS NAME ________________________________
BUSINESS PHONE AND ADDRESS _______________________

The medical information is complete and accurate as of this date. Please record vital signs.

<table>
<thead>
<tr>
<th>Date</th>
<th>Comments</th>
<th>Patient/Parent Signature</th>
<th>Student Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>DATE</th>
<th>SERVICES RENDERED</th>
<th>Patient Classification: D P</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>
### UNIVERSITY OF NEW ENGLAND
### GENERAL HEALTH HISTORY

**Describe your general health:**

<table>
<thead>
<tr>
<th>Excellent</th>
<th>Good</th>
<th>Fair</th>
<th>Poor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Presently under the care of a Physician?</td>
<td>Yes</td>
<td>No</td>
<td>If Yes, Explain</td>
</tr>
<tr>
<td>Date of Last Physical Exam</td>
<td>Initial Blood Pressure Reading</td>
<td>Pulse</td>
<td>Respiration</td>
</tr>
</tbody>
</table>

**Do you now have or have you ever had any of the following:**

<table>
<thead>
<tr>
<th>Date: How Treated</th>
<th>Date: How Treated</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allergies</td>
<td>Herpes Simplex Virus</td>
</tr>
<tr>
<td>Anemia</td>
<td>High blood pressure</td>
</tr>
<tr>
<td>Arthritis</td>
<td>HIV Infection</td>
</tr>
<tr>
<td>Artificial Joints</td>
<td>Immunosuppressive disorder</td>
</tr>
<tr>
<td>Asthma</td>
<td>Impetigo</td>
</tr>
<tr>
<td>Atherosclerosis</td>
<td>Kidney disease</td>
</tr>
<tr>
<td>Blood disease</td>
<td>Liver disease</td>
</tr>
<tr>
<td>Cancer</td>
<td>Lupus</td>
</tr>
<tr>
<td>Conjunctivitis</td>
<td>Malaria</td>
</tr>
<tr>
<td>Childhood Diseases:</td>
<td>Measles</td>
</tr>
<tr>
<td>Measles</td>
<td>Mononucleosis</td>
</tr>
<tr>
<td>Mumps</td>
<td>Chicken Pox</td>
</tr>
<tr>
<td>Chicken Pox</td>
<td>Scarlet Fever</td>
</tr>
<tr>
<td>Other</td>
<td>Other Condition</td>
</tr>
<tr>
<td>Diabetes</td>
<td>Heart Disease or Heart Murmur</td>
</tr>
<tr>
<td>Epilepsy or seizures</td>
<td>Heart Valve Prosthesis</td>
</tr>
<tr>
<td>Heart Disease or Heart Murmur</td>
<td>Hepatitis</td>
</tr>
</tbody>
</table>

**Do you frequently have any of the following:**

| Prolonged or excessive bleeding | Swollen ankles | yes | no |
| Slow healing of wounds | Shortness of breath without exercise | yes | no |
| Excessive thirst or dry mouth | Gastro-Intestinal Disturbance | yes | no |
| Unintentional weight gain/loss | General fatigue | yes | no |
| Frequent urination | Women, are you pregnant? | yes | no |
| Chest pain or tightness | Due Date, | yes | no |

**Do you have any other health condition or problem that you think we should know about?**

**Do you wear contact lenses?** yes no

**MEDICATIONS:**

Please list prescription or non-prescription drugs you take.

**Are you employed in any situation which exposes you regularly to x-rays, have you had x-rays other than dental and when, or are you undergoing radiation therapy?**

**Have you become sick from, shown an allergy to, or been told not to take any drugs or medications?**

**If yes, Explain**

**Do you grant us permission to provide your child with:**

<table>
<thead>
<tr>
<th>Fluoride</th>
<th>X-rays</th>
<th>Sealants</th>
</tr>
</thead>
<tbody>
<tr>
<td>yes</td>
<td>no</td>
<td></td>
</tr>
</tbody>
</table>

The above medical information is complete and accurate as of this date.

Patient/Parent Signature | Date
DATE

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Supragingival Calculus is present

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Subgingival Calculus is present

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Stains are present

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Supragingival Calculus is present

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

Subgingival Calculus is present

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

DENTAL HISTORY

Last dental appointment

Last dental x-rays and type

Dental problems

Trauma to head or neck

Orthodontic treatment

Periodontal treatment

Implants

Food impaction

Bleeding gums

Sores or lumps in your mouth

Type of toothbrush & method

Frequency of brushing

Frequency of flossing

O.P.T. aids

Oral habits

Smoking

Oral health attitudes

Rinse:

Water supply (including wells): Fluoridated Nonfluoridated

Fluoride: Tablets Drops

P.H.P.:

1st Appt. 2nd Appt. 3rd Appt. 4th Appt. 5th Appt.

Pl. Score

Date

Date

Date

Date

Date

Please document changes in oral inspection and dental history

Date

Patient's Name
DENTAL CHART

Periodontal Findings:
- Open contact II - blue
- Contact too tight X - blue
- Apparent gingival position blue
- Furcation involvement blue △
- Missing - blue X through crown & root

Dental Charting:
- Caries - Red outline or Red*
- Fractures - Red
- Amalgam Restoration - Solid blue
- Temporary - "T" inside blue outline
- Sealant - "S" inside blue outline
- Gold - Blue outline, diagonal lines
- White Restorations - Blue outline
- Crown - gold
- Crown - aluminum - "a";
- Stainless steel - "ss";
- Porcelain - "p";
- Acrylic - "ac" inside or near blue outline
- Restorations - outline defects in Red verbally specify defect
- Orthodontic bands, [ ] around involved teeth (#) blue
- Overhanging margins - in red
- Fixed bridge - in blue
- Partial denture - = = = blue
- Root canal restoration - blue line through canal

Radiographic Findings:
- Apparent bone level - pencil
- Radiolucent abnormalities - shade in pencil
- Radiopaque abnormalities - outline in pencil

Place following letters on involved areas:
A. Abscess
B. Calculus
C. Cyst
D. Dilacerated root
E. Hypercementosis
F. Pulp stones
G. Retained root
H. Root resorption
I. Supernumerary tooth
J. Thickened lamina dura

Date | Instructor
--- | ---

Patient's Name
## PATIENT PHARMACOLOGIC HISTORY

<table>
<thead>
<tr>
<th>Patient Name:</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Patient Visit</th>
<th>Medication (OTC, Herbal, Rx)</th>
<th>Indications</th>
<th>Dental Hygiene Considerations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date:</td>
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<tr>
<td>Date:</td>
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</tr>
</tbody>
</table>
# ASSESSMENT FINDINGS/TREATMENT PLAN

| Patient Name_____________________  Age_____  Gender M [ ] F [ ]     Initial therapy [ ] |
|-----------------------------------|-------------------|------------------|
| Provider name_____________________  Date________________           Maintenance [ ]   |
| Chief Complaint:                  | Re-Evaluation [ ] |

## ASSESSMENT FINDINGS

### MEDICAL HX

<table>
<thead>
<tr>
<th>AT RISK FOR</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>Systemic disease</td>
</tr>
<tr>
<td>Other conditions</td>
</tr>
<tr>
<td>Medications</td>
</tr>
<tr>
<td>ASA classification</td>
</tr>
</tbody>
</table>

### SOCIAL AND DENTAL HX

<table>
<thead>
<tr>
<th>Treatment history</th>
</tr>
</thead>
<tbody>
<tr>
<td>Health Behaviors</td>
</tr>
<tr>
<td>Cultural factors</td>
</tr>
</tbody>
</table>

### CLINICAL

<table>
<thead>
<tr>
<th>PLANNED INTERVENTIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>EDUCATION/COUNSELING</td>
</tr>
<tr>
<td>OHI/HOME CARE</td>
</tr>
</tbody>
</table>

### Periodontal Diagnosis/Case Type and Status:

<table>
<thead>
<tr>
<th>Risk Assessments recommended:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tobacco [ ] cambra [ ] perio [ ]</td>
</tr>
<tr>
<td>Salivary flow rate[ ]</td>
</tr>
</tbody>
</table>

## DENTAL HYGIENE DIAGNOSIS

### PROBLEM

<table>
<thead>
<tr>
<th>RELATED TO (Risk Factors &amp; Etiology)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intra/Extra Oral</td>
</tr>
<tr>
<td>Restorative/caries risk</td>
</tr>
<tr>
<td>Periodontal status/risk</td>
</tr>
<tr>
<td>Systemic Health/self Care ability</td>
</tr>
</tbody>
</table>
### ASSESSMENT FINDINGS/TREATMENT PLAN

<table>
<thead>
<tr>
<th>EXPECTED OUTCOMES</th>
<th>GOALS</th>
<th>EVALUATION METHODS</th>
<th>TIME FRAME</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
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</tr>
<tr>
<td>2.</td>
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<td></td>
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<tr>
<td>3.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>APPOINTMENT PLAN</th>
<th>Sequence of planned interventions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appt #</td>
<td>Plan for Treatment Services</td>
</tr>
<tr>
<td>________</td>
<td>________________</td>
</tr>
<tr>
<td>1.</td>
<td>Quad.</td>
</tr>
<tr>
<td>2.</td>
<td>Quad.</td>
</tr>
<tr>
<td>3.</td>
<td>Quad.</td>
</tr>
<tr>
<td>4.</td>
<td>Quad.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RE-EVALUATION FINDINGS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cont. Treat [ ] Appt. length ______ Refer [ ] Re-Appt. [ ] Continuing Care Interval ______</td>
</tr>
<tr>
<td>Appt. 2 3 4</td>
</tr>
</tbody>
</table>

PT. Consent:__________________________ Date:__________
### CA1 – General Clinic Duties

<table>
<thead>
<tr>
<th></th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Arrive dressed in clinical attire, prepared to treat patient, if necessary</td>
</tr>
<tr>
<td>2.</td>
<td>Arrive 30 minutes prior to patient seating</td>
</tr>
<tr>
<td>3.</td>
<td>Prepare disinfectant; fill spray containers as needed</td>
</tr>
<tr>
<td>4.</td>
<td>Set out canisters and other appropriate supplies</td>
</tr>
<tr>
<td>5.</td>
<td>Empty autoclaves, place contents in appropriate places</td>
</tr>
<tr>
<td>6.</td>
<td>Check and replenish supplies</td>
</tr>
<tr>
<td>7.</td>
<td>Make a list of needed supplies and give to clinical coordinator</td>
</tr>
<tr>
<td>8.</td>
<td>Check lab coat closet and remove dry cleaner bags and pick up hangers</td>
</tr>
<tr>
<td>9.</td>
<td>Prepare ample cleansing agent for evacuation system</td>
</tr>
<tr>
<td>10.</td>
<td>Maintain a clean and neat distribution center</td>
</tr>
<tr>
<td>11.</td>
<td>Distribute toothbrushes, fluoride trays, &amp; other necessary supplies as needed</td>
</tr>
<tr>
<td>12.</td>
<td>Sterilize cotton supplies and instruments as necessary</td>
</tr>
<tr>
<td>13.</td>
<td>Put away supplies at end of clinic session</td>
</tr>
<tr>
<td>14.</td>
<td>Wipe down countertop with Ajax and 3M pad to remove magic-marker marks</td>
</tr>
<tr>
<td>15.</td>
<td>Adhere to infection control protocol throughout entire clinic session</td>
</tr>
<tr>
<td>16.</td>
<td>Check with clinical coordinator prior to leaving the central supply area</td>
</tr>
</tbody>
</table>

__________________________
Clinical Coordinator
### UNE DENTAL HYGIENE CLINIC

### CLINICAL ASSISTANT #2 – DUTIES & PERFORMANCE EVALUATION

**Student:** __________________________  **Date:** ________________

<table>
<thead>
<tr>
<th>CA2 – General Clinic Duties</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Arrive dressed in clinical attire, prepared to treat patient, if necessary</td>
<td></td>
</tr>
<tr>
<td>2. Arrive 30 minutes prior to patient seating</td>
<td></td>
</tr>
<tr>
<td>3. Assist with instrument distribution at start of clinic</td>
<td></td>
</tr>
<tr>
<td>4. Set up and monitor operatory #6 for use</td>
<td></td>
</tr>
<tr>
<td>5. Fill ultrasonic containers</td>
<td></td>
</tr>
<tr>
<td>6. Refill paper towel dispensers</td>
<td></td>
</tr>
<tr>
<td>7. Refill soap dispensers</td>
<td></td>
</tr>
</tbody>
</table>
| 8. Empty wastebaskets, keep floor around baskets clean  
   (double tie bags before depositing in chute) |          |
| 9. Assist Clinical Assistant #1 as needed during clinic opening only |          |
| 10. Clean clinic sinks |          |
| 11. Restock needed supplies from clinic supply closet working with CA1 |          |
| 12. Monitor and organize blood pressure equipment |          |
| 13. Monitor wrapping stations  
   a. restock with supplies (tape, bags, etc.)  
   b. Disinfect at end of clinic session |          |
| 14. Distribute clinic equipment to students as required  
   a. thoroughly check all equipment prior to distributing  
   b. alert supervising instructor of damaged and or malfunctioning equipment immediately if necessary  
   c. deliver equipment to requesting student  
   d. collect and distribute equipment |          |
| 15. Clean patient dentures as needed |          |
| 16. Empty and clean out all ultrasonic containers at end of clinic session |          |
| 17. Shut down operatory #6 |          |
18. Adhere to infection control protocol throughout entire clinic

19. Check with clinical coordinator prior to leaving the clinic floor

___________________________________________

Clinical Coordinator

DH/Forms/Clinic/CA2: Updated Fall 2010
UNE DENTAL HYGIENE CLINIC

RADIOGRAPHIC ASSISTANT – DUTIES & PERFORMANCE EVALUATION

Student: ___________________________ Date: _________________

RA – General Clinic Duties

1. Arrive dressed in clinical attire, prepared to treat patient, if necessary ____________
2. Arrive 30 minutes prior to patient seating
3. Prepare x-ray operatories for use
   a. wipe down chairs and tube heads with disinfectant
   b. cover headrests with headrest covers, mouse with blue tape and keyboard with plastic wrap. Place a patient bib on computer station
   c. turn on x-ray machines (control panels, P.I.D., tube head and shelf wrapped in plastic)
   d. monitor use of operatories
   e. clean after each use (disinfect, plastic wrap)
4. Prepare adult and child BWX and FMX film digital sensors ____________
5. Review with a clinical instructor the proper way of preparing a PAN , ____________
6. Report any equipment malfunction to supervising instructor immediately ____________
7. Adhere to infection control protocol throughout entire clinic ____________
8. Shut down x-ray operatories
   a. remove headrest covers and plastic wrap
   b. disinfect unwrapped surfaces
   c. turn off x-ray machines
9. Check with supervising instructor prior to leaving the clinic ____________

IF NECESSARY, THE FOLLOWING DUTIES MUST ALSO BE SUCCESSFULLY COMPLETED:

1. Refill paper towel containers ____________
2. Refill soap containers ____________
3. Empty wastebaskets, keep floor around baskets clean (double tie bags before depositing in chute) ____________
4. Restock needed supplies from clinic supply closet working with CA2 ____________
5. Assist CA1/CA2 as necessary

6. Clean clinic sinks

7. Monitor and organize blood pressure equipment
   a. obtain disinfectant for B.P. station

8. Monitor wrapping stations
   a. restock with supplies
   b. disinfect at end of clinic

9. Empty and clean all ultrasonic containers at end of clinic session

10. Adhere to infection control protocol throughout entire clinic

11. Check with supervising instructor prior to leaving the clinic floor

_________________ Clinical Coordinator

DH/Forms/Clinic/RA2014: Updated Fall 2014
CLINIC FEE SCHEDULE – 2015-2016
Posted for use of DH Students / Faculty / Staff

Child (0 – 12 yrs)  Adult (13 – 61 yrs)  Senior (62 + yrs)  UNE (Faculty/Staff/Students)

<table>
<thead>
<tr>
<th></th>
<th>Exams*</th>
<th>Reassessment</th>
<th>Prophy</th>
<th>Fluoride</th>
<th>Bitewings</th>
<th>Total (prophy, fluoride, bwx’s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADULT</td>
<td>10</td>
<td>5</td>
<td>27</td>
<td>3</td>
<td>3</td>
<td>$30</td>
</tr>
<tr>
<td>w/2 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td>$33</td>
</tr>
<tr>
<td>w/4 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6</td>
<td>$36</td>
</tr>
<tr>
<td>SENIOR</td>
<td>10</td>
<td>5</td>
<td>22</td>
<td>3</td>
<td></td>
<td>$25</td>
</tr>
<tr>
<td>w/2 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td>$28</td>
</tr>
<tr>
<td>w/4 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6</td>
<td>$31</td>
</tr>
<tr>
<td>CHILD</td>
<td>5</td>
<td>5</td>
<td>16</td>
<td>3</td>
<td></td>
<td>$19</td>
</tr>
<tr>
<td>w/2 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td>$22</td>
</tr>
<tr>
<td>w/4 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6</td>
<td>$25</td>
</tr>
<tr>
<td>UNE</td>
<td>10</td>
<td>5</td>
<td>6</td>
<td>2</td>
<td></td>
<td>$8</td>
</tr>
<tr>
<td>w/2 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td>$11</td>
</tr>
<tr>
<td>w/4 BWX</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6</td>
<td>$14</td>
</tr>
</tbody>
</table>

*Procedure Exam (when patient is not having a prophy, but does have other services such as x-rays, sealants, mouthguard, etc.)

Adult: $10  Child: $5
Senior: $10  UNE: $10
Edentulous Patient (includes exam & denture cleaning) $15

X-Rays PA’s: $2 each  2 BWX’s: $3  4 BWX’s: $6
FMX / Panorex: $27

Sealants (guaranteed for one year; replaced free of charge if within one year and original work was done in our clinic) $6 per tooth

Quadrant Scaling $16 per quadrant
(do not charge for a prophylaxis too)

Periodontal Maintenance $27 for adult, $22 for Senior, $6 for UNE
(3 month recall after quad scaling has been done)

Sports Mouthguard (with study models) $25

We are a fee for service Clinic, therefore prices vary depending upon patient needs.

We do not offer services for: Bleaching Trays, Night Mouthguards, Extractions, Restorations or Fillings, Denture Repair, or Emergency Services.

Fall 2015
## INDIVIDUAL BLOOD GLUCOSE TEST VALUED RELATED TO CONTROL OF DIABETES

<table>
<thead>
<tr>
<th>STATUS</th>
<th>FPG</th>
<th>PP</th>
<th>HBA1C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Healthy, well</td>
<td>&lt;126 mg/dL</td>
<td>&lt;160 mg/dL</td>
<td>&lt; 6%</td>
</tr>
<tr>
<td>controlled</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moderate control</td>
<td>&lt;160 mg/dL</td>
<td>160-200 mg/dL</td>
<td>6-7%</td>
</tr>
<tr>
<td>Uncontrolled</td>
<td>&gt;160 mg/dL</td>
<td>&gt;200 mg/dL</td>
<td>&gt; 8%</td>
</tr>
</tbody>
</table>

If unable to obtain complete and accurate information from a patient, or if diabetes is not well controlled, a consultation between dental professional and physician is necessary before treatment.

If you have diabetes... know your blood sugar numbers!

Taking control of your diabetes can help you feel better and stay healthy. Research shows that keeping your blood glucose (blood sugar) close to normal reduces your chances of having eye, kidney, and nerve problems. To control your diabetes, you need to know your blood glucose numbers and your target goals.

There are two different tests to measure your blood glucose.

1. The A1C test (pronounced A-one-C) reflects your average blood glucose level over the last 3 months. It is the best way to know your overall blood glucose control during this period of time. This test used to be called hemoglobin A-1-C or H-b-A-1-C.

2. The blood glucose test you do yourself uses a drop of blood and a meter that measures the level of glucose in your blood at the time you do the test. This is called self-monitoring of blood glucose (SMBG).

You and your health care team need to use both the A1C and SMBG tests to get a complete picture of your blood glucose control.

The A1C Test
The Best Measure of Long-Term Diabetes Control

What is the A1C test?
The A1C test is a simple lab test that reflects your average blood glucose level over the last 3 months. A small blood sample to check your A1C can be taken at any time of the day.

Why should I have an A1C test?
The A1C test is the best test for you and your health care team to know how well your treatment plan is working over time. The test shows if your blood glucose levels have been close to normal or too high. The higher the amount of glucose in your blood, the higher your A1C result will be. A high A1C result will increase your chances for serious health problems.

What is a good A1C goal?
You and your health care team should discuss the A1C goal that is right for you. For most people with diabetes, the A1C goal is less than 7. An A1C higher than 7 means that you have a greater chance of eye disease, kidney disease, or nerve damage. Lowering your A1C—by any amount—can improve your chances of staying healthy.

If your number is 7 or more, or above your goal, ask your health care team about changing your treatment plan to bring your A1C number down.

<table>
<thead>
<tr>
<th>Level of Control</th>
<th>A1C Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>6 or less</td>
</tr>
<tr>
<td>Goal</td>
<td>less than 7</td>
</tr>
<tr>
<td>Take action</td>
<td>7 or more</td>
</tr>
</tbody>
</table>

If I am pregnant, what is my A1C goal?
Keeping your A1C less than 6 if you are pregnant will help ensure a healthy baby. If possible, women should plan ahead and work to get their A1C below 6 before getting pregnant.

How often do I need an A1C test?
Ask for an A1C test at least twice a year. Get the test more often if your blood glucose stays too high or if your treatment plan changes.

What about home testing for A1C?
You and your health care team should decide if home testing is a good idea for you. If so, be sure to do the test the correct way and discuss the results with your doctor.
Checking Your Own Blood Glucose

The Best Test for Day-to-Day Diabetes Control

Why should I check my blood glucose?
Self monitoring of blood glucose, or SMBG, with a meter helps you see how food, physical activity, and medicine affect your blood glucose levels. The readings you get can help you manage your diabetes day by day or even hour by hour. Keep a record of your test results and review it at each visit with your health care team.

How do I test my own blood glucose?
To do SMBG, you use a tiny drop of blood and a meter to measure your blood glucose level. Be sure you know how to do the test the correct way. Also, ask your health care team whether your meter gives the results as plasma or whole blood glucose. Most new meters provide the results as plasma glucose.

What is a good self-testing blood glucose goal?
Set your goals with your health care team. Blood glucose goals for most people with diabetes when self-testing are on these charts.

How often should I check my blood glucose?
Self-tests are usually done before meals, after meals, and/or at bedtime. People who take insulin usually need to test more often than those who do not take insulin. Ask your health care team when and how often you need to check your blood glucose.

If I test my own blood glucose, do I still need the A1C test?
Yes. The results of both SMBG and A1C tests help you and your health care team to manage your diabetes and get a complete picture of your diabetes control.

Does my insurance pay for the A1C test, self-testing supplies, and education?
Most states have passed laws that require insurance coverage of SMBG supplies and diabetes education. Check your coverage with your insurance plan. Medicare covers most of the cost of diabetes test strips, lancets (needles used to get a drop of blood), and blood glucose meters for people who have diabetes. Ask your health care team for details about Medicare’s coverage of the A1C test, diabetes supplies, diabetes education, and nutrition counseling. For more information, visit the Medicare website at www.medicare.gov.

How do blood glucose self-testing results compare with A1C test results?
Here is a chart from the American Diabetes Association to show you how your blood glucose testing results are likely to match up with your A1C results. As the chart shows, the higher your self-testing numbers are over a 3-month period, the higher your A1C result is going to be.

What other numbers do I need to know to control my diabetes?
People with diabetes are at high risk for heart attack and stroke. That is why people with diabetes need to control their blood pressure and cholesterol levels as well as their blood glucose levels. Be smart about your heart and take control of the ABCs of diabetes: A1C, Blood pressure, and Cholesterol.

Take Control of Your Blood Glucose.

1. Talk to your health care team about your A1C goals and your SMBG goals.
2. Ask for an A1C test at least twice a year.
3. Ask your health care team what your A1C number is, what it means, what it should be, and what you need to do to reach your A1C goal.
4. Check your own blood glucose as often as needed and go over the results at each visit with your doctor and health care team.
5. To keep your blood glucose under control, eat the right foods in the right amounts. Get regular physical activity as advised by your health care team. Take medicines that have been prescribed for you.
6. Ask your health care team about your blood pressure and cholesterol numbers and what your goals should be.
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